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Closed-shell Organic Compounds Might Form Dimers at the Surface of Molecular Clusters

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Abstract

The role of covalently-bound dimer formation is studied using high-level quantum chemical methods. Reaction free energy profiles for dimer formation between common oxygen-containing functional groups are calculated, and based on the Gibbs free energy differences between transition states and reactants, we show that none of the studied two-component gas-phase reactions are kinetically feasible at 298.15 K and 1 atm. Therefore, the catalysing effect of water, base, or acid molecules is calculated, and sulfuric acid is identified to lower the activation free energies significantly. We find that the reactions yielding hemiacetal, peroxyhemiacetal, α -hydroxyester, and geminal diol products occur with activation free energies of less than 10 kcal/mol with sulfuric acid as a catalyst, indicating that these reactions could potentially take place on the surface of sulfuric acid clusters. Additionally, the formed dimer products bind stronger onto the pre-existing cluster than the corresponding reagent monomers do. This implies that covalent dimerization reactions stabilize the existing cluster thermodynamically and make it less likely to evaporate. However, the studied small organic compounds, which contain only one functional group, are not able to form dimer products which are stable against evaporation at atmospheric conditions. Calculations of dimer formation onto a cluster surface and the clustering ability of dimer products should be extended to large terpene oxidation products in order to estimate the real atmospheric significance.

1 - Introduction

Atmospheric aerosol particles have a profound impact on global climate change, regional air quality, and human health.^{1,2} A significant fraction of aerosol particles is formed via gas-to-particle conversion.³ In urban areas, secondary organic aerosols (SOAs) comprise up to 90% of the total organic aerosol mass.^{4,5} However, the molecular-level mechanisms and participating compounds leading to SOA formation remain highly uncertain.⁶ New-particle formation is believed to occur through a clustering mechanism, involving sulfuric acid coupled with a stabilizing component such as bases and oxidized organic compounds.⁷⁻¹² Experimental studies have suggested that highly oxidized multifunctional organic compounds (HOMs) are participating in the first steps of new-particle formation, but the chemical identity of the proposed species remain unknown.^{13,14}

Using computational methods, we have previously studied cluster formation between sulfuric acid and a highly oxidized $C_6H_8O_7$ ketodiperoxy acid originating from autoxidation of cyclohexene. It was discovered that autoxidation products containing only peroxyacid, hydroperoxide, and carbonyl groups are not able to enhance the new-particle formation process.¹⁵ This implies that oxygen-to-carbon ratio cannot solely be used as a proxy for volatility and the ability to form clusters with sulfuric acid.^{16,17} The number of hydrogen bonding groups and the specific molecular structure offer a better metric for the determination of the strength of intermolecular interactions. Using computational methods, we recently studied the specific requirements for oxidized organic compounds to act as a stabilizer in sulfuric acid induced clustering, and concluded that organic compounds containing multiple carboxylic acid groups are the most prominent candidates in atmospheric cluster formation.¹⁸ Using high-level quantum chemical methods together with kinetic calculations, we demonstrated that tricarboxylic acids and sulfuric acid, even together with other stabilizing compounds such as ammonia or ions, cannot drive the observed new-particle formation events via clustering mechanisms.¹⁹ Combined these theoretical studies suggest that other compounds or mechanisms are needed to explain experimentally measured formation events.²⁰

It has been shown both in field and smog chamber measurements that SOAs contain oligomeric macromolecules, which might be formed in either gas- or particle-phase reactions.^{21–26} However, the reaction kinetics of covalently-bound dimer and higher order oligomer formation as well as the relative significance of participating vapours are unknown. So called accretion reactions have been proposed to be a part of the formation of organic particulate matter.^{27–29} Accretion reactions include a variety of different reactions, e.g. oligomerization, hydration, and aldol condensation, where organic molecules can increase their molecular weight, thus reducing their volatility. In addition, the dimer products could potentially be formed via radical pathways, e.g., radical–radical recombination has been suggested.³⁰ In this article, we have focused on possible atmospherically relevant dimerization reactions between closed-shell molecules. Here, dimers are defined as large molecules formed by the coupling of two "monomer" oxidation products. Due to a large number of different monomers present in the gas-phase, there exists a plethora of possible dimer products.^{31–36} Consequently, theoretical investigations are needed to augment experimental studies and to probe the detailed reaction mechanisms of dimer formation, and its impact on atmospheric new-particle formation and growth.

Computationally, covalently-bound dimer formation and the effect of different catalysts has been researched using mainly semi-empirical or DFT methods. For example, the aldol condensation product has been suggested to be a thermodynamic sink for methylglyoxal dimerization,³⁷ and peroxyhemiacetal formation from α -pinene oxidation products have been proposed.³⁸ However, since the transition states tend to be high in energy for reactions between closed-shell molecules,^{39–43} the effect of different catalysts in atmospherically relevant reactions should be taken into account. Formic acid has been shown to decrease activation energies for the hydration of formaldehyde, acetaldehyde, and glyoxal,^{44–46} and sulfuric acid to catalyse hydration reactions.^{47,48}

Using a variety of computational tools, we investigate the kinetics and thermodynamics of covalently-bound dimer formation reactions between common organic functional groups

(alcohols, carboxylic acids, peroxides, peroxy acids and oxo groups). The effect of different catalysts on reaction energetics is also addressed. We wish to explore the possibility of covalently-bound dimer formation reactions occurring in atmospheric conditions, both in the gas-phase and at the particle interface.

2 - Methods

All geometry optimizations and vibrational frequency calculations were carried out using the Gaussian09 and Gaussian16 program packages.^{49,50} Single point energy evaluations were run using the Orca 4.0.0.2. program.⁵¹ Thermochemical parameters are calculated using standard rigid rotor and harmonic oscillator approximations, and all values are reported in kcal/mol at 298.15 K and 1 atm. All transition states were characterized by visual inspection and one imaginary frequency corresponding to the reaction coordinate.

For geometry optimizations and thermochemical parameters, the ω B97X-D functional^{52,53} with the 6-31++G** basis set were utilized.⁵⁴⁻⁵⁶ This long-range corrected hybrid functional includes empirical atom-atom dispersion corrections, and has been shown to yield good accuracy for kinetics, thermodynamics, and non-covalent interactions.⁵⁷ The small 6-31++G** basis set has been shown to be sufficient for obtaining the geometries and vibrational frequencies of atmospheric relevant clusters.^{58,59} In order to obtain more accurate Gibbs free energies, we calculated electronic energy corrections on top of the DFT geometries using a domain-based local pair natural orbital coupled cluster method (DLPNO-CCSD(T))⁶⁰⁻⁶² with an aug-cc-pVTZ basis set^{63,64} and tight pair natural orbital (TightPNO) criteria. DLPNO-CCSD(T) has been demonstrated to yield results close to the canonical coupled cluster level of theory at significantly lower computational costs.⁶⁵

To ensure the sufficiency of the chosen level of theory, we have utilized the MP2 method with aug-cc-pVTZ basis set in addition to DFT for geometry optimization and thermochemical parameters. Furthermore, the DLPNO-CCSD(T)/aug-cc-pVTZ method is benchmarked

against a high-level explicitly correlated coupled cluster RI-CCSD(T)-F12⁶⁶⁻⁶⁸ method with double, triple, and quadruple zeta basis sets (VnZ-F12⁶⁹). One cardinal number higher basis set was used for Coulomb fittings within the RI approximation, e.g. for cc-pVTZ-F12, the auxiliary basis is cc-pVQZ/C. Throughout the paper we will refer to RI-CCSD(T)-F12/VnZ-F12, DLPNO-CCSD(T)/aug-cc-pVTZ, MP2/aug-cc-pVTZ and ω B97X-D/6-31++G(d,p) simply as F12/VnZ, DLPNO, MP2 and DFT, respectively.

The approximate DLPNO Gibbs free energy value is calculated as:

$$G^{\text{DLPNO*}} = E^{\text{DLPNO}} + G_{\text{thermal}}^{\text{DFT}}, \quad (1)$$

where E^{DLPNO} is the single point energy (SPE) calculated using DLPNO, and $G_{\text{thermal}}^{\text{DFT}}$ the thermal contribution to the Gibbs free energy obtained with DFT. The activation free energy describes how much energy is needed to form the transition state starting from the reactants, and it determines the reaction rate. It is calculated as the following:

$$\Delta G^{\ddagger} = G^{\text{TS}} - G^{\text{R}}, \quad (2)$$

where G^{TS} and G^{R} are the Gibbs free energies of transition state and isolated reactants, respectively. The reaction free energy tells where the thermodynamic equilibrium lies, and it is calculated as:

$$\Delta G_{\text{reac}} = G^{\text{P}} - G^{\text{R}}, \quad (3)$$

where G^{P} corresponds to the Gibbs free energy of the products. For a given reaction to be feasible in the gas-phase, the activation free energy has to be sufficiently low for the reaction to occur and the reaction free energy has to favour the products.

In this paper we have studied several different reactions involving the carbonyl group of

either formaldehyde (H_2CO) or formic acid (HCOOH):

Reactions R1 and R2 correspond to the simplest hemiacetal and peroxyhemiacetal formations, respectively. Hemiacetal (peroxyhemiacetal) compounds are formed by addition of an alcohol (a hydroperoxide) to the carbonyl group of an aldehyde. In reactions R3 and R4, α -hydroxy ester and α -hydroxyperoxy ester are formed from formaldehyde and formic acid (R3), or formaldehyde and performic acid (R4). Reaction R5 is the hydration of formaldehyde in the gas-phase, and R6 is a traditional esterification between a carboxylic acid and an alcohol, in this case yielding methylester and water. In reaction R7, two formic acid molecules form the simplest acid anhydride and water. Reaction R6 is a concerted reaction without a catalyst, since the product is formed and water removed in the same transition state. However, R6 with an acid catalyst and R7 without a catalyst, are stepwise reactions, where a diol intermediate is formed and water removed in a subsequent step. The structures of the reaction products are illustrated in Figure 1. The studied reaction mechanisms represent some of the most simple dimerization reactions of closed-shell oxidized organic compounds as well as the hydration of a carbonyl group. Thus these reactions can be used as a proxy for reactions between large terpene oxidation products, which consist of the same functional groups.

Furthermore, we studied the ability of the compounds involved in R1–R7 to form hydrogen-

Figure 1: Structures of the final reaction products for reactions R1–R7.

bonded complexes with different catalysts. This includes preliminary hydrogen-bonded dimer formation of reagents, which clusters with the possible catalyst. These reactant clusters are most likely involved in the reaction pathways, since a simultaneous collision of three molecules is unlikely. Additionally, the clustering of catalysts with the obtained dimer products was compared to the cluster formation with monomers. The sampling procedure for obtaining the minimum energy structures for these clusters was performed using the following semiempirically guided technique:

1. In each cluster formation step 200 randomly oriented molecules are distributed around the target molecule/cluster.
2. The structures are initially optimized using the semiempirical PM6 method.
3. The converged structures are optimized at the ω B97X-D/6-31+G* level of theory.
4. The structures are sorted, characterized by the total energy, and different conformations are identified.
5. Geometries of conformations within 10 kcal/mol of the lowest identified conformation are optimized and their frequencies are calculated at the ω B97X-D /6-31++G** level of theory.
6. The structures are sorted based on the Gibbs free energy, and the lowest free energy conformation is used to compare the energetics.

Using this random sampling procedure for these simple systems, will capture all the conformations and give a good guess for the global free energy minimum structure. However, for studying larger systems, a more detailed exploration of the potential energy surface might be required, such as sampling using molecular dynamics⁷²⁻⁷⁴ or basin hopping methods.⁷⁵⁻⁷⁷ Another promising approach is the recently utilized sampling technique by Temelso *et al.* based on generating the initial structures using a genetic algorithm.⁷⁸

The molecular structure of all the identified reactants, reactant clusters, transition states, product clusters and products stationary points are shown in the Supporting Information.

3 - Results and Discussion

3.1 - Benchmarking

We have calculated Gibbs free reaction energies and activation free energies for reactions R1–R7. In addition, activation free energies are calculated for reactions R1–R6 using formic acid as a catalyst and for reactions R1–R5 using water as a catalyst. We have only studied the free energies using the harmonic approximation. Temelso *et al.* have studied the effect of anharmonicity for pure water clusters⁷⁰ and sulfuric acid hydrate clusters.⁷¹ For both systems the effect of anharmonicity was found to lower the free energy by approximately 0.4 kcal/mol per molecule. Figure 2 shows the reaction free energies and activation free energies calculated using the different level of theories. The RI-CCSD(T)-F12/VQZ-F12 level of theory was found to be too computationally demanding for the largest systems investigated. For all reactions, with or without a catalyst, DFT and MP2 give the lowest free energies of activation ($\Delta G^\ddagger$). This is anticipated, since MP2 is known to systematically underestimate reaction barriers.⁷⁹ On the other hand, F12/VDZ calculated on top of the MP2 structures gives the highest $\Delta G^\ddagger$ values. This is due to the fact that results obtained with F12/VDZ are not fully converged to the basis set limit. There is seen a negligible difference (0.12 kcal/mol or less) between the F12 results obtained with the VTZ and VQZ basis sets. This

Figure 2: a) Activation free energies $\Delta G^\ddagger$ without a catalyst, b) $\Delta G^\ddagger$ with formic acid as catalyst, c) $\Delta G^\ddagger$ with water as catalyst, and d) free energies of reactions ΔG_{reac} . For reactions R6 and R7, the first activation free energy is only included. Note the different scales in the graphs.

implies that the F12/VTZ level of theory is sufficiently accurate for benchmarking purposes. Furthermore, there is only a small difference (0.49 kcal/mol or less) to whether these F12 results are computed on top of the DFT or MP2 geometries. This confirms that ω B97X-D is a suitable DFT functional for obtaining the geometries and vibrational frequencies for the studied reactions. DLPNO single point energies calculated on top of both the DFT and MP2 structures yield results in good agreement with the F12/VQZ method. DLPNO is thus a significant improvement compared to DFT, MP2 and due to the cancellation of errors even F12 with the VDZ basis set.

Table 1 shows the mean absolute errors (MAEs) in activation free energies and reaction free energies compared to the RI-CCSD(T)-F12/VTZ-F12//MP2/aug-cc-pVTZ level of theory. For ΔG_{reac} , the MAE’s are smaller than for $\Delta G^\ddagger$ especially for the lowest levels of theories. MAEs for DFT and MP2 are above 3 kcal/mol for $\Delta G^\ddagger$, which implies the need for higher level energy corrections when studying reaction energetics. DLPNO//DFT yield reasonably small deviations of 0.26 kcal/mol and 0.56 kcal/mol for ΔG_{reac} and $\Delta G^\ddagger$, respectively, and combined with short computational times justify the further usage of this methodology in our study.

Table 1: Mean absolute errors (kcal/mol) in activation free energies $\text{MAE}(\Delta G^\ddagger)$ and reaction free energies $\text{MAE}(\Delta G_{\text{reac}})$. MAEs are calculated for reactions R1–R7 (with and without catalyst) compared to the CCSD(T)-F12/VTZ-F12//MP2/aug-cc-pVTZ level of theory.

Level of theory	$\text{MAE}(\Delta G^\ddagger)$	$\text{MAE}(\Delta G_{\text{reac}})$
DFT	3.61	1.95
DLPNO//DFT	0.56	0.26
F12/VDZ//DFT	0.86	0.58
F12/VTZ//DFT	0.22	0.15
MP2	3.09	0.58
DLPNO//MP2	0.42	0.15
F12/VDZ//MP2	0.99	0.53

3.2 - Water and Formic Acid Catalysis

The free energy profiles, with and without catalysts, of reaction R1-R4 are shown in Figure 3. The profiles for R5-R7 are shown in the supporting information. The reactions catalysed by ammonia/sulfuric acid and the formation of the reactant/product clusters, will be discussed in section 3.3 and 3.4, respectively. The calculated activation free energy barriers without catalysts are in all cases high, reaching over 40 kcal/mol, with the only exceptions being R3 and R7. The high free energies of activation can be explained by transition states involving pseudo 4-membered rings, as these structures have a high ring strain. This is not the case with R3 and R7 though, since these reactions have transition states involving 6-membered rings already without catalysts. The larger ring structures reduce the activation free energies, which are 15–20 kcal/mol lower for both R3 and R7 than for other reactions for every level of theory. The catalysts lower the reaction activation free energies by acting as a mediator in the proton shift process, which creates larger pseudo-ring structures in the transition states (see Figure 4(a)). The transition states consist of 8-membered rings (except for R3 with a 10-membered ring) with formic acid as the catalyst, and 6-membered rings (except for R3 with a 8-membered ring) with water as a catalyst. *It should be noted that the stabilization of the transition states with catalysts is not solely a geometrical effect originate from the larger pseudo-ring structures. There is also a stabilization from the electronic interaction caused by the formation of hydrogen bonds in the transition states, which will lower the free energy barrier.*

Using water as a catalyst lowers the reaction barriers approximately 14–15 kcal/mol with DFT, and 12–14 kcal/mol with MP2, and free energies of activation calculated with either DLPNO or F12 energy corrections are reduced by 10–12 kcal/mol. Formic acid is evidently a more efficient catalyst than water, as it lowers the barriers on average by 30 kcal/mol and 27 kcal/mol for DFT and MP2 optimizations, respectively. These values are slightly reduced with DLPNO or F12 energy corrections, where the catalytic effect is found to be 26–27 kcal/mol.

Figure 3: The free energies profiles for reactions R1–R4 in subfigures a)–d), respectively. The reaction coordinates are the isolated reactants (R), reactant cluster (RC), transition state (TS), product cluster (PC), and isolated products (P). All calculations were performed at the DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-31++G** level of theory.

Figure 4: Transition states for reaction R1 with a) no catalyst, b) water, and c) formic acid as catalyst. The use of a catalyst creates larger pseudo-ring structures and consequently reduces reaction activation free energies.

The effects of catalysts are different for R3, for which the barrier is already significantly smaller than for the rest of the reactions. Using formic acid as a catalyst, the activation free energies are reduced by only 2 kcal/mol with higher order energy corrections. However, including water as a catalyst seems to have a negative effect on the activation free energies, because $\Delta G^\ddagger$ rises by 2 kcal/mol when using DLPNO or F12 methods. This is due to the increased rigidity of the system, which in turn decreases entropy. Therefore, water can be excluded as a suitable catalyst for reaction R3.

As depicted in Figure 2 d), the overall reaction Gibbs free energies (ΔG_{reac}) of the studied dimerization reactions are all negative, except for R5 and R7. Both DFT and MP2 overestimate the reaction energetics for these reactions, and the higher order energy corrections raise the values of ΔG_{reac} . Reactions R2 and R6 have the most negative reaction Gibbs free energies, which are on average -5 kcal/mol and -4.5 kcal/mol, respectively. The favorable free energy change of peroxyhemiacetal formation in R2 is consistent with previous computational studies, since it has also been observed for a more complex dimer formed from pinonic acid derivatives.³⁸ However, the reaction free energies are barely negative for R1, R3, and R4 with approximately -1 kcal/mol at the F12/VQZ//MP2 level of theory. The negative signs of the reaction free energy (at 298 K and 1 atm reference pressure) for

most of the studied condensation reactions does not imply that the reaction equilibrium in actual atmospheric conditions necessarily favours the dimer products. The organic monomer reactants typically have concentrations on the order of ppb_V (10^{-9}). For example for reaction R2, the computed standard reaction free energy of -5 kcal/mol implies a dimensionless equilibrium constant ($\exp(-\frac{\Delta G_{\text{reac}}}{RT})$) of about 5000 at 298 K. If the monomer equilibrium concentrations (the partial pressure divided by the reference pressure 1 atm) are both set to 10^{-9} , the equilibrium concentration of dimers is thus only $5 \cdot 10^{-15}$, i.e. 5 ppq_V . For reactions such as R6, the situation is slightly better, as the reaction does not change the number of molecules. However, as the co-product of the reaction is water (with atmospheric concentrations typically on the order of promilles), the equilibrium concentration of dimer products (assuming reactant concentrations in the ppb_V range) are still at best in the ppt_V range.

When dissolved in water, formaldehyde is mainly in its hydrated form,⁸⁰ but in the gas-phase the formed methanediol cannot form a hydrogen bond network with solvent molecules. In water, these interactions shift the equilibrium towards the diol form. DFT evaluates ΔG_{reac} for R5 to be negative, while on every other level of theory it is predicted to be slightly greater than zero, with a value of 0.6 kcal/mol at the F12/VQZ-F12 level of theory for both the MP2 and DFT structures. This result is consistent with previously mentioned studies of accretion reactions for atmospherically relevant aldehydes.²⁷ In the condensed phase the formation of an acid anhydride in R7 is also an equilibrium reaction, which is driven towards the acid anhydride form by removal of water or an excess of one reactant. In the gas-phase this reaction has a high activation barrier, and the isolated reaction products are not thermodynamically favoured over the reactants.

These findings suggests that none of reaction R1–R7 are feasible in the gas-phase, even when using water or formic acid as a catalyst.

3.3 - Sulfuric Acid and Ammonia Catalysis

Different reaction mechanisms were further inspected at the DLPNO//DFT level by including sulfuric acid for reactions R1–R6 and ammonia for R1–R5 as possible catalysts. As stated previously, formic acid is a much more efficient catalyst than water for all reactions. [Similar to formic acid, sulfuric acid lowers the transition state barrier via a hydrogen atom transfer mechanism where sulfuric acid simultaneously transfers and accepts one proton.](#) Replacing formic acid with sulfuric acid further reduces activation free energies, and for reactions R1–R3 and R5 they were found to be less than 10 kcal/mol. Dimerization reactions with activation free energies in the sub-10 kcal/mol region are likely to take place, and therefore these reactions appear to be the most prominent ones. However, sulfuric acid lowers the activation free energy most drastically for R6, where the barrier height is reduced from 53.4 kcal/mol to 14.5 kcal/mol and for reaction R4, the activation free energy is reduced to 12.9 kcal/mol.

Ammonia acts as a weaker catalyst than formic acid or sulfuric acid, but in most cases it has a stronger catalysing effect than water. For reactions R2–R5, the activation barriers are 0.9–9.3 kcal/mol lower with ammonia than with water. Notably, in contrast to water, ammonia shows a small catalysing effect (0.9 kcal/mol) for reaction R3. For R1, water lowers the barrier 0.6 kcal/mol more than ammonia. However, reactions with water or ammonia catalysts are slow, as the free energy barrier vary between 19.4 (R3 with ammonia) and 34.7 kcal/mol (R5 with water). The transition states involving water/ammonia or formic/sulfuric acid have very similar structures, which partly explains the similar catalysing effects between the acid molecules or water and ammonia.

3.4 - Formation of Reactant and Product Clusters

To obtain a more complete picture of the reactions, different possible reactant and product clusters (RC and PC, respectively) were studied using the semiempirically guided sampling procedure as discussed in section 2. The reactant clusters include either the reactants or the

reactants and a catalyst, and the product clusters consist of the product with a catalyst. This means that for reactions without catalyst, RC is a two-component complex, *i.e.* the lowest free energy cluster consists of the two reacting monomers. When a catalyst is present, RC is a three-component cluster consisting two reacting monomers and a catalyst. Also for three-component RCs, the lowest free energy cluster was included in the free energy profile of the reactions. In all cases we use the lowest identified free energy transition state and reactant/product cluster structures. This implies that there is a small molecular rearrangement to reach the lowest free energy structures of the RC and PC from the TS.

The cluster formation of the two reacting monomers is energetically favoured over the isolated molecules only for the hydrogen-bonded formic acid dimer in R7. A co-planar pseudo 8-membered ring structure is created in the complex, with hydrogen bonds between adjacent hydroxyl and carbonyl groups (see Figure 5(a)). This structure is -3.9 kcal/mol below the reactants in free energy. The cluster in R6 involves also two hydrogen bonds, but is 0.1 kcal/mol higher in free energy than individual reactants. The most favourable geometry between hydrogen bonded atoms is a 180 degree angle,⁸¹ and since the second H-bond in the pre-reactive complex in R6 is not linear, its stabilizing effect is decreased. For the other reactions, the initial clustering of monomers is 1.6 – 3.2 kcal/mol higher in Gibbs free energy than isolated reactants. On the contrary, the RC's formed with sulfuric acid are in all cases lower in free energy than the isolated reactants. This is caused by the fact that these clusters have two or three intermolecular hydrogen bonds. For the other catalysts (water, formic acid and ammonia), the free energy required for clustering depends on the reaction. For R1–R5, the RC's with formic acid, water and ammonia are 1.5 – 3.9 kcal/mol, 3.0 – 6.4 kcal/mol and 2.1 – 8.4 kcal/mol higher in free energy than the isolated reactants, respectively.

Only for reactions R6 and R7 are the pre-reactive complexes with the acid catalysts lower in free energy than the reactants. The fact that the formation free energies of the RC's are positive for water, ammonia, and formic acid catalysed reactions implies that their concentrations will be negligible in the atmosphere. Hence, these reactions are not a feasible

Figure 5: Pre-reactive complexes without catalysts include either a) two hydrogen bonds (R7), b) one hydrogen bond (R1), or c) no intermolecular hydrogen bonds between reactants (R4).

route to covalently-bound dimer formation at atmospheric conditions.

The potential atmospheric relevance of the studied covalently-bound dimer products can be inspected by comparing the energetics of the RC's and the corresponding PC's. PC's with sulfuric acid or formic acid are lower in free energy than the RC's for R1–R5. For R6, the RC with sulfuric acid is 0.8 kcal/mol lower in free energy than the PC containing both reaction products and sulfuric acid, and 0.6 kcal/mol lower than the PC containing only the ester with sulfuric acid. This is due to the fact that the RC consisting of sulfuric acid, methanol and formic acid has planar hydrogen bonds between the species, while in the product complex the sulfuric acid and the ester are hydrogen bonded to water. Formic acid also binds stronger to the RC in R6: the hydrogen bonded pseudo-ring structure is increasing the stability of this structure. Due to the strong hydrogen bonded interaction already present in the formic acid dimer, the RC in R7 is also lower in free energy compared to the PC. Additionally, it is notable that even though reactions with ammonia or water catalysts have high free energy barriers, the PC's formed with these catalysts are also lower in free energy than RC's for R1–R5.

It should be noted that the slightly negative Gibbs free energy value only means that

dimer products bind stronger to the pre-existing cluster than monomers, but for the compound to be stable against evaporation at atmospheric conditions requires the molecular interaction to be very strong. For example, at room temperature and ppt_v levels of sulfuric acid and organic compounds, a ΔG -value of -12 kcal/mol or less would be required to yield a product cluster for which the evaporation rate is slower than the rate of collisions forming the cluster.¹⁸

3.5 - Atmospheric Implications

It is evident from the previous sections that none of the reactions (both with and without catalysts) are feasible in the gas-phase. Determining the possible atmospheric relevance of the studied reactions can be done by calculating the reaction rate coefficients from the activation free energies. Due to the fact that activation free energies without sulfuric acid are in all cases very high, we focus solely on the sulfuric acid catalyzed reactions. First we make the assumption that a single sulfuric acid molecule can represent the cluster surface on which the reaction is occurring. This is a valid assumption for many atmospheric clusters involving sulfuric acid, as one of the S-OH groups often lies on the outside of the cluster. This is for instance evident in the study by DePalma *et al.*⁸² where vacant sulfuric acid binding groups in a $(\text{H}_2\text{SO}_4)_4(\text{NH}_3)_4$ cluster can bind to either pinonaldehyde or pinic acid.

Using the catalyst molecule as a model surface, we can set up the following unimolecular reaction:

where the reactant cluster (RC) corresponds to the three-component cluster containing two reactant molecules and one sulfuric acid molecule, TS is the transition state, and product cluster (PC) corresponds to the complex of covalently-bound dimer product with sulfuric acid. By using this formulation, the activation free energy barrier is now not the barrier

from isolated reactants to the TS, but the barrier from the three-molecular RC to the TS as

$$\Delta G_{\text{barrier}} = G_{\text{TS}} - G_{\text{RC}}. \quad (4)$$

The unimolecular rate coefficient for the studied pseudo-surface reactions can be calculated using transition state theory:

$$k = \frac{k_{\text{B}}T}{h} \exp\left(-\frac{\Delta G_{\text{barrier}}}{RT}\right), \quad (5)$$

where k_{B} is the Boltzmann constant, h is the Planck constant, R is the gas constant, T is temperature, and $\Delta G_{\text{barrier}}$ is the Gibbs free energy of the barrier from the TS to the three-molecular RC. It should be noted that this formulation ignores how the RC was formed and is only valid on the surface of a cluster and not in the gas-phase. In other words, we assume that sulfuric acid is present at the cluster surface and that the organic species are simply condensing onto the existing surface, where the reaction will take place. In equation (5) we have neglected tunnelling effects. However, tunnelