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Restricted f(R) gravity and its cosmological implications

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We investigate the f(R) theory of gravity with broken diffeomorphism due to the change of the coefficient in front of the total divergence term in the decomposition of the scalar curvature. We perform the canonical analysis of this theory and show that its consistent form, i.e. with no unphysical degrees of freedom, is equivalent to the low-energy limit of the nonprojectable Lifshitz theory of gravity. We also analyze its cosmological solutions and show that the de Sitter solution can be obtained also in the case of this broken symmetry. The consequences of the proposed theory on the asymptotic solutions of a few specific models in the cosmological context are also presented.

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I. INTRODUCTION

Recent observational data show that inflation model for the early Universe is in remarkable agreement with the observations. Further, the celebrated cosmological constant problem can also be explained in the context of f(R) theories of gravity. It is also possible to find a formulation of f(R) gravity whose solutions of the equations of motion capture both the inflation and late-time behavior of the Universe.

It is important to stress that all these cosmological solutions depend only on the time, so that they break the manifest four-dimensional diffeomorphism of general relativity. Then one can ask the question of whether the time asymmetry of the Friedmann-Robertson-Walker (FRW) Universe could also be reproduced by some theory of gravity with a restricted symmetry group. Indeed, the celebrated de Sitter-Lifshitz (HL) gravity [3, 5] which is an interesting proposal for a renormalizable theory of gravity is based on the idea of the restricted invariance of the theory when the theory is invariant under the so-called foliation-preserving diffeomorphism. It turns out that there are two versions of HL gravity, the projectable theory when the lapse depends only on the time and the nonprojectable theory with the lapse depending on the spatial coordinates too [3]. It was subsequently shown in [6] that the first versions of nonprojectable de Sitter-Lifshitz gravity possesses a pathological behavior since the Hamiltonian constraint is a second-class constraint with itself, which implies that the phase space is odd-dimensional (for further discussions, see Ref. [7]). The resolution of this problem

was first suggested in Ref. [8] and further elaborated in Refs. [9, 10] where it was argued that in a theory with broken full diffeomorphism invariance, it is natural to include all the terms which contain the spatial gradients of the lapse and which are invariant under spatial diffeomorphism too. Then the Hamiltonian analysis of this theory shows that this Hamiltonian constraint is a second-class constraint with the primary constraint π_N where N is the momentum conjugate to the lapse [11–14]. On the other hand, the fact that the number of constraints is less than in general relativity implies the existence of an extra scalar degree of freedom.

These considerations suggest that the full diffeomorphism invariance must not be the fundamental symmetry of f(R) gravity. In that case it is natural to consider the possibility of whether the f(R) theory of gravity, which is not invariant under the full four-dimensional diffeomorphism, can be consistent with the recent cosmological models. There are certainly several ways to break full diffeomorphism invariance. The most natural way is to generalize HL gravity to its f(R)-like form as was done in several papers; see for example Refs. [15–21]. Recently a new interesting proposal for a theory with the broken full diffeomorphism invariance was proposed in Ref. [22] which is based on the following simple modification

$$R = R + \frac{1}{2} \nabla_\mu \nabla^\mu \phi + \frac{1}{2} \nabla_\mu \nabla^\mu \psi,$$

where ϕ is a parameter and ψ is a four-divergence term that appears in the decomposition of the Ricci scalar in four dimensions [23]

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¹For a review of f(R) theories of gravity, see for instance Ref. [2]²A four-divergence term with a coefficient different from 1 was first discussed in Refs. [19, 20] when the synthesis of f(R) gravity and HL was proposed.

$$R \dots \delta^3 p \, p \, \delta K_{ij} \, K_{ij} \, K^2 p \, p : \quad \delta 2$$

The parameter now has the meaning of the deformation parameter that in a similar context was introduced in the case of off-shell HL gravity in Refs. [19, 20] while its more physical interpretation will be given in Sec. VI. It is important to stress that the last term is a four-divergence and hence the Einstein-Hilbert action the modification does not make sense, since it gives the boundary contribution that does not affect the equations of motion. On the other hand, it could have nontrivial consequences in the case of theories of gravity as was shown in [22].

Due to the interesting features of this simple theory [Eq. (1)], we believe it deserves to be studied further. In particular we would like to give a more physical justification for it and see whether the presumptions which were implicitly used in Ref. [22] have solid physical grounds. In particular, it is not quite clear whether the Newtonian gauge used there could be implemented in the theory with the broken diffeomorphism invariance. Motivated by these facts, we perform the Hamiltonian analysis of the restricted fRR gravity. We show, in agreement with [22], that the full diffeomorphism invariance is broken. On the other hand, we show that the naive form of restricted fRR gravity possesses the same pathological behavior as the first versions of nonprojectable HL gravity, whose description was given above. We resolve this problem in a similar way as in the case of nonprojectable HL gravity. More precisely, we show under which conditions the restricted theory could be considered as a consistent theory from the Hamiltonian point of view. In the first case we impose an additional constraint on the theory. However, a careful Hamiltonian analysis of this version will show that now the theory becomes invariant under the full four-dimensional diffeomorphism and that it reduces to the standard Einstein-Hilbert action with a cosmological constant. The second possibility is to consider an extended version of restricted gravity when we include the terms containing spatial gradients of the lapse. However, in this case we obtain that this theory could be considered as a low-energy limit of the nonprojectable HL theory of gravity. We perform the Hamiltonian analysis of this theory, following Refs. [11–14] and we show that there is an extra scalar degree of freedom, whose consequences on the physical spectrum around a FRW background should be taken into account.

