Direct effect of aerosols on solar radiation and gross primary production in boreal and hemiboreal forests

Ezhova, Ekaterina

2018-12-17


http://hdl.handle.net/10138/294724
https://doi.org/10.5194/acp-18-17863-2018

Downloaded from Helda, University of Helsinki institutional repository.

This is an electronic reprint of the original article.

This reprint may differ from the original in pagination and typographic detail.

Please cite the original version.
Direct effect of aerosols on solar radiation and gross primary production in boreal and hemiboreal forests

Ekaterina Ezhova1, Ilona Ylivinkka1, Joel Kuusk2, Kaupo Komsaare3, Marko Yana3, Alisa Krasnova4, Steffen Noe4, Mikhail Arshinov5, Boris Belan5, Sung-Bin Park6, Jošt Valentin Lavrič6, Martin Heimann1,6, Tuukka Petäjä1, Timo Vesala1,7, Ivan Mammarella1, Pasi Kolari1, Jaana Bäck7, Üllar Rannik1, Veli-Matti Kerminen1, and Markku Kulmala1

1Institute for Atmospheric and Earth System Research/Physics, Faculty of Science, University of Helsinki, P.O. Box 64, 00014 Helsinki, Finland
2Tartu Observatory, Faculty of Science and Technology, University of Tartu, Tõravere, Nõo Parish, 61602 Tartu, Estonia
3Institute of Physics, Faculty of Science and Technology, University of Tartu, 50411, Tartu, Estonia
4Department of Plant Physiology, Institute of Agricultural and Environmental Sciences, Estonian University of Life Sciences, 51006 Tartu, Estonia
5V.E. Zuev Institute of Atmospheric Optics of Siberian Branch of the Russian Academy of Sciences, 634055 Tomsk, Russia
6Max Planck Institute for Biogeochemistry, 07745 Jena, Germany
7Institute for Atmospheric and Earth System Research/Forest Sciences, Faculty of Science, University of Helsinki, P.O. Box 64, 00014 Helsinki, Finland

Correspondence: Ekaterina Ezhova (ekaterina.ezhova@helsinki.fi)

Received: 9 July 2018 – Discussion started: 23 July 2018
Revised: 12 November 2018 – Accepted: 22 November 2018 – Published: 17 December 2018

Abstract. The effect of aerosol loading on solar radiation and the subsequent effect on photosynthesis is a relevant question for estimating climate feedback mechanisms. This effect is quantified in the present study using ground-based measurements from five remote sites in boreal and hemiboreal (coniferous and mixed) forests of Eurasia. The diffuse fraction of global radiation associated with the direct effect of aerosols, i.e., excluding the effect of clouds, increases with an increase in the aerosol loading. The increase in the diffuse fraction of global radiation from approximately 0.11 on days characterized by low aerosol loading to 0.2–0.27 on days with relatively high aerosol loading leads to an increase in gross primary production (GPP) between 6 % and 14 % at all sites. The largest increase in GPP (relative to days with low aerosol loading) is observed for two types of ecosystems: a coniferous forest at high latitudes and a mixed forest at the middle latitudes. For the former ecosystem the change in GPP due to the relatively large increase in the diffuse radiation is compensated for by the moderate increase in the light use efficiency. For the latter ecosystem, the increase in the diffuse radiation is smaller for the same aerosol loading, but the smaller change in GPP due to this relationship between radiation and aerosol loading is compensated for by the higher increase in the light use efficiency. The dependence of GPP on the diffuse fraction of solar radiation has a weakly pronounced maximum related to clouds.

1 Introduction

According to the IPCC report (Pachauri et al., 2014), the influence of aerosol loading on solar irradiance remains a relevant, open question. Aerosol particles influence the radiative balance of the Earth due to aerosol–radiation and aerosol–cloud interactions. The aerosol–radiation interactions include the scattering and absorption of solar radiation (direct effect), and potential changes in cloud properties associated with these radiative effects (semi-direct effect).
Another important consequence of aerosol–radiation interactions is an increase in the diffuse fraction of solar radiation entering the Earth surface. The influence of aerosol–radiation interactions on the diffuse fraction of solar radiation is relevant for estimates of the terrestrial carbon sink and for understanding climate feedback mechanisms (e.g. Kulmala et al., 2014). The increase in the land carbon sink due to enhanced industrial aerosols was estimated to be 20%–25% during the period of “global dimming” between 1960 and 1999 in the modelling study by Mercado et al. (2009). The physical mechanism behind the growth of the terrestrial carbon sink is as follows. An increased diffuse fraction of solar irradiance due to aerosols makes it easier for light photons to penetrate into the canopy. Moreover, diffuse light coming from different angles increases the efficiency of CO₂ uptake by leaves of different orientation (Alton et al., 2007). This leads to an increase in the light use efficiency (LUE) of plants, which quantifies the amount of CO₂ fixed by an ecosystem per unit of photosynthetically active radiation (PAR), and gross primary production (GPP), which quantifies the amount of CO₂ fixed by an ecosystem per unit area per unit time. However, this mechanism is ecosystem-dependent and presumably depends on canopy height and the leaf area index (LAI) (Niyogi et al., 2004; Kanniah et al., 2012; Cheng et al., 2015): an enhanced diffuse radiation does not lead to an increase in grasslands’ GPP, while GPP of broadleaf forests can be significantly increased. Similarly, a study based on AmeriFlux data from several sites (Cheng et al., 2015), including broadleaf forests and crops, suggested an increase in GPP due to diffuse radiation for forests but not for crops.

Estimates of the aerosol effect on GPP are uncertain for two reasons. First, it is not clear how large the effect of aerosols is on diffuse radiation. Second, the associated effect of diffuse radiation on GPP can be both negative and positive (Niyogi et al., 2004; Alton, 2008; Park et al., 2018). Therefore, aerosol–radiation interactions may either lead to an increase or a decrease in GPP, depending on aerosol loading. As an example, Niyogi et al. (2004) reports an increase in GPP for broadleaf forests at any aerosol loading typical for the sites they considered. On the contrary, a recent model study suggests that for a substantial proportion of boreal forests in the territories of Finland, Estonia and Russia, the direct aerosol effect at relatively high aerosol loading leads to a decrease in the annual diffuse irradiance and GPP (Lu et al., 2017). Estimates on the effects of solar radiation on GPP have often been obtained by utilizing parameterizations (Alton, 2008) or based on the results of numerical modelling and satellite observations (Lu et al., 2017). The aims of this study are to provide a comprehensive data analysis related to the direct effect of aerosol on solar radiation, to separate cloud and aerosol effects on solar irradiance, and to further quantify the influence of solar radiation on GPP. The data sets include continuous field measurements from five stations in Finland, Estonia and Russia.

Note that this analysis can be put into a broader context regarding the quantification of terrestrial feedback loops, e.g. the COntinental Biosphere–Atmosphere–Cloud–Climate (COBACC) feedback loop (Kulmala et al., 2014). This loop considers the effect of the carbon dioxide concentration on biogenic volatile organic compound emissions (BVOC), further relates BVOC-aerosol interactions to the variability in solar radiation and finally the loop is closed with the effects of radiation for the ecosystem GPP and CO₂ concentration. Here we provide a quantification of the part of this loop related to aerosol–solar radiation–photosynthesis interactions in boreal and hemiboreal forests.

In line with the aims of this study, we focus on two problems: (1) aerosol–radiation interactions, for which we quantify the diffuse fraction of solar radiation that can be observed due to the direct aerosol effect, and (2) the diffuse radiation effect on photosynthesis. First, we quantify the effect of aerosol–radiation interactions on diffuse radiation in boreal forests. For the estimates of the effect of aerosol on solar radiation it is important to separate clear times of the day from cloudy periods, because clouds are much more effective than aerosols with respect to scattering solar irradiance. In some previous studies (Gu et al., 2002; Kulmala et al., 2014) this separation was made based on the ratio of measured global irradiance to theoretically calculated global irradiance at the top of the atmosphere. This criterion of clear days can indeed be acceptable when one needs to distinguish between mostly sunny/mostly cloudy days with respect to the incoming global irradiance or the incoming solar energy. However, it fails to separate sunny/cloudy periods from the point of view of the amount of diffuse irradiance, which is crucially important for photosynthesis. Generally, radiation data used for analyses is averaged over a time interval (often 30 min). Some types of clouds, such as cumulus, are rather intermittent with oscillation periods of several minutes (e.g. Duchon and O’Malley, 1999). During the periods when there is no cloud in front of the sun, global irradiance can be even higher than theoretically predicted due to a global radiation enhancement (Pecenak et al., 2016). As a result, an averaged global irradiance can be close to that theoretically predicted for the clear sky, while averaged diffuse irradiance is significantly enhanced at the same time due to the presence of clouds. Such data can be erroneously attributed to clear-sky conditions, meaning that the effects of clouds on the diffuse radiation can be associated with the direct aerosol effect. These kinds of conditions are most likely to cause the highest GPP, as global irradiance does not decrease while diffuse irradiance is already high. Second, we consider the effect of solar radiation on GPP. We investigate the effect of the diffuse fraction of solar radiation on the LUE and further quantify the maximum effect on GPP due to aerosol–radiation interactions for different ecosystems in a boreal forest.
2 Materials and methods

In this section we introduce data sets and methods used in the study. In Sect. 2.1 we present the sites and data sets. Section 2.2 describes the clear-sky model used to separate clear sky and clouds. We discuss the consequences of having different (PAR or broadband) radiation measurements at different sites in Sect. 2.3 and suggest a method to make the data sets comparable. In Sect. 2.4 we introduce the condensation sink as a measure of aerosol loading. Finally, Sect. 2.5 describes the method used to study the diffuse radiation effect on ecosystem GPP using a separation of GPP into the LUE and the PAR.

2.1 Sites and data sets

We used data from five remote forest sites located at the middle and relatively high latitudes in Finland, Estonia and Russia: SMEAR I (Väärriö, Finland), SMEAR II (Hytylä, Finland), SMEAR Estonia (Järvselja, Estonia), Zotino (Zotino, Krasnoyarsk region, Russia) and Fonovaya (Tomsk region, Russia). Figure 1 illustrates the sites’ locations on the map, while Table 1 summarizes information on the data sets used in the present study. The information regarding the instruments used can be found in separate papers that describe the stations (listed below) and on the AVAA Internet site for SMEAR I and II (https://avaa.tdata.fi/web/smart, last access: 30 June 2018).

SMEAR II (Hari and Kulmala, 2005) is located at Hytylä Forestry Field Station in a conifer boreal forest near a lake in central Finland. The site is a managed, 55-year old Scots pine (Pinus sylvestris L.) forest stand with a closed canopy and an average tree height of ca. 18 m.

SMEAR I (Hari et al., 1994) is the site characterized by the highest latitude and is located in Finnish Lapland. The site is comprised of ca. 60-year old Scots pines with a rather open canopy. The average tree height is ca. 10 m.

SMEAR Estonia (Noe et al., 2015) is located in a hemiboreal forest zone and the stands in the tower footprint consist of a mixture of coniferous, Scots pine and Norway spruce (Picea abies L. Karst.), and deciduous, silver birch (Betula pendula Roth.) and downy birch (Betula pubescens Ehrh.), trees. Because of the high heterogeneity and the mosaic of stands of hemiboreal forests the stand age is 65-years old on average ranging from 43-year old larch stands to 120-year old pine stands. The average ages of the dominating species are 102 years for pine, 79 years for spruce and 68 years for birch. Therefore, the tree height is variable: 22 m on average (ranging between 10 and 30 m).

Fonovaya in the Tomsk region is the most southern site (Matvienko et al., 2015). It is located on the Ob River in western Siberia, Russia, and forest stand is represented by mixed forest. The stand is dominated by 50-year old Scots pine, 45-year old birch (Betula verrucosa) and 27-year old aspen (Populus tremula). The average tree height is ca. 30 m, ranging from 25 m for birch up to 40 m for pines.

Zotino (Heimann et al., 2014; Park et al., 2018) is located near the Yenisei River in central Siberia. The forest is dominated by a 100-year old Scots pine (Pinus sylvestris L.) forest stand with an open canopy and an average canopy height of ca. 20 m.

Thus, we have data sets from five sites with three of them represented by pine stands and two represented by mixed forests; all of the sites are located at mid-latitudes. Note that currently the data from these five stations form the largest possible set of simultaneous atmospheric observations on trace gases, meteorology, solar radiation and aerosols, conducted in boreal and hemiboreal forests in Eurasia. Some of these sites lack certain components necessary for the analysis and therefore we use parameterizations when needed (and possible). For example, the diffuse fraction of solar radiation, $f_{di} f_{ob}$, was parameterized in the data set from Fonovaya. Following Gu et al. (2002) and Alton (2008), we used the formula

$$f_{di} f_{ob} = R_d / R_g = 1.45 - 1.81 x,$$  

where $R_d$ is diffuse radiation, $R_g$ is global radiation and $x = R_g / R_{TOA}$ is the ratio of the measured global radiation to the modelled radiation at the top of atmosphere. For $x < 0.28$ we used $f_{di} f_{ob} = 0.95$, and for $x > 0.75$ we used $f_{di} f_{ob} = 0.1$. The radiation at the top of atmosphere was calculated as $R_{TOA} = I_0 \cos(sza)$, where $I_0$ is the solar constant and $sza$ is the solar zenith angle.

The data used in this study correspond to the peak growing season defined as the time period with maximum GPP. Typically it includes June–August and part of May and September for all the sites except SMEAR I, where it includes July–August and part of June and September. For consistency, we used June–August data for SMEAR II, SMEAR Estonia, Fonovaya and Zotino and July–August data for SMEAR I. The analysis was performed for daytime (09:00–15:00 local time), 30 min averaged data.

The aerosol number-size distribution at Fonovaya was measured using two instruments, which do not overlap with
respect to the size range. Therefore, there is a gap between 200 and 300 nm in the distribution. This gap was filled with an average value between the two adjacent points (one point was added between the two points). Apart from that, we did not apply gap-filling for aerosol data in this study, and used only good quality data. We did not fill gaps in the solar radiation data.

Note, that the CO$_2$ flux was obtained by the micrometeorological (eddy covariance) method at the SMEAR stations and Zotino, while the gradient method was applied to obtain the CO$_2$ flux from the Fonovaya data set. GPP was calculated using the following formula:

\[ \text{GPP} = \text{TER} - \text{NEE}, \]

(2)

where TER is the total ecosystem respiration and NEE is the net ecosystem exchange. TER was obtained for all ecosystems using the nighttime method of CO$_2$ flux partitioning (Reichstein et al., 2005). The partitioning method at SMEAR I and II was based on soil temperature which makes the method more precise (Kolari et al., 2009; Lasslop et al., 2012), while at other sites it was based on air temperature (soil temperature is not measured). According to Lasslop et al. (2012), a possible consequence of an average GPP calculated during daytime (09:00–15:00), is a decrease in GPP calculated using soil temperature of about 0.5 µmol s$^{-1}$ m$^{-2}$ compared with GPP calculated using air temperature. In addition, in the data sets from the SMEAR stations absent GPP points were modelled (Kolari et al., 2009), while in the data sets from the Russian stations we did not fill the gaps. For the Zotino data set a good data percentage was 55% on average (Park et al., 2018), and for the Fonovaya data set it was approximately 30%. Studying aerosol–radiation interactions, we used the Solis clear-sky model (see more in Sect. 2.2). The input parameters for this model are the aerosol optical depth at 700 nm (AOD$_{700}$) and precipitable water (PW). We used AOD at 675 nm and PW from AERONET (Holben et al., 1998); in particular, data from the following AERONET sites were used: Hyytiala (for SMEAR II), Sodankyla (for SMEAR I), Tomsk22 (for Fonovaya) and Toravere (for SMEAR Estonia). The AERONET sites are in the immediate vicinity of the Fonovaya and SMEAR II stations; SMEAR Estonia is 50 km from Toravere and SMEAR I is approximately 70 km from Sodankyla. We used Version 2, Level 2 data (cloud screened and quality controlled), except for Tomsk22 where we used Version 3, Level 2 data. The input data were averaged over daytime.

Currently aerosol characteristics are not measured at Zotino and there are no AERONET sites nearby; therefore aerosol–radiation interactions have not been studied for Zotino. However, radiation and CO$_2$ flux, which are necessary for the radiation–photosynthesis analysis, are measured; consequently, the Zotino data set has been included in this study.

### 2.2 The Solis clear-sky model

To distinguish between the effects of aerosols and clouds on solar radiation, we used a simplified broadband version of a clear-sky radiative transfer model (RTM) – Solis (Ineichen, 2008). This is a quasi-physical model which means that it employs Lambert–Beer relations for the general estimates of irradiance while using parameterizations for the total optical depths. The input parameters are the aerosol optical depth at 700 nm (AOD$_{700}$) and precipitable water (PW). Parameterizations are developed for the following range of parameters: sea level < altitude < 7000 m, 0 < AOD$_{700}$ < 0.45 and 0.2 cm < PW < 10 cm.

Solis parameterizations were obtained using the “urban” type of aerosol size distribution in the full RTM (Ineichen, 2008). The difference between calculations for “urban” and “rural” types of aerosol for the same AOD$_{700}$ = 0.18 was reported by Mueller et al. (2004). This AOD$_{700}$ value is larger than the typical values for all of the places that we consider in

### Table 1. Data sets from different sites used in this study.

<table>
<thead>
<tr>
<th>Station</th>
<th>Parameters</th>
<th>Years</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMEAR I</td>
<td>Global and diffuse radiation (PAR), particle number-size distribution, GPP.</td>
<td>2015–2016</td>
</tr>
<tr>
<td>(67°46’ N, 29°36’ E, 390 m a.s.l.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SMEAR II</td>
<td>Global and diffuse radiation (broadband), particle number-size distribution, GPP.</td>
<td>2008–2009</td>
</tr>
<tr>
<td>(61°51’ N, 24°17’ E, 181 m a.s.l.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(61°51’ N, 24°17’ E, 181 m a.s.l.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(58°16’ N, 27°16’ E, 36 m a.s.l.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fonovaya</td>
<td>Global radiation (broadband), particle number-size distribution, CO$_2$ concentration at 10 and 30 m, wind speed, pressure, air temperature, relative humidity.</td>
<td>2016–2017</td>
</tr>
<tr>
<td>(56°25’ N, 84°04’ E, 80 m a.s.l.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Zotino</td>
<td>Global and diffuse radiation (PAR), CO$_2$ flux, air temperature.</td>
<td>2012–2016</td>
</tr>
<tr>
<td>(60°48’ N, 89°21’ E, 180 m a.s.l.)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
this study; hence, we expect smaller errors due to the inco-
istent aerosol type. The difference reported by Mueller et al.
(2004) was negligible for direct irradiance, whereas global
irradiance for “rural” aerosol was around 20 W m$^{-2}$ larger
during the daytime. Given that for clear-sky conditions be-
tween 09:00 and 15:00 and during the growing season, global
irradiance drops to $\sim$ 600 W m$^{-2}$, this difference intro-
mduces a maximum error of 3%. More tests for several sites in
the USA and comparison between Solis and two more simpli-
cified clear-sky models, Bird and REST2, were reported by Sen-
gupta and Gotseff (2013). Solis is the optimal model from
the point of view of the required parameters: it performs only
slightly worse than the other two models, and it requires only
two input parameters.

We used Solis to model both global and diffuse irradiance.
The horizontal global irradiance, $I_{gh}$, was calculated as fol-
lows:

$$I_{gh} = R_{g, mod} = I'_0 \exp \left(-\frac{\tau_g}{\cos(b(sza))}\right) \cos(sza),$$  

(3)

while the direct irradiance, $I_{dir}$, was calculated as

$$I_{dir} = I'_0 \exp \left(-\frac{\tau_b}{\cos(b(sza))}\right).$$  

(4)

Here $I'_0$ is a common modified (enhanced) irradiance defined
in Ineichen (2008), $\tau_b$ and $\tau_g$ are the direct and global total
optical depths, respectively, $b$ and $g$ are the fitting param-
eters, and $sza$ is the solar zenith angle. Diffuse radiation can
be found from the global radiation balance using the follow-
ing equation:

$$I_{d} = R_{d, mod} = I_{gh} - I_{dir} \cos(sza).$$  

(5)

All of the parameterizations used in the model are given in
Ineichen (2008). The algorithm used for the calculations is
written in Fortran. For the calculation of the $sza$, the on-
line calculator Solar Position Algorithm (SPA) was used
(available from http://www.nrel.gov, last access: 22 Febru-
ary 2018).

2.3 Accounting for the difference between PAR and
broadband radiation

Note that the measurement methods of solar radiation are
different at the different sites. At SMEAR II before 2009
and Fonovaya only broadband radiation is measured, while
at SMEAR I and Zotino only photosynthetically active radia-
tion (PAR) is measured. At SMEAR II after 2009 both global
PAR and broadband global radiation, as well as diffuse PAR
are measured. Broadband shortwave radiation, referred to as
broadband radiation, is the radiation in the spectral range be-
tween 0.3 and 4.8 $\mu$m, while PAR is the radiation relevant
for photosynthesis, i.e. in the range of wavelengths between
400 and 700 nm. The former is typically measured using ther-
mopile pyranometers (energy sensors) and reported in energy
units (W m$^{-2}$), while the latter is measured using quantum
sensors and is reported in quantum units ($\mu$mol s$^{-1}$ m$^{-2}$). In
the following, we quantify the ratio between global PAR and
global broadband radiation, and the ratio between the diffuse
fraction of PAR and the diffuse fraction of broadband radia-
tion.

Following Ross and Sulev (2000), the ratio of PAR ($\mu$mol s$^{-1}$ m$^{-2}$) to the broadband radiation (W m$^{-2}$) is called
the PAR quantum efficiency $\chi$. By dividing global PAR by
broadband global radiation at SMEAR II and finding its mean
value (the values were obtained for the growing season and
years listed in Table 1), $\chi_{glob}$ was calculated as 2.06 $\mu$mol s$^{-1}$ W$^{-1}$ was
obtained, and was somewhat higher than 1.81 $\mu$mol s$^{-1}$ W$^{-1}$
reported by Ross and Sulev (2000) for Estonia.

We explain the potential difference between the diffuse
fraction of PAR and the diffuse fraction of broadband radia-
tion as follows. Aerosol particles influence a certain part of
the spectra of solar irradiance depending on the particle size
distribution (e.g. Seinfeld and Pandis, 2016). This effect can
be better understood using a dimensionless size parameter
$p$/$\lambda$, where $\lambda$ is the wavelength of incident irradiance and
$p$ is the particle diameter. If $p$/$\lambda$ $\ll$ 1, then Rayleigh scatter-
ing is prevailing, while $p$/$\lambda$ $\gg$ 1 means that the scatter-
ing properties of the particles are determined by the ge-
ometrical optics, i.e., so-called “geometric scattering”. The
characteristics of the aerosol distribution become important
for solar irradiance if $p$/$\lambda$ $\sim$ 1. For boreal forests where
the particle size distribution is typically governed by the
well-pronounced mode with the geometric mean diameter
d $\approx$ 100 nm (Dal Maso et al., 2008), the effective interac-
tion of aerosols with solar radiation occurs in the ultraviolet
range (100–400 nm) and in the blue part of the optical spec-
trum (400–500 nm). Compared to PAR (400–700 nm), the es-
ential part of the broadband radiation energy is contained in
the near infrared part of the spectrum (700–1400 nm), which
is not affected by the relatively small particles prevailing in
aerosol distributions typical for boreal forest. In other words,
the effect of aerosols on the diffuse fraction of solar irradi-
ance can be different for PAR and broadband radiation. Qual-
itatively, one would expect that both the increase in diffuse
radiation and the decrease in global radiation would be more
pronounced for PAR, meaning that the diffuse fraction of
PAR would be higher under the same aerosol conditions. In
order to make our analysis consistent and to be able to com-
pare results from different sites, we performed an analysis of
the data from SMEAR Estonia to compare the diffuse frac-
tions of PAR and broadband radiation. The data set includes
4 years, from 2014 to 2017.

The measurements at SMEAR Estonia are made using an
energy sensor. The hyperspectral radiometer SkySpec (Ku-
usk and Kuusk, 2018) is a purpose-built instrument for the
automated measurement of global and diffuse sky irradiance.
To obtain PAR radiation, the spectral data are converted from
energy units to quantum units and are integrated over a 400–
700 nm spectral range. Integration over the whole available
2.4 The condensation sink as a measure of aerosol loading

As previously mentioned in Sect. 1, this study can be considered as a part of the project quantifying the COBACC feedback loop using ground-based measurements. The condensation sink (CS) is a typical parameter calculated from a ground-based aerosol number-size distribution and characterizing aerosol loading. It can be related to measured organic vapours, making it possible to study the effect of the formation and growth of secondary aerosol for photosynthesis. In addition, the CS was chosen in the original study of the COBACC feedback loop by Kulmala et al. (2014); therefore, for the sake of comparison we resort to this parameter.

The CS is calculated from the particle number-size distribution as follows: (Kulmala et al., 2001):

\[
CS = 2\pi D_v \int_0^{d_{p,\text{max}}} dp \beta n(d_p) dd_p,
\]

where \(D_v\) is the diffusion coefficient of the condensing vapour, \(n(d_p)\) is the particle number-size distribution, and \(\beta\) is the Fuchs–Sutugin coefficient. The physical meaning of the CS is the inverse time needed for vapours to be taken up by existing aerosol particles. Similar to the scattering coefficient and the AOD, the value of the CS depends on aerosol surface area. However, the contribution of large particles to the CS diminishes with increasing particles diameter, in contrast to the aerosol surface area. This effect becomes pronounced for particles with a diameter larger than about 300 nm. For boreal forests, where the mode with a geometric mean diameter of around 100 nm dominates the particle number-size distribution, the CS can be assumed to be directly proportional to the aerosol surface area (Ezhova et al., 2018). Thus, one can assume that the CS is an appropriate measure of atmospheric aerosols for the radiation studies in boreal forests. The connection between this parameter and AOD at boreal forests is discussed in more detail in Appendix A.

2.5 The LUE and PAR analysis to assess the effect of diffuse radiation on GPP

There is a strong evidence that GPP dependence on \(R_d/R_g\) is non-linear and has a maximum (Alton, 2008; Moffat et al., 2010; Park et al., 2018); however, this maximum is not always well pronounced. In what follows we explain the GPP maximum based on the ecosystem LUE and PAR dependences on \(R_d/R_g\). Following Cheng et al. (2016), we defined the LUE as the GPP per unit PAR, therefore GPP = LUE · PAR. Strictly speaking, the LUE is defined as the GPP per unit absorbed PAR, i.e. \(\text{PAR}_{\text{abs}} = f_{\text{APAR}} \cdot \text{PAR}\), where \(f_{\text{APAR}}\) is the fraction of the absorbed PAR. The fraction of the absorbed PAR depends on the leaf area index (LAI), the solar zenith angle and other factors. This dependence for boreal forests was studied in Maijasalmi (2015) and Hovi et al. (2016). Based on results reported by Hovi et al. (2016), \(f_{\text{APAR}}\) for tree heights larger than 10 m and at a moderate zenith angle (40–60°) can be estimated to be between 0.8 and 0.9. One can obtain the LUE defined by the absorbed PAR by dividing the LUE used in the present study by 0.8–0.9.

For all ecosystems with a sufficiently deep canopy and a high leaf area index, the LUE is expected to increase with \(R_d/R_g\), as a larger fraction of available photons can penetrate inside the canopy and can be used for photosynthesis. Some studies (e.g. Niyogi et al., 2004) have reported a linearly growing dependence of the LUE on \(R_d/R_g\). Furthermore, a decrease of PAR with \(R_d/R_g\) can be expected, as an increase in the diffuse fraction of global irradiance corresponds to the enhancement of the scattering and reflecting properties of the atmosphere due to the presence of aerosols or clouds. Therefore, for each site the dependence of the LUE and PAR on \(R_d/R_g\) was investigated separately, after which the GPP dependence on \(R_d/R_g\) was derived from these two dependences.

Again, in order to have consistent data sets, we recalculated \(R_d/R_g\) obtained from the PAR measurements so that it existed in terms of broadband radiation at all the sites. Conversely, broadband global radiation from Fono-vaya and part of the SMEAR II data set was recalculated to PAR when investigating the LUE and PAR dependence on \(R_d/R_g\). We multiplied the global radiation by \(x_{\text{glob}} = 2.06 \text{W m}^{-2}\) in order to get PAR in quantum units. The PAR quantum efficiency was chosen to be equal to the one at SMEAR II, as for the daytime and similar solar zenith angles it is mostly aerosol dependent; SMEAR II and Fono-vaya generally have similar aerosol loading values which can be confirmed by their similar CS values (Fig. 5). Considering the LUE, we filter out the data with low global irradiance, \(R_g \leq 100 \text{ W m}^{-2}\) (PAR \(\leq 200 \text{ W m}^{-2}\)). Below this critical \(R_g\), the LUE shows significant scatter (it is high for the low radiation values); therefore we excluded these data from analysis.

3 Results and discussion

We present the results of our study in two subsections. In Sect. 3.1 we report the results related to the aerosol effect on solar radiation, and in Sect. 3.2 we report the results related to the effect of diffuse radiation for ecosystem photosynthesis. The link between these results and their relation to other studies are discussed in Sect. 3.3.
3.1 Aerosol effect on solar radiation

3.1.1 Criterion of clear sky based on the Solis clear-sky model

To understand the importance of the clear-sky criterion for the diffuse fraction of global radiation, we report the model test against diffuse and global irradiance measurements at SMEAR Estonia (the Solis clear-sky model is described in Sect. 2.2). Examples of the global and diffuse radiation diurnal cycles for clear and cloudy days are displayed in Fig. 2. Note that on a cloudy day with patchy clouds, the AOD can still be measured. Model results for a cloudy day report the global and diffuse clear-sky radiation for AOD and PW measured on that day. In general, the model performs well during clear-sky conditions as can be seen in Fig. 2a. This is in accordance with the results of Sengupta and Gotseff (2013) who reported good performance of the model for clear-sky conditions at several sites in the USA. On a cloudy day (Fig. 2b), there were times (e.g., at 09:00 and 17:00) when the measured and modelled global irradiance ($R_{g,\text{meas}}$ and $R_{g,\text{mod}}$) were nearly equal while the measured diffuse irradiance ($R_{d,\text{meas}}$) was significantly higher than the modelled one ($R_{d,\text{mod}}$). The criterion of clear sky based on the comparison between the modelled and measured global irradiance, i.e., involving only global irradiance (e.g., Kulmala et al., 2014), does not filter out these points.

A further illustration of the simplified criterion and its consequences for the diffuse fraction of global irradiance is given in Fig. 3, displaying the diffuse fraction of global irradiance under clear-sky conditions at SMEAR Estonia (summer 2016). The open symbols in combination with the closed symbols correspond to the data obtained using the clear-sky criterion involving global radiation alone:

$$R_{g,\text{meas}}/R_{g,\text{mod}} \geq 0.9.$$  (7)

The closed symbols, in comparison, show the data obtained with the criterion involving both the diffuse and global radiation:

$$R_{g,\text{meas}}/R_{g,\text{mod}} \geq 0.9 \text{ and } 0.8 \leq R_{d,\text{meas}}/R_{d,\text{mod}} \leq 1.2.$$  (8)

The criterion of clear sky suggested here is based on the results of Sengupta and Gotseff (2013), who determined a rms error of the linear regression corresponding to the measured global radiation and modelled global radiation using Solis.
In this section we discuss the difference between the diffuse fraction of PAR and broadband radiation. Figure 4 displays the ratio between the diffuse fraction of PAR, \( fdif_{\text{PAR}} \), and the diffuse fraction of broadband radiation, \( fdif_{\text{bb}} \), as a function of the diffuse fraction of broadband radiation, \( fdif_{\text{bb}} \), for various solar zenith angles (Eq. 7).

\[
\frac{fdif_{\text{PAR}}}{fdif_{\text{bb}}} = a \exp(-(fdif_{\text{bb}} - b)/c) + d.
\]  

The coefficients of the fitting function for each bin are reported in Table 2. Using this function, we can compare the diffuse fractions of PAR and broadband radiation over the whole range of sky conditions, including clear and cloudy skies. As expected (see more in Sect. 2.3), in Fig. 4 we observe an increase in the diffuse fraction of PAR up to 27% compared with the value for broadband radiation at small \( fdif_{\text{bb}} \), corresponding to clear-sky conditions. In absolute values, this difference between the diffuse fraction of the PAR and broadband radiation is not very large (e.g. \( fdif_{\text{bb}} = 0.15 \) corresponds to \( fdif_{\text{PAR}} = 0.18 \) under clear-sky conditions). However, as the diffuse fraction of global radiation in boreal forests varies in a relatively small range due to the direct effect of aerosol (e.g. Fig. 3), it is important to make corrections. As can be further noted from Fig. 4, the ratio \( fdif_{\text{PAR}}/fdif_{\text{bb}} \) approaches one for overcast cloudy conditions, as in this case diffuse radiation prevails for both PAR and broadband radiation.

We use these results to obtain the diffuse fraction of global broadband radiation for the sites where only PAR was measured. In the following we use the term “diffuse fraction of global radiation” for broadband radiation.

### Table 2. Best fit parameters for the diffuse fractions ratio, \( \frac{fdif_{\text{PAR}}}{fdif_{\text{bb}}} \), as a function of the diffuse fraction of broadband radiation, \( fdif_{\text{bb}} \), for various solar zenith angles (Eq. 7).

<table>
<thead>
<tr>
<th>Solar zenith angle</th>
<th>a</th>
<th>b</th>
<th>c</th>
<th>d</th>
</tr>
</thead>
<tbody>
<tr>
<td>( 35^\circ &lt; \text{sza} &lt; 45^\circ )</td>
<td>0.186</td>
<td>0.140</td>
<td>0.318</td>
<td>0.990</td>
</tr>
<tr>
<td>( 45^\circ &lt; \text{sza} &lt; 55^\circ )</td>
<td>0.191</td>
<td>0.146</td>
<td>0.296</td>
<td>0.990</td>
</tr>
<tr>
<td>( 55^\circ &lt; \text{sza} &lt; 65^\circ )</td>
<td>0.143</td>
<td>0.351</td>
<td>0.346</td>
<td>0.980</td>
</tr>
</tbody>
</table>

3.1.3 Aerosol influence on the diffuse fraction of global irradiance: comparative analysis for four sites

In this section, we consider the effect of aerosol on the diffuse fraction of global irradiance. In the following analysis the data were filtered to include only clear-sky conditions, based on the modelled and measured global irradiance, using Equation (7) for all of the sites. We deliberately used the equation based only on the global irradiance in order to demonstrate the effect of unfiltered cloud-contaminated data on the diffuse fraction of solar radiation. Figure 5 displays \( R_d/R_g \) vs. CS at SMEAR I and II, SMEAR Estonia and Fonovaya (no aerosol data are available from Zotelino). To separate the effect...

### Figure 4. Ratio \( fdif_{\text{PAR}}/fdif_{\text{bb}} \) as a function of \( fdif_{\text{bb}} \) (SMEAR Estonia). Different curves correspond to the best fits of the data for different solar zenith angles (sza).

Note that the diffuse fraction of solar irradiance due to aerosol data are available from SMEAR Estonia lies between 0.08 and 0.21. As shown later, this relatively low ratio pertains to all the sites in this study: the maximum diffuse fraction of solar irradiance due to the direct aerosol effect was no more than 0.27 at the remote sites in boreal forests during the growing season.

### 3.1.2 Parameterization of the diffuse fraction of PAR as a function of the diffuse fraction of broadband radiation

In this section we discuss the difference between the diffuse fractions of PAR and broadband radiation. Figure 4 displays the ratio between the diffuse fraction of PAR, \( fdif_{\text{PAR}} \), and...
of clouds and aerosol particles, we report two quantities: the measured diffuse fraction of global irradiance (Fig. 5, blue symbols) and the modelled diffuse fraction of global irradiance (Fig. 5, orange symbols). Based on the analysis in Sect. 3.1.1, modelling provides information about the direct effect of aerosols on the diffuse fraction, while measurements illustrate the combined effects due to aerosols and clouds. Note that for consistency all the ratios \( R_d/R_g \) were corrected in accordance with the previous section, meaning that only the ratios corresponding to the broadband radiation are reported (although PAR is measured at SMEAR I and II). For SMEAR I, the model data set includes 4 years, while only 2 years of measured data are available. Diffuse radiation is not measured at Fonovaya station; hence, only model results are shown. Moreover, the data from 2016 were not used due to forest fires in Siberia. Smoke plumes have large influences on the aerosol size distribution as a result of which the clear-sky model fails to predict diffuse and global radiation.

An increase in \( R_d/R_g \) with increasing CS is observed at all of the sites, as follows from the model results (also representative for the measurements with an appropriate clear-sky equation, as discussed in Sect. 3.1.1 and demonstrated in Fig. 3). Note that the modelled values of \( R_d/R_g \) correspond to the lower points in the measured data sets. The blue points above the modelled data are characterized by a larger diffuse radiation than those obtained for current AODs using Solis; hence, they represent the effect of clouds. According to the model calculations, the maximum diffuse fraction of global radiation due to the direct effect of aerosols did not exceed 0.27, while the minimum fraction was about 0.1. Furthermore, the aerosol population with CS smaller than approximately 0.005 s\(^{-1}\) do not significantly contribute to light scattering (Fig. 5), as the diffuse fraction of global irradiance for these values of CS is almost constant and close to 0.1; this can be generally attributed to Rayleigh scattering.

We fitted modelled and measured data with the linear function \( f_{di} f_{gb} = kCS + b \). The best-fit coefficients and correlation coefficients for four sites are reported in Table 3. All of the dependences pertaining to the modelled data, i.e. to the direct effect of aerosol particles on solar radiation, had correlation coefficients larger than 0.5 corresponding to a moderate correlation (except Fonovaya, where \( R = 0.44 \), which was most likely due to the small data set). On the contrary, cloud-influenced data demonstrate rather weak correlations with 0.18 < \( R < 0.33 \).

### 3.2 The effect of diffuse radiation on GPP

#### 3.2.1 The effect of diffuse radiation on GPP: comparative analysis for all of the sites

In this section we study the LUE and PAR dependences on the diffuse fraction of global radiation in order to better understand the behaviour of the dependence of GPP on \( R_d/R_g \). Figure 6 displays the dependences of the LUE on \( R_d/R_g \) for all sites. All of these dependences exhibit a linear relationship with the correlation coefficients between \( R = 0.67 \) and \( R = 0.83 \) (except Fonovaya with \( R = 0.44 \), which can be attributed to both the short data set and the less precise gradient method used for the CO\(_2\) flux calculations). The LUE slope reflects the canopy properties, i.e. it characterizes the ability of a forest stand to take up more CO\(_2\) in response to an increasing diffuse fraction of solar irradiance. The steepest LUE slopes pertain to the mixed forests.

---

**Table 3.** Best fit parameters, correlation coefficients and \( p \)-values for radiation data (\( R_d/R_g = kCS + b \)).

<table>
<thead>
<tr>
<th>Station</th>
<th>( k_{mod}, s )</th>
<th>( b_{mod} )</th>
<th>( R_{mod} )</th>
<th>( p_{mod} )</th>
<th>( k_{meas}, s )</th>
<th>( b_{meas} )</th>
<th>( R_{meas} )</th>
<th>( p_{meas} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMEAR I</td>
<td>8.30</td>
<td>0.108</td>
<td>0.53</td>
<td>&lt; 0.001</td>
<td>6.73</td>
<td>0.176</td>
<td>0.18</td>
<td>0.0422</td>
</tr>
<tr>
<td>SMEAR II</td>
<td>10.21</td>
<td>0.092</td>
<td>0.69</td>
<td>&lt; 0.001</td>
<td>11.59</td>
<td>0.153</td>
<td>0.33</td>
<td>&lt; 0.001</td>
</tr>
<tr>
<td>SMEAR Estonia</td>
<td>6.39</td>
<td>0.094</td>
<td>0.60</td>
<td>&lt; 0.001</td>
<td>5.50</td>
<td>0.123</td>
<td>0.23</td>
<td>&lt; 0.001</td>
</tr>
<tr>
<td>Fonovaya</td>
<td>3.32</td>
<td>0.113</td>
<td>0.44</td>
<td>&lt; 0.001</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
</tbody>
</table>

**Figure 5.** The diffuse fraction of global irradiance as a function of CS (clear-sky data). The red symbols represent calculations with the clear-sky model, and blue symbols represent measurements.
at SMEAR Estonia and Fonovaya, while the slopes are approximately 60% less steep in coniferous forests (Table 4). This difference is presumably due to the forest type, as mixed forests have a larger potential for photosynthetic activity enhancement due to a larger leaf area index and a deeper canopy. We emphasize that the difference is seen in the LUE, in accordance with the LUE definition given in Sect. 2.5, which includes a dependence on LAI and tree height attributed to fAPAR in the standard definition. Note that the increase in the LUE from approximately 0.01 to 0.03–0.04 mol CO$_2$ mol photons$^{-1}$ observed for mixed forests is similar to that reported by Cheng et al. (2016) for mixed and broadleaf forests in the USA.

Figure 6. Light use efficiency (LUE) as a function of the diffuse fraction of global irradiance ($R_d/R_g$). All dependences are statistically significant ($p < 0.001$).

Figure 7 displays the dependences of the global PAR on $R_d/R_g$ for all sites. As expected, PAR decreases as $R_d/R_g$ increases: at smaller values due to aerosol particles, and at larger values due to clouds. As follows from Fig. 5, the values of $R_d/R_g < (0.2 - 0.27)$ mostly correspond to the influence of aerosol particles (but they can also be influenced by thin clouds), while larger values of $R_d/R_g$ are associated with the presence of clouds. Similarly to the LUE, these dependences are linear with high correlation coefficients ($0.78 < R < 0.90$). Generally, the slopes of the linear dependences in Fig. 7 were similar (within the range of 1081–1194 µmol s$^{-1}$ m$^{-2}$), which can probably be attributed to similar cloud attenuating properties over all of the sites at middle latitudes. The exception is SMEAR I, where the slope is lower (944 µmol s$^{-1}$ m$^{-2}$). Solar radiation under clear-sky conditions is also significantly lower at SMEAR I compared with the other sites, which is partly due to the high latitude, and partly because the growing season at SMEAR I is July.
and August (i.e. it does not include June which has the highest global irradiance values).

The vertical scattering of the data in Fig. 7 is presumably due to two factors: first, the variability in the radiation intensity during daytime and growing season, and second, the different influences of clouds, as the same diffuse fraction of global irradiance may pertain to the different attenuations of global radiation by clouds. Note that the latter factor is excluded from the Fonovaya data set by the parameterization. One can conclude that the PAR variability due to clouds was larger than the diurnal (associated with different solar zenith angles during the day) and day-to-day PAR variability in the growing season. Thus, additional binning by, e.g. solar zenith angle, would be redundant, as the decrease in PAR variability due to binning would be hidden by the stronger scattering due to clouds.

Finally, based on the linear dependences of the LUE on \( R_d/R_g \) and PAR on \( R_d/R_g \), we can estimate how GPP depends on \( R_d/R_g \). When we multiplied the LUE by PAR, parabolic dependences were obtained for all the sites, with a maximum due to the effect of diffuse radiation on photosynthesis. Figure 8 shows the estimated GPP dependences on \( R_d/R_g \) for the different sites for comparison, while Fig. 9 displays data sets and estimated curves separately for all sites, similar to Figs. 6 and 7.

### 3.3.2 Constraints on the LUE and the diffuse fraction of solar radiation associated with the maximum ecosystem GPP under diffuse light

Well-pronounced linear dependences of the LUE and PAR on \( R_d/R_g \) can be used to estimate how large an increase in the LUE should be in order to have GPP increase under diffuse radiation and at what diffuse fraction of solar radiation the maximum GPP can be observed. If

\[
\text{LUE} = L_1 + L_2 \cdot (R_d/R_g), \quad \text{PAR} = R_1 + R_2 \cdot (R_d/R_g),
\]

then the maximum GPP is reached at \( (R_d/R_g)_{\text{max}} = -0.5(L_1/L_2 + R_1/R_2) \), estimated as the point where the parabola \( \text{GPP} = \text{LUE}\cdot\text{PAR} \) has its maximum. The position of this maximum depends on the ratios \( L_2/L_1 \) and \( R_2/R_1 \). For a certain range of parameters, the maximum of the parabola can be located at \( R_d/R_g < 0.08 \), which is below the minimum diffuse fraction measured at our sites; therefore, it is not feasible for the latitudes we consider in this study. In this case, GPP monotonically decreases when \( R_d/R_g \) increases from \( \approx 0.1 \) to 1. Conversely, \( (R_d/R_g)_{\text{max}} \) should be larger than 0.08–0.1 for the GPP to have a maximum under diffuse light. Note, that PAR dependences on \( R_d/R_g \) at middle latitudes are similar: \( R_1/R_2 \approx 1.5 \), while for SMEAR I this ratio is \( R_1/R_2 \approx -1.3 \). From these estimates, \( L_2 > L_1/1.2 \) for the middle latitudes. Since \( L_1 \) is roughly the minimum value of the LUE at \( R_d/R_g \approx 0.1 \) (clear sky), while \( L_1 + L_2 \) is the maximum of the LUE at \( R_d/R_g = 1 \) (overcast conditions), \( L_2 \) can be treated as the maximal gain in the LUE under diffuse light. Thus, the GPP will have a maximum associated with diffuse radiation if the ecosystem LUE under diffuse light increases by more than approximately 80 % of its minimum possible value (which is observed under clean conditions on clear days). For the sites considered in the present study, the smallest gain in the LUE due to diffuse radiation is observed at SMEAR II, where the LUE under diffuse light was almost twice as large as its value on clear days. The largest gain was at SMEAR Estonia and Fonovaya where the LUE grew by almost a factor of 3 if the dominating radiation conditions in the area changed from mostly direct to mostly diffuse radiation. Therefore, all ecosystems displayed maxima of GPP dependence on \( R_d/R_g \) due to diffuse light, although at different values of \( R_d/R_g \).

Moreover, this approach clearly demonstrates that the maximum GPP can never be reached under overcast conditions. If we again take \( R_1/R_2 = -1.4 \) as for the middle latitudes, then the position of the maximum is at \( (R_d/R_g)_{\text{max}} = -1/2(L_1/L_2) + 0.7 \). One can immediately deduce that for large slopes of the LUE, i.e. when \( L_1/L_2 \) approaches zero, \( (R_d/R_g)_{\text{max}} \) approaches 0.7. At SMEAR I, this position is restricted by \( (R_d/R_g)_{\text{max}} \approx 0.65 \). The maximum of GPP parabolas for the five sites considered in this study is at \( (R_d/R_g)_{\text{max}} \approx 0.4-0.5 \).

### 3.3 Discussion

In this section we combine the results from the previous sections to make conclusions regarding the direct effect of aerosols on GPP, and we compare the results obtained with those from previous studies.

As previously mentioned in Sect. 3.1.3, a cloud-biased data set and a standard linear regression analysis results in

---

**Table 4. Linear regression coefficients for PAR and LUE at different sites:**

<table>
<thead>
<tr>
<th>Station</th>
<th>( L_2, , \text{mol}_{\text{CO}<em>2} / \text{mol}</em>{\text{photons}} )</th>
<th>( L_1, , \text{mol}_{\text{CO}<em>2} / \text{mol}</em>{\text{photons}} )</th>
<th>( R_2, , \mu\text{mol} \text{s}^{-1} \text{m}^{-2} )</th>
<th>( R_1, , \mu\text{mol} \text{s}^{-1} \text{m}^{-2} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMEAR I</td>
<td>0.0157</td>
<td>0.0062</td>
<td>−944</td>
<td>1212</td>
</tr>
<tr>
<td>SMEAR II</td>
<td>0.0164</td>
<td>0.0098</td>
<td>−1081</td>
<td>1480</td>
</tr>
<tr>
<td>SMEAR Estonia</td>
<td>0.0278</td>
<td>0.0094</td>
<td>−1194</td>
<td>1608</td>
</tr>
<tr>
<td>Fonovaya</td>
<td>0.0238</td>
<td>0.0092</td>
<td>−1085</td>
<td>1575</td>
</tr>
<tr>
<td>Zotino</td>
<td>0.0143</td>
<td>0.0058</td>
<td>−1118</td>
<td>1548</td>
</tr>
</tbody>
</table>

---

www.atmos-chem-phys.net/18/17863/2018/
weak but significant ($p < 0.001$) correlations between CS and $R_d/R_g$ (Table 3). The relatively high cloud-biased diffuse fraction of global radiation at low CS leads to an underestimation of the effect of increasing aerosol loading for the cloud-biased data set. If CS increases from 0.002 to 0.015 s$^{-1}$ (obtained for the clear-sky conditions), a relative increase in the diffuse fraction of global radiation following from the clear-sky model is from 110% to 165% at all of the sites except Fonovaya, while this increase is between 65% and 118% for cloud-biased data. In the following we use only the results for the clear-sky model (representing the measured data set when the stricter Equation (8) of clear sky is applied, as follows from Fig. 3). In absolute values the increase was quite small: from 0.11 to $\sim 0.27$ at SMEAR I and II, and from 0.11 to $\sim 0.2$ at SMEAR Estonia and Fonovaya. The increases in $R_d/R_g$ over these value ranges led to increases in GPP from 17.2 to 18.6 µmol s$^{-1}$ m$^{-2}$ at Fonovaya, from 18.5 to 20.9 µmol s$^{-1}$ m$^{-2}$ at SMEAR Estonia, from 15.8 to 16.9 µmol s$^{-1}$ m$^{-2}$ at SMEAR II and from 8.8 to 10.0 µmol s$^{-1}$ m$^{-2}$ at SMEAR I. The largest relative increases in GPP due to the increasing aerosol loading from its minimum value to its maximum value were observed for SMEAR I and SMEAR Estonia (14% and 13% respectively). Note, however, that the median value of CS should be increased by a factor of about 5 at SMEAR I to get this maximum gain in GPP, whereas this same increase in GPP would be observed at SMEAR Estonia if the median CS increased by a factor of 2–3.

Overall, we obtained rather weak dependence of the diffuse fraction on CS. It is much weaker than that reported by Kulmala et al. (2014): for all of the sites the slope is less than 10 s (Table 3) compared with the almost 100 s obtained

weak but significant ($p < 0.001$) correlations between CS and $R_d/R_g$ (Table 3). The relatively high cloud-biased diffuse fraction of global radiation at low CS leads to an underestimation of the effect of increasing aerosol loading for the cloud-biased data set. If CS increases from 0.002 to 0.015 s$^{-1}$ (obtained for the clear-sky conditions), a relative increase in the diffuse fraction of global radiation following from the clear-sky model is from 110% to 165% at all of the sites except Fonovaya, while this increase is between 65% and 118% for cloud-biased data. In the following we use only the results for the clear-sky model (representing the measured data set when the stricter Equation (8) of clear sky is applied, as follows from Fig. 3). In absolute values the increase was quite small: from 0.11 to $\sim 0.27$ at SMEAR I and II, and from 0.11 to $\sim 0.2$ at SMEAR Estonia and Fonovaya. The increases in $R_d/R_g$ over these value ranges led to increases in GPP from 17.2 to 18.6 µmol s$^{-1}$ m$^{-2}$ at Fonovaya, from 18.5 to 20.9 µmol s$^{-1}$ m$^{-2}$ at SMEAR Estonia, from 15.8 to 16.9 µmol s$^{-1}$ m$^{-2}$ at SMEAR II and from 8.8 to 10.0 µmol s$^{-1}$ m$^{-2}$ at SMEAR I. The largest relative increases in GPP due to the increasing aerosol loading from its minimum value to its maximum value were observed for SMEAR I and SMEAR Estonia (14% and 13% respectively). Note, however, that the median value of CS should be increased by a factor of about 5 at SMEAR I to get this maximum gain in GPP, whereas this same increase in GPP would be observed at SMEAR Estonia if the median CS increased by a factor of 2–3.

Overall, we obtained rather weak dependence of the diffuse fraction on CS. It is much weaker than that reported by Kulmala et al. (2014): for all of the sites the slope is less than 10 s (Table 3) compared with the almost 100 s obtained
in the above-mentioned study. This difference is due to the inappropriate equation of clear sky selecting cloud-biased points with a diffuse fraction up to 0.8 (Kulmala et al., 2014) and a different statistical method (bivariate fitting compared with the linear regression used in this study). Note that Kulmala et al. (2014) reported minimum and maximum possible slopes for an increase in the diffuse fraction of global radiation with CS. Our present results are close to their minimum slope. Furthermore, due to the large diffuse fractions attributed to the effect of aerosols rather than clouds, the maximum direct effect of aerosols on GPP was overestimated by Kulmala et al. (2014). In the present study we obtained a 6% increase in GPP at SMEAR II due to the diffuse radiation effect rather than the ≈30% reported by Kulmala et al. (2014). However, their minimum slope, reported for GPP vs. \( R_d/R_g \) dependence, would result in an increase of GPP similar to this study.

Note that the aerosol loading observed at all sites corresponds to 0.04 < AOD_{675} < 0.35 with the typical values being in the range of 0.05–0.10 and AOD_{500} < 0.25 (see Appendix A). In accordance with the study by Park et al. (2018), an increase in the diffuse fraction did not exceed 0.3 for these relatively low AOD values. Much higher diffuse fractions (0.5–0.7) due to the direct aerosol effect were obtained by Cirino et al. (2014) for the biomass burning season in the Amazon.

Next, all of the GPP dependences have a maximum due to clouds. The maximum corresponds to the clouds with a diffuse fraction in the order of 0.4–0.5. According to Cheng et al. (2016) and Pedruzo-Bagazgoitia et al. (2017), this \( R_d/R_g \) corresponds to optically thin clouds with cloud optical thicknesses less than 5. Conversely, GPP decreases for optically thick clouds, which has also been demonstrated by Cheng et al. (2016). The largest increase is 32%–33% at SMEAR Estonia and Fonovaya, whereas the smallest increase is 11% at SMEAR II compared with the GPP values on clear days characterized by low aerosol loading. At middle latitudes with a similar attenuation of radiation due to aerosols and clouds, the increase in GPP depends on the LUE slope: the steeper the LUE slope is, the more pronounced the maximum.

Based on Fig. 8, similar forest stands at Zotino and SMEAR I demonstrated a similar dependence of the GPP on \( R_d/R_g \), while this dependence was different for the coniferous forest at SMEAR II. GPP at SMEAR II under clear sky is almost 1.5 times larger than the corresponding GPP at SMEAR I and Zotino, but GPP increase under cloudy sky is smaller at SMEAR II. This could be a consequence of the closed canopy and the higher leaf area index of the SMEAR II forest stand. Our GPP data sets, reported in Fig. 9, look similar to those reported by Alton et al. (2007) and Alton (2008). The GPP dependence reported for SMEAR II is also similar to that reported by Alton (2008) for needle-leaf forests, but for mixed forests we obtained an increase of up to 30% compared with the moderate 10% increase for broadleaf forests reported by Alton (2008). Note that Alton (2008) used parameterization, Eq. (1), for the diffuse fraction of global radiation, while we had measurements of diffuse radiation at four sites out of five.

Finally, we considered the data from Zotino including the periods of forest fires (Park et al., 2018). Figure 7 suggests that forest fires do not have any specific influence on the PAR decrease with increasing \( R_d/R_g \) compared with cloudy sky. In other words, plumes from forest fires lead to a similar decrease in PAR and a similar separation in diffuse and direct fractions as some clouds. The same holds for the LUE of an ecosystem: the dependence of the LUE on clear days characterized by low aerosol loading. At mid-latitudes with a similar attenuation of radiation due to aerosols and clouds, the increase in GPP depends on the LUE slope: the steeper the LUE slope is, the more pronounced the maximum.

Based on Fig. 8, similar forest stands at Zotino and SMEAR I demonstrated a similar dependence of the GPP on \( R_d/R_g \), while this dependence was different for the coniferous forest at SMEAR II. GPP at SMEAR II under clear sky is almost 1.5 times larger than the corresponding GPP at SMEAR I and Zotino, but GPP increase under cloudy sky is smaller at SMEAR II. This could be a consequence of the closed canopy and the higher leaf area index of the SMEAR II forest stand. Our GPP data sets, reported in Fig. 9, look similar to those reported by Alton et al. (2007) and Alton (2008). The GPP dependence reported for SMEAR II is also similar to that reported by Alton (2008) for needle-leaf forests, but for mixed forests we obtained an increase of up to 30% compared with the moderate 10% increase for broadleaf forests reported by Alton (2008). Note that Alton (2008) used parameterization, Eq. (1), for the diffuse fraction of global radiation, while we had measurements of diffuse radiation at four sites out of five.

Finally, we considered the data from Zotino including the periods of forest fires (Park et al., 2018). Figure 7 suggests that forest fires do not have any specific influence on the PAR decrease with increasing \( R_d/R_g \) compared with cloudy sky. In other words, plumes from forest fires lead to a similar decrease in PAR and a similar separation in diffuse and direct fractions as some clouds. The same holds for the LUE of an ecosystem: the dependence of the LUE on clear days characterized by low aerosol loading. At mid-latitudes with a similar attenuation of radiation due to aerosols and clouds, the increase in GPP depends on the LUE slope: the steeper the LUE slope is, the more pronounced the maximum.

### 4 Conclusions

We quantified the direct effect of aerosol on solar radiation and GPP in boreal and hemiboreal forests in Eurasia. The analysis was based on data from five sites including coniferous and mixed forest ecosystems. The diffuse fraction of global radiation due to the direct aerosol effect was estimated to be in the range of 0.11 < \( R_d/R_g < 0.27 \) at all of the sites.
Figure 9. GPP dependences on $R_d/R_g$ for all of the sites. The curves represent estimated GPP (the same parabolas as in Fig. 8). We use a dashed curve for Fonovaya due to the relatively low correlation coefficient obtained for the LUE ($R = 0.44$, Fig. 6). The data sets for all of sites were cast in bins in $R_d/R_g$, the width of each bin is $R_d/R_g = 0.04$ ($R_d/R_g = 0.08$ for Fonovaya). Black points correspond to the mean GPP in each bin and error bars show the standard deviation of the data for each bin.

For the first time we demonstrated a connection between solar radiation properties (the diffuse fraction of global radiation) and condensation sink. The latter parameter is used in aerosol studies and it is obtained from ground-based observations. Employing CS instead of a column-averaged aerosol parameter AOD is a necessary step towards further investigation of the COBACC climate feedback loop, linking biogenic volatile organic compounds emissions and aerosol characteristics.

The GPP-radiation analysis was performed using the separation of GPP into the LUE and PAR. We found a linear dependence between the diffuse fraction of solar radiation and the LUE, as well as between the diffuse fraction of solar radiation and PAR, for all of the sites. While the PAR dependences were quite similar to one another (except for SMEAR I which is located at a relatively high latitude), the LUE dependences were different: the slopes were 60% steeper for mixed forests than for coniferous forests, and the intercepts were about 40% lower for coniferous forests with open canopies. We obtained a parabolic shape for the GPP dependence on the diffuse fraction of solar radiation. The maximum of the parabola was more pronounced for mixed forests due to the above-mentioned differences in the LUE dependences between the mixed and coniferous forests. Note that parabolic, or near parabolic, shapes have been reported for different forest sites by Alton (2008) and Moffat et al. (2010) using different methods to those used in this study.
We showed that GPP can be increased by 6 %–14 % due to the direct effect of aerosol particles at remote sites compared to clean conditions with low values of CS. The maximum increase was observed for mixed forests at mid-latitudes and for coniferous forests at relatively high latitudes.

Furthermore, based on the similarity in the PAR dependences on the diffuse fraction of solar radiation for all of the sites, we obtained the constraints on the ecosystems’ LUE increase under diffuse light necessary for a GPP maximum due to diffuse light. At mid-latitudes, the LUE of an ecosystem should increase by more than \( \sim 80\% \) under diffuse light compared with its value under clear-sky conditions. Moreover, at the mid-latitude sites, the diffuse fraction of solar radiation corresponding to the maximum GPP can not exceed 0.7.

The specific shape of the GPP dependence on the diffuse fraction of solar radiation suggests that clouds with a 30 min-averaged fraction \( R_d/R_g \) between 0.4 and 0.5 play an important role in determining ecosystems’ GPP and demand further investigation. An increase in GPP due to clouds can reach 32 %–33 % for mixed forests and 21 %–26 % for coniferous forests with an open canopy. Other relevant questions include cloud effects on the radiation regime and ecosystems’ GPP on annual scale and the investigation of potential aerosol effects on the evolution of clouds over forests.

Data availability. Data measured at the SMEAR II and SMEAR I stations are available from an open research data portal AVAA: http://avaa.tdata.fi/web/smart/ (Keronen et al., 2018). The data sets from other stations can be made available from the authors upon request.
Appendix A: Condensation sink vs. AOD

To make our study comparable to studies that use AOD$_{500}$ as a parameter quantifying aerosol loading, we performed an additional analysis for the data sets from SMEAR II and SMEAR Estonia. We used 30 min-averaged AOD from AERONET sites and CS, corresponding to the period from 09:00 to 15:00 (local time) and maximal growing season. The data set from SMEAR II encompasses 3 years (2008–2010) and the data set from SMEAR Estonia encompasses 4 years (2013–2016).

The results are shown in Fig. A1. Though AOD$_{500}$ clearly increases with increasing CS, the scatter of data is great. It means that in spite of the fact that both parameters are roughly proportional to the aerosol surface area distribution (Sundström et al., 2015), and in spite of the presumably well-mixed boundary layer during daytime, there is no simple relationship between ground-based and column-integrated aerosol characteristics. This has also been noted by Sundström et al. (2015) for sites in South Africa. Sundström et al. (2015) found a moderate correlation between the in situ measured scattering coefficient and AOD from AERONET. At the same time, the scattering coefficient was strongly correlated with CS; therefore, a moderate correlation between CS and AOD from AERONET could be expected. Figure A1 shows a moderate correlation ($R = 0.53$) for boreal forests at the mid-latitudes.

![Figure A1](Image link)

**Figure A1.** Aerosol optical depth at 500 nm (AOD$_{500}$) as a function of the condensation sink (CS) for SMEAR II and SMEAR Estonia ($R = 0.53$, $p < 0.001$).
Author contributions. EE, JB, TV, MH and MK developed the concept of the study and interpreted the results. EE analysed most of the data sets and wrote the paper. IY calculated CS and performed the CS-radiation data analysis for SMEAR I. JK analysed the hyperspectral radiation data from the Tartu observatory and contributed to writing Sects. 2.3 and 3.1.2. KK and MV prepared the aerosol data sets and calculated CS for SMEAR Estonia. AK and SN calculated GPP for SMEAR Estonia and contributed to writing Sect. 2. MA and BB performed the quality control of the data set from Fonovaya and contributed to writing Sect. 2. PK and IM calculated GPP for SMEAR I and II and commented on the comparison of GPP from different stations. SBP and JVL performed quality control of the data set from Zontino and contributed to writing Sect. 2. UR helped with the gradient method to calculate CO$_2$ flux for Fonovaya and to improve the graphic representation of results. VMK and TP helped with the interpretation of results. All authors provided critical feedback and helped shape the research, analysis and the paper.

Competing interests. The authors declare that they have no conflict of interest.

Disclaimer. This study is a part of the Pan-European Experiment (PEEX) program (Lappalainen et al., 2016). All of the Eurasia sites mentioned in this study are partners of the PEEX program and perform continuous multidisciplinary measurements pertaining to boreal forests.

Special issue statement. This article is part of the special issue “Pan-European Experiment (PEEX)”. It is not associated with a conference.

Acknowledgements. The authors are grateful to two referees who helped to improve clarity of the paper. This work was supported by the Academy of Finland Center of Excellence programme (grant no. 307331) and the Academy of Finland professor grant to Markku Kulmala (grant no. 302958). The results are part of a project (ATM-GTP/ERC) that has received funding from the European Research Council (ERC) under the European Union’s Horizon 2020 research and innovation programme (grant agreement no. 742206) and NordForsk via TRAKT-2018: Transferable Knowledge and Technologies for High-Resolution Environmental Impact Assessment and Management. Steffen Noe and Alisa Krasnova acknowledge support from the European network for observing our changing planet project (ERA-PLANET, grant agreement no. 689443) under the European Union’s Horizon 2020 research and innovation programme and the Estonian Ministry of Sciences project “Biosphere–atmosphere interaction and climate research applying the SMEAR Estonia research infrastructure” (grant no. P170026P1TF). Measurements at Fonovaya station are performed with support from the Russian Science Foundation (grant no. 17-17-01095). Aerosol measurements at SMEAR Estonia were supported by the institutional research funding IUT20-11 and IUT20-52 of the Estonian Ministry of Education and Research.

References

E. Ezhova et al.: Direct effect of aerosols on solar radiation and gross primary production