Having constructed a consistent modification of the theory of gravity, we proceed to the analysis of cosmological solutions and we find that the properties of these solutions depend on the value of the parameter. This allows us to obtain new solutions which do not exist in the ordinary fRR theory of gravity. The structure of this paper is as follows. In the next section we introduce the original formulation of the restricted theory of gravity and perform its

Hamiltonian analysis. In Sec. IV we study a version of this theory with an additional constraint imposed and we show that this theory is equivalent to the ordinary Einstein-Hilbert one. Then in Sec. V we propose an extended form of the restricted theory of gravity with the spatial gradients of the lapse included. In Sec. VI we study some cosmological solutions of such a theory. Finally, in Sec. VII we outline our results and suggest a possible extension of the work.

II. RESTRICTED fRR GRAVITY

Let us consider the four-dimensional manifold with the coordinates, $x^0, \dots, x^3$, where $x^0 = t; x^i, i = 1, 2, 3$. We assume that this space-time is endowed with the metric $g_{\alpha\beta}$ with signature $(-+++)$. Suppose that M can be foliated by a family of space-like surfaces defined by $t = x^0$. In this work, we are interested in the cosmological implications of our model. So, we will use the flat Friedmann-Robertson-Walker Universe for which $M = R^3$. So, let $g_{ij}, i, j = 1, 2, 3$ denote the metric on Σ_t with its inverse g^{ij} , so that $g_{\alpha\beta} dx^\alpha dx^\beta = -dt^2 + g_{ij} dx^i dx^j$. We further introduce the operator ∇_i the covariant derivative defined by the metric g_{ij} . We introduce the future-pointing unit normal vector to the surface Σ_t . In Arnowitt-Deser-Misner (ADM) variables we have $n^\alpha = (-1, 0, 0, 0)$. We also define the lapse function $N = -g^{00}$ and the shift function $N^i = g^{0i}$. In terms of these variables we write the components of the metric as

$$N^2 p \, N_i g^{ij} N_j; \quad \dot{g}_{ij} \dots N_i; \quad \dot{g}_{ij} \dots g_{ij}; \quad \frac{1}{N^2}; \quad \dot{g}^{0i} \dots \frac{N^i}{N^2}; \quad \dot{g}^{ij} \dots g^{ij} \frac{N^i N^j}{N^2}; \quad \delta 3$$

It is easy to see that

$$p \, \det g \dots N^p \, \det g; \quad \delta 4$$

Further we define the extrinsic derivative

$$K_{ij} \dots \frac{1}{2N} (\dot{g}_{ij} - N_i N_j - N_j N_i); \quad \delta 5$$

It is well known that the components of the Riemann tensor can be written in terms of ADM variables. For example, in the case of the Riemann curvature we have

$$R_{\alpha\beta\gamma\delta} \dots K_{ij} K_{kl} K_{mn} K_{pq} \dots \frac{2}{g} \delta^p_{\delta} \dot{g}_{\alpha\beta} g_{\gamma\delta} K^p_{\delta} \dots \frac{2}{gN} \delta^p_{\delta} g_{\alpha\beta} g_{\gamma\delta} N_{ij} \dots K_{ij} K_{kl} K_{mn} K_{pq} \dots \delta 6$$

For a review and an extensive list of references, see Ref.

The restricted f0R gravity is based on the idea that we modify R in the following way:

$$R = R + \delta R = 1 + \delta R; \quad (10)$$

where δ is a constant. Then we consider the action in the form

$$S_{f0R} = \frac{1}{2} \int dt d^3x \sqrt{g} N f(\delta R + \delta R) = \delta R; \quad (11)$$

Note that, since R^3 has no boundary, we have not considered any boundary term in the action. Our goal is to perform the Hamiltonian analysis of the action (11). We introduce two auxiliary scalar fields A and B and rewrite the action in the equivalent form

$$S_{f0R} = \frac{1}{2} \int dt d^3x \sqrt{g} N f(\delta A + \delta B + \delta R) = \delta R; \quad (12)$$

It can be easily seen that the action (12) is equivalent to the action (11) when we solve the equation of motion for A and B. Inserting this result back into Eq. (12) we obtain Eq. (11). Now using the explicit form of we can rewrite the action in the form

$$S_{f0R} = \frac{1}{2} \int dt d^3x \sqrt{g} N B \delta K_{ij} G^{ijkl} K_{kl} + \delta B R + \delta B; \quad (13)$$

where we have introduced the DeWitt metric

$$G^{ijkl} = \frac{1}{2} (\delta g^{ik} \delta g^{jl} + g^{ik} g^{jl} - g^{ij} g^{kl}); \quad (14)$$

and where

⁴Generally, this action should be supplemented with the boundary term in order to make the variation principle well defined [24]. When one wants to study the isolated objects, e.g. the black holes, such terms are needed when asymptotically flat boundary conditions are imposed. In the case of the standard theory of gravity such a boundary term has the form [25, 26] $\int_{\partial M} d^3y \sqrt{h} j^{\mu\nu} \delta R_{\mu\nu} \dots \frac{df}{dr}$, where ∂M is the boundary of the manifold, h is the determinant of the induced metric, K is the trace of the extrinsic curvature of the boundary and is equal to 1 if ∂M is time-like and -1 if ∂M is space-like. Finally coordinates label the boundary. We propose that in case of the restricted gravity the corresponding boundary term is a simple modification of the boundary term given above when we replace δR with $\delta R + \delta R$. However the detailed analysis of this boundary contribution is beyond the scope of this paper and will be performed elsewhere.

$$n_B = \frac{1}{N} \delta t_B = N^{-1} \delta t_B; \quad (15)$$

From Eq. (10) we find the conjugate momenta

$$\begin{aligned} p_B &= \frac{1}{2} \sqrt{g} B G^{ijkl} K_{kl} = \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl}; \quad N = 0; \quad \delta R = 0; \\ p_B &= \frac{2}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl}; \quad p_A = 0; \end{aligned} \quad (16)$$

Then it is easy to find the Hamiltonian density in the form

$$H = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \quad (17)$$

$$\begin{aligned} H_T &= \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B \\ &= \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B \\ &= \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \frac{1}{2} \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B \end{aligned}$$

$$H_I = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \quad (18)$$

Now the requirement of the preservation of the primary constraints $\delta x = 0$, $\delta x = 0$ and $\delta x = 0$, implies the following secondary ones:

$$\begin{aligned} \delta x &= \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \\ \delta x &= \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \\ \delta x &= \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \end{aligned} \quad (19)$$

Since $\delta x = 0$, $\delta x = 0$ and $\delta x = 0$, we see that $\delta x = 0$, $\delta x = 0$ and $\delta x = 0$ are the second-class constraints and hence can be explicitly solved. In solving the first one, we set strongly equal to zero, while solving the second one, we find $\delta x = 0$. Assuming that δx is invertible, we can express as a function of δx so that $\delta x = 0$ for some function δx . Finally, since $\delta x = 0$, $\delta x = 0$ and $\delta x = 0$, we see that the Dirac brackets between the canonical variables coincide with the Poisson brackets.

Now we proceed to the analysis of the preservation of all constraints. We begin with the constraint $\delta x = 0$, which we modify in the following way:

$$H_I = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \quad (20)$$

It is convenient to define the smeared form of these fields

$$T_S \delta N = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B = \int dt d^3x \sqrt{g} B G^{ij}{}_{kl} K^{kl} p_B; \quad (21)$$

The reason why one considers the smeared forms (i.e. also convenient to introduce as well the smeared form of the constraints integrated by multiplying them with the Hamiltonian constraint smooth functions) is to easily deal with the distributions, which is the usual and rigorous way, since the pointwise constraints are distributions and in particular they contain the delta functions and their derivatives. In principle, one can perform all the calculations without their smeared forms, but then more care has to be taken. Then it is easy to show that $\int T_{\alpha\beta} \delta N^{\alpha\beta}$ are the generators of the spatial diffeomorphisms and that they are the first-class constraints. Maintaining rather focus on the analysis of the Hamiltonian constraint calculations we find

$$\begin{aligned} \{ \int T_{\alpha\beta} \delta N^{\alpha\beta}; \int T_{\alpha\beta} \delta M^{\alpha\beta} \} &= \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} + \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} \\ &= \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} + \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} \end{aligned} \quad (20)$$

The expression on the first line is the standard form of the Poisson bracket between smeared forms of the Hamiltonian constraint for the full diffeomorphism-invariant theory. On the other, the additional terms (20) which are proportional to 1 do not vanish on the constraint surface and explicitly show the breaking of the full diffeomorphism invariance. Moreover, this result suggests that we have a theory where is a second-class constraint, which is the situation that is known from the analysis of the first versions of nonprojectable Ho-Lifshitz gravity [6, 7]. As was argued there, the existence of one second-class constraint implies that the dimension of the physical phase space is odd, which should not be. It turns out that there are two possible ways to resolve this puzzle. The first one is based on the observation that the right side of the Poisson bracket (20) vanishes on the constraint surface where $\delta N^{\alpha\beta} = 0$. We will discuss this case in the next section.

III. PROJECTABLE RESTRICTED f(R) GRAVITY

To proceed with the condition $\delta N^{\alpha\beta} = 0$, we introduce the following decomposition of the scalar field

$$B \dots B \dots B_0; \quad (21)$$

where

$$B_0 \dots \frac{1}{d^3 x} \int d^3 x P_g B \quad (22)$$

and hence $\int d^3 x P_g B \dots 0$. Then the condition $B \dots 0$ implies $B \dots K^{\alpha\beta}$ for any function $K^{\alpha\beta}$. On the other hand, since $\int d^3 x P_g B \dots 0$, we obtain that $K^{\alpha\beta} \dots 0$. In other words, the condition $B \dots 0$ is equivalent to the constraint

Finally we have to analyze the requirement of the preservation of the constraint 0

$$\begin{aligned} \{ \int T_{\alpha\beta} \delta N^{\alpha\beta}; \int T_{\alpha\beta} \delta M^{\alpha\beta} \} &= \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} + \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} \\ &= \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} + \int T_{\alpha\beta} \delta \delta N^{\alpha\beta} \int M^{\alpha\beta} \delta M^{\alpha\beta} \end{aligned} \quad (23)$$

In order to preserve the constraint 0, it is natural to impose the following constraint:

$$\int \frac{1}{d^3 x} \int d^3 x P_g B \dots 0; \quad (24)$$

Now thanks to the Poisson bracket (25) we see that there exists a nonzero Poisson bracket $\{ \int T_{\alpha\beta} \delta N^{\alpha\beta}; \int T_{\alpha\beta} \delta M^{\alpha\beta} \} \neq 0$, so

that they are the second-class constraints. We recall that α_1, α_2 are the corresponding coupling constants. Then there are still two additional second-class constraints in interaction with auxiliary fields A and B has the form $p_A = 0, G_A = 0$. Solving these second-class constraints, we obtain the Hamiltonian constraint the form

$$H_T \dots \frac{1}{2} p_{gB_0}^2 \delta^{ij} g_{ik} g_{jl} - \frac{1}{2} p_{gB_0}^2 - \frac{p_g}{2} B_0 \delta^{ab} R_{ab} - \frac{1}{2} p_g^2 \delta B_0^2; \quad (28)$$

where we have also solved for A as $A \dots \delta B_0$. Finally, note that H_T does not depend on B and hence B_0 is constant on shell and we see that (28) corresponds to the Hamiltonian constraint of general relativity with a cosmological constant which is absorbed into the definition of. In other words, the condition implies that the above considered restricted gravity is equivalent to general relativity. For that reason we have to consider the second possibility when we abandon the requirement that is a first-class constraint.

IV. EXTENDED FORM OF RESTRICTED f(R) GRAVITY

In this section we show how to resolve the problem with the naive existence of the second-class constraints in restricted f(R) gravity. The resolution of this puzzle is based on the fact that whenever we accept that some theory is not invariant under the full diffeomorphism, it is natural to include all the terms that are compatible with the spatial diffeomorphism in the definition of the action. In other words, we should consider a more general version of restricted f(R) gravity that is similar to the so-called healthy extension of HL gravity [8, 10]. In this section we consider such a modification of the restricted gravity when we include in the action additional terms which are invariant under spatial diffeomorphism. Following the discussion performed in the case of HL gravity, we also replace the DeWitt metric by a generalized DeWitt metric which has the form [3]

$$G^{ijkl} \dots \frac{1}{2} \delta^{jk} g^{il} p g^{il} g^{jk} p g^{ij} g^{kl}; \quad \frac{1}{3};$$

$$G_{ijkl} \dots \frac{1}{2} \delta_{jk} g_{il} p g_{il} g_{jk} p \frac{1}{3} g_{ij} g_{kl}; \quad (29)$$

More importantly, due to the fact that the theory is not invariant under the full four-dimensional diffeomorphism, it is natural to include the vector $\frac{1}{N}$ in the definition of the action. In other words, our extended form of restricted f(R) gravity arises when we perform the replacement

$$R \rightarrow K_{ij} G^{ijkl} K_{kl} p \delta^{ab} R_{ab} p p_{1a} a^i p p_{2b} R^{ij} a_i a_j; \quad (30)$$

where α_1, α_2 are the corresponding coupling constants. Then the action with auxiliary fields A and B has the form

$$S_{f(R)B} \dots \frac{1}{2} \int d^4x \delta p_{gNB} K_{ij} G^{ijkl} K_{kl} p \delta^{ab} R_{ab} p p_{2b} R^{ij} a_i a_j - \alpha_1 p p_{gN} f \delta A p - \frac{1}{2} p_{gN}^2 B g^{ij} K_{ji} p p_{2i} B p_{gg}^{jj} p_{jN}; \quad (31)$$

Now we are ready to proceed to the Hamiltonian analysis of the theory defined by the action (31). Following the same logic as in Sec. I, we find the Hamiltonian density in the form

$$H \dots t g_{ij} p p_B - t B L \dots N H_T p N^i H_i; \quad (32)$$

where

$$L \dots \frac{1}{2} p_{gB}^2 \delta^{ij} g_{ik} g_{jl} - \frac{1}{2} p_{gB}^2 - \frac{1}{3} p_g^2 - \frac{1}{3} p_g^2 p_B$$

$$- \frac{\delta}{12} \frac{1}{p_g^2} B p_B^2$$

$$- \frac{p_g}{2} B \delta^{ab} R_{ab} p p_{1a} a^i p p_{2b} R^{ij} a_i a_j - \alpha_1 p$$

$$- \frac{1}{2} p_g^2 \delta A p p_{2i} B p_{gg}^{jj} p_{jN}; \quad (33)$$

Note that this form of the Hamiltonian constraint is in agreement with the constraint (up to the potential term and terms containing α) found in Ref. [21]. It is also very important to identify the global constraints which are related to the action (31). In fact, it is easy to see that there is a primary global constraint [12]

$$Z$$

$$N \dots \int d^3x N N; \quad (34)$$

which has the following nonzero Poisson brackets with A and N :

$$f_{N^i} a_i \delta x p g \dots 0; \quad f_{N^i} N \delta x p g \dots N \delta x p;$$

$$f_{N^i} N \delta x p g \dots N \delta x p; \quad (35)$$

We show below that is a first-class constraint. It turns out that we have to be careful with the definition of the local and global constraints. Following the notation used in Ref. [14] we define a local constraint as

$$\sim_N \delta x p \dots N \delta x p \frac{p_{gNB}}{d^3x N p_g} p_{jN}; \quad (36)$$

Saying it differently, we decompose the constraint into the local and global constraints $\sim_N$ and N ,

respectively and we denote symbolically by $^3 - 1$ local constraints⁵, as follows from the fact that the constraint $_N$ obeys the equation

$$\int d^3x \dot{\alpha} \dot{\beta} \dots \dot{\gamma} \dots = 0. \quad (37)$$

Now together with the global constraint we have a total number of $^3 - 1$ constraints, which is the same as the number of the original constraints.

In summary, the Hamiltonian with the primary constraints included has the form

$$H \dots \int d^3x \left(N \mathcal{H}_T + N^i \mathcal{H}_i + v_N \tilde{N} + v^i_{\perp} \right) + \int d^3x p_A \dot{\alpha} + \int d^3x p_B \dot{\beta}. \quad (38)$$

Now we have to proceed to the analysis of the preservation of the primary constraints $\mathcal{H}_i = 0$, $p_A = 0$ and $\tilde{N} = 0$. In the case of the constraint $\mathcal{H}_i = 0$ we obtain

$$\int d^3x \left(N \mathcal{H}_T + N^i \mathcal{H}_i + v_N \tilde{N} + v^i_{\perp} \right) = 0, \quad (39)$$

where $\int d^3x N \mathcal{H}_T = 0$ is the global Hamiltonian constraint [12]. The requirement of the preservation of the constraints $\mathcal{H}_i = 0$ and $p_A = 0$ implies the same constraints as in the second section, namely $G_A = 0$. Finally, the requirement of the preservation of the constraint $\tilde{N} = 0$ implies

$$\begin{aligned} & \int d^3x \left(N \mathcal{H}_T + N^i \mathcal{H}_i + v_N \tilde{N} + v^i_{\perp} \right) = 0 \\ & \dots \int d^3x \left(\frac{2}{3} p_{ij} \dot{a}^i \dot{a}^j + 2 R_{ij} \dot{a}^i \dot{a}^j + \dots \right) = 0. \end{aligned} \quad (40)$$

However, not all of $\mathcal{H}_i = 0$ are independent since we have

$$\int d^3x N C \dots = 0, \quad (41)$$

where we have ignored the boundary terms. Then we see that it is natural to introduce 1 independent constraint $\mathcal{C} = 0$ defined as

$$\mathcal{C} \equiv \int d^3x \left(\frac{p_{ij} \dot{a}^i \dot{a}^j}{d^3y N^2} - \mathcal{H}_T \right) = 0, \quad (42)$$

which obey the relation

⁵In Ref. [27] the number of such constraints was symbolically denoted as $^3 - 1$.

In summary, the total Hamiltonian with all constraints included has the form

$$H_T \dots \int d^3x \left(N \mathcal{H}_T + N^i \mathcal{H}_i + v_N \tilde{N} + v^i_{\perp} \right) + \int d^3x p_A \dot{\alpha} + \int d^3x p_B \dot{\beta}. \quad (44)$$

Now we are ready to study the preservation of all the constraints. It is easy to show that are global first-class constraints while are local first-class constraints.

On the other hand, $\mathcal{G}_A$, $\mathcal{C}$, G_A are the second class constraints. In summary, we have the following picture of the restricted FRW gravity. This is a theory which is invariant under the spatial diffeomorphism with three local first-class constraints corresponding to this symmetry. We also have four second-class constraints. Solving these constraints, we can express $\tilde{N}$ and N as functions of the dynamical variables. The physical phase space of this theory is spanned by ij , where six of these degrees of freedom can be eliminated by gauge fixing of the diffeomorphism constraints. We see that there is a scalar graviton degree of freedom as in the nonprojectable HL gravity with all its consequences on the physical properties of this theory. Finally, there is also a scalar degree of freedom $\mathcal{C}$ with conjugate momenta p_C as in the ordinary FRW theory of gravity.

V. COSMOLOGICAL ASPECTS OF THE THEORY

In this section we study the restricted theory of gravity in the cosmological context for which the FRW metric is the preferred coordinate system of the Universe. We will consider mechanisms that lead to an accelerated expansion phase. To show the mass scale of modified gravity M, it is convenient to consider the full gravity as

$$\frac{f}{2} \dot{R}^2 \dots \frac{M_P^2 R}{2} + M^4 f \frac{R}{M^2} : \quad (45)$$

We also assume that the metric has the standard flat FRW form

$$ds^2 \dots - N^2 dt^2 + a^2 dx^i dx^j_{ij}; \quad (46)$$

where $a \dots a(t)$ is the scale factor. Then the right-hand side of Eq. (30) takes the following form:

$$R = A \dot{a}^2 ; \quad (47)$$

$$\dots - 6 \frac{H\dot{N}}{N^3} - 6 \frac{H}{N^2} - 18 \frac{H^2}{N^2}; \quad A = 0, \quad 3 - \frac{3H^2}{N^2}; \quad (54)$$

and the Hubble parameter is defined as

If we now insert Eq. (47) and (48) into Eq. (45) and perform a variation of the action with respect to N , we obtain

$$\frac{3}{2} \delta^3 - 12 M_P^2 H^2 - M^4 f - 6 \delta^3 - 12 M^2 H^2 f' - M^2 R f' = 0; \quad (54)$$

where we have set $\delta = 1$ and $R = 6H^2$.⁶ A prime denotes the derivative with respect to the argument of which is defined as

$$f' = f \frac{R}{M^2}; \quad (55)$$

The other equation, which is obtained by variation of the action with respect to the scale factor, is not an independent equation.⁷

We see that the structure and properties of Eq. (49) depend on whether it is equal to zero or not. In particular, if we take $\delta = 0$, the terms with time derivatives vanish in Eq. (49). We perform the analysis of this special case later and rather focus on the more standard case when

A. $\delta = 0$ case

Since the effective equation-of-state parameter is defined as

$$w_{\text{eff}} = -1 - \frac{2}{3} \frac{\dot{H}}{H^2}; \quad (56)$$

there exist different mechanisms to obtain $w_{\text{eff}} = -1$, as follows:

- (i) The de Sitter solution. The obvious way to have $w_{\text{eff}} = -1$ is to require that a constant Hubble parameter is a solution of Eq. (49)

$$\frac{3}{2} \delta^3 - 12 M_P^2 H^2 - M^4 f - 6 \delta^3 - 12 M^2 H^2 f' = 0; \quad (57)$$

where

⁶The choice $\delta = 1$ can be considered as the gauge fixing of the first-class constraint which is the generator of the scale transformation. It follows from Eq. (35)

⁷The equation is the same as the equation which is obtained by taking time derivative of (49) with some algebraic manipulations.

$$f' = f \frac{R}{M^2} \dots f' = 0, \quad 3 - \frac{3H^2}{M^2} - 18 \frac{H^2}{M^2}; \quad (53)$$

If Eq. (52) has at least one solution, we should determine whether this solution is stable or unstable. In order to check the stability, we consider a small perturbation δH around the solution as

$$\delta H = \dots H - H_0; \quad (54)$$

Inserting this expression into (49) and performing its linearization, we obtain

$$2 H - 3 H - \delta - 12 H - H^2 \delta = 0; \quad (55)$$

where

$$\delta^3 - 12 \frac{M_P^2}{12 H^2 f'} - \frac{M^2 f'}{6 H^2 f'} - \delta^3 - 12 \delta^3 - 3 \delta^3 - 3 \delta^3; \quad (56)$$

and where we have used Eq. (55). Equation (55) has a solution $H = \exp(\delta H)$, where δH is solution of the following equation:

$$2 H - 3 H - \delta - 12 H - H^2 \delta = 0; \quad (57)$$

Thus, it is clear that the stability of the de Sitter solution depends both on the specific form of the theory and on the values of the parameters. To compare the new features of the theory with the usual f(R) gravity, let us henceforth in this section take $\delta = 1$. Then we see that the second term in Eq. (57) is positive and we have the following possibilities. For

$$\dots > 0; \quad (58)$$

the real part of the solutions or the real solutions are negative. Thus, in this case the Sitter solution is an attractor solution and is suitable for the late-time cosmology. As a check we note that for both Eqs. (52) and (56) have the same form as the corresponding relations derived in [28]. For

$$< 0 \quad (59)$$

the equation (57) has two real solutions, where one of them, δH , is positive and the second one is negative. Then the de Sitter solution is unstable since at late times we have

$$\delta H = \exp(\delta H) - H_0; \quad (60)$$

In the original Starobinsky model [29] and as well in the context of asymptotically safe gravity [30] the unstable de Sitter solution has been used to produce

an inflationary era for the early Universe. The mechanism is based on the fact that during the time interval t , where $p_H t < 1$, the solution is close to the de Sitter solution. Thus, one can define the number of e-foldings as $N_e \approx H t$. In order to solve the horizon problem, we take 60 which gives an upper bound on n and hence on α too.

Specifically, let us consider the following form of the function

$$f(R) = M_p^2 \dots M^{2n} = R^n; \quad (61)$$

where n is a positive number [31]. Then using Eq. (30) we find that the restricted version of this theory is given by

$$f \dots \frac{M^{2n}}{R^{p-1}}; \quad (62)$$

so that from Eq. (52) we obtain

$$H^{2np+2} \dots \frac{M_p^{2np+4}}{M_p^2} \frac{12}{18} \frac{6}{6^{np+1}}; \quad (63)$$

Inserting Eq. (63) into Eq. (56) we find

$$\dots 2 \frac{n+1}{n} \delta_1 - 3 \beta^2; \quad (64)$$

Therefore, for any n the de Sitter solution is unstable. Note also that for our discussion is in agreement with Ref. [31]. To see another example, consider R^2 gravity. In this case Eq. (52) gives

$$H^2 \dots \frac{M_p^2}{36 \beta^2}; \quad (65)$$

It is important to stress that there is no de Sitter solution in the case when $n=1$, while it exists when either $n > 1$ or $n < 1/3$, as is shown in Fig. 1.

For such values of parameters Eqs. (65) and (65) give

FIG. 1. $\frac{H}{M_p}$ vs α for Eq. (65). There is no de Sitter solution in the gray region. As is clear, the de Sitter solution can be produced by breaking the diffeomorphism symmetry.

$$\dots 3 \delta_1 \beta^3 - 2 - 4 \beta; \quad (66)$$

Thus, all the de Sitter solutions are unstable. Using Eq. (66) we derive the positive solution α in the form

$$\beta \dots \frac{3}{2} \beta^r - \frac{45}{4} \beta - \frac{3}{2} - \frac{12}{\dots}; \quad (67)$$

So, in order to have $\beta < 1 = N_e \approx 0.01$, we have to take $1 < r < 1.1$ or $\frac{1}{3} < \beta < \frac{1}{3}$.

(ii) Power-law acceleration. We have shown that for $f = R^{-n}$ the de Sitter solution is not stable. On the other hand, it was shown in [34] that there exists another mechanism which produces the accelerated expansion phase. We would like to investigate this mechanism in the context of restricted gravity, while we proceed in a slightly different than Ref. [31]. Of course our procedure is valid for $n=1$ and we clarify this point in Ref. [32]. By inserting Eq. (62) into Eq. (49) we obtain the following form of the equation:

$$\delta_1 \beta^n \beta - 1 \beta^3 \beta \frac{H}{H^2} - n \delta_2 \beta - 1 \beta^3 \beta \frac{H}{H^2} \beta^2 \delta - 1 \beta^n \beta \frac{H}{H^2} \beta^n \beta \frac{H}{H^2} \beta^n \beta \frac{H}{H^2} \beta^4 \frac{H}{H^2} \dots \frac{3M_p^2}{M^4 \beta^{2n}} H^{2p+2n} - 6 \beta^{18} - 6 \frac{H}{H^2}^n; \quad (68)$$

Since there is not any stable de Sitter solution, as term. But, without this term, the equation admits a time passes the right-hand side (68) decreases. power-law solution as t^l , where $l > 0$ is determined from the following equation:

$$2 \frac{2}{3} \frac{1}{n} \frac{1}{2n^2} \frac{1}{2n^2}$$

$$\frac{1}{3} \frac{1}{2n} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}$$

$$\frac{1}{6} \frac{1}{6n} \frac{1}{6n^2} \frac{1}{2n^2} \frac{1}{4n^2} \dots 0: \quad (66)$$

This equation has two solutions. In one of them the denominator of Eq.(62) approaches zero and we will discuss it afterwards. The other solution is

$$\dots \frac{3n^2 \frac{1}{3} \frac{1}{2n} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}}{\frac{1}{3} \frac{1}{2n} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}}: \quad (67)$$

For the latter solution the effective equation-of-state parameter can be given as

$$w_{eff} \dots 1 \frac{1}{3} \frac{1}{2n} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}: \quad (68)$$

Note again that for $n=1$ this result agrees with the corresponding relation in Ref. [1]. We also see that, since $\gamma > 0$, we can obtain constraints from Eq. (70). For example if we take $n=1$, we obtain

$$\dots 3 \frac{1}{5} \frac{1}{2n} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}: \quad (69)$$

Therefore, in order to impose $w_{eff} > 0.61$, we should take $n > 0.61$. To show the implication of the theory, let us compare two situations. In the first case, we take $n=1$ which gives

$$w_{eff} \dots 1 \frac{1}{3} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}: \quad (70)$$

So, for $n=1$ we have $w_{eff} \dots 2/3$, which is not in agreement with the recent observations [1]. Of course, as argued in Ref. [1] one can increase n to fit the model with the observations as is shown in Fig. 2. For example, if we require

$$\gamma = 1$$

FIG. 2. $w_{eff} \dots 1$ vs n for Eq. (73)

FIG. 3. $w_{eff,n=1}$ vs γ for Eq. (74)

$w_{eff,n=1} \dots 0.997$ to reconcile the model with the recent observations [1], we should take $n \dots 100$, which may not be interesting.

On the other hand, let us now consider and leave γ arbitrary. Then from Eq. (70) we obtain

$$w_{eff,n=1} \dots 1 \frac{1}{3} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2} \frac{1}{2n^2}: \quad (71)$$

Here, we can change to fit the model with the observations, as is shown in Fig. 3. For example, if we take $\gamma \dots 0.62$, we have $w_{eff,n=1} \dots 0.997$, which is in agreement with the recent observations [1].

(iii) Accelerating by $\frac{1}{3} = 1$: We have argued that at the late time, $t \rightarrow \infty$, we can neglect the right-hand side of Eq. (69). In addition to Eq. (70) there exists another asymptotic solution of Eq. (69).

$$3 \frac{1}{n}: \quad (72)$$

It is important to stress that Eqs. (70) and (75) are the asymptotic solutions of Eq. (69). In fact, from Eq. (75) we see that the denominator of Eq. (69) approaches zero, which means that the effective density of the model increases with time. From Eq. (75) it is clear that if we take $\frac{1}{3} = 1$, the accelerated expansion phase emerges. Let us now discuss the second asymptotic solution of Eq. (69) when we take $n=1$. In this case, we have $w_{eff} = 2/3$, as follows from Eq. (75). Thus, $w_{eff} = 1/3$, i.e. a $t^{1/2}$.

Actually this point for the $f(R)$ gravity has been discussed in Ref. [3].

In the case of the matter-dominated eras (radiation or the cold dark matter), it is sufficient to add the density of the matter, ρ_M , to the right-hand side of Eq. (69) and consider $H \dots 1/kt$ where $k \dots 2$ for the radiation-dominated era and $k \dots 3/2$ for the cold dark matter-dominated era. For the specific model (62) we obtain

$$3M_P^2 H^2 \dots M_P^6 \rho_{\text{eff}} \quad (87)$$

where

$$\rho_{\text{eff}} = \frac{M_P^{4+2n}}{H^{2n}} \times \text{left-hand side of (68)} \quad (87)$$

Note that in the matter-dominated era so that for $n \dots 1$ we obtain

$$3M_P^2 H^2 \dots M_P^6 \frac{M_P^6}{H^2}; \quad (88)$$

where [34]

$$\begin{aligned} j_{\text{radiation-dominated era}} &= \frac{1}{6} \delta^{-2} \gamma^{-3} \rho; \\ j_{\text{dark matter-dominated era}} &= \frac{1}{4} \delta^{-2} \gamma^{-3} \rho; \end{aligned} \quad (89)$$

Thus, using Eq. (78) and $\rho_{\text{eff}} = 1$, we have

$$3M_P^2 H^2 \dots M_P^6 \frac{M_P^2 M^6}{M}; \quad (90)$$

From Eq. (78) or Eq. (80) it follows that in the matter-dominated era $\rho_{\text{eff}} = 1$. So, eventually ρ_{eff} will be dominated and the mechanism for the power-law acceleration can occur.

B. $\gamma = 0$ case

Let us now focus our attention on the special case. This special case was previously studied in [38] in a different approach from ours.

To begin with, we note that (49) is valid for any. On the other hand, for $\gamma = 0$ this equation reduces to an algebraic equation for the Hubble parameter. So, if the equation has a solution we find that it is the de Sitter solution which is suitable for the late-time cosmology.

For instance, consider (62) for $n \dots 1$ and $\gamma \dots 0$. Then Eq. (49) with the matter density on the right-hand side yields

$$3M_P^2 H^2 \dots M_P^6 \frac{1}{6} \frac{M^6}{H^2}; \quad (91)$$

This equation is similar to (78) but note that (81) is valid during all the cosmological eras. Solving this equation for H^2 , we find

$$6M_P^2 H^2 \dots M_P^6 \frac{2}{M} \rho = 2M_P^2 M^6; \quad (92)$$

So, at the late time we have

$$3H^2 = \frac{1}{2} \frac{M^3}{M_P}; \quad (93)$$

VI. DISCUSSION

Let us outline the main results of the paper. We have analyzed the recently proposed version of gravity with broken four-dimensional diffeomorphism by changing the constant in front of the total divergence term that arises in the $(1, 1)$ decomposition of scalar curvature. We have shown that this naive modification of the theory is not consistent from the Hamiltonian point of view due to the fact that the Hamiltonian constraint is a second-class constraint with itself. We have proposed two ways to resolve this issue. The first one is based on the observation that the Poisson bracket between the Hamiltonian constraint vanishes when we impose an additional constraint on the scalar field. However, a careful Hamiltonian analysis shows that the restricted gravity with this additional constraint is equivalent to the ordinary Einstein-Hilbert action. Further, we have argued that the right way to correctly define the restricted theory of gravity is to include the terms which are invariant under the spatial diffeomorphism, for example, the gradient of the lapse. We have performed the Hamiltonian analysis of this theory and we have found that it is consistent from the Hamiltonian point of view and have shown that this theory is equivalent to the low-energy limit of nonprojectable gravity.

Moreover, we have identified two global first-class constraints, which ensure that the Hamiltonian is invariant under the global time reparametrization and global rescaling of the lapse. Finally, we have discussed some cosmological applications of the restricted gravity and have found several interesting implications. In particular, we have discussed the differences between the usual gravity, with $w < 0$ and its corresponding restricted version. In addition, it has been shown how the asymptotic solutions of R^n gravity can be changed by the broken symmetry. Moreover, we have found that it is possible to find the de Sitter solution in the case of gravity, which does not exist in the case of $\gamma = 1$. It has also been found that with a suitable choice of the parameters this solution describes the inflation phase of cosmology with the correct number of e-foldings. These results imply a nice physical meaning of the parameter for the early Universe determines the scale of inflation and the stability of the de Sitter solution [see Eq. (65)], while for the late-time cosmology, it determines the parameter in the equation of state, which can be measured [see (74) and the discussion after it].

The results presented are encouraging and the cosmological applications in the context of restricted gravity certainly deserve to be studied further and in more detail. Specifically, one should analyze the fluctuations around the cosmological solutions in this theory. We expect that there is an additional scalar mode and its behavior should be

analyzed. It would also be interesting to analyze this mode around the flat background following the corresponding analysis in the case of the healthy extension of non-projectable HL gravity [19]. We hope to return to this problem in the future.

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In Ref. [31] the authors studied $M^{2n}=R^n$ by using the so-called Einstein frame. Here, we do not use this frame. For example from Eq. (68) to Eq. (69) we use an approximation. To find what is the meaning of the similar approximation when we take 1 in the Einstein frame, see the discussion of [32] where the authors argued that Soon thereafter, the potential is well-approximated by .

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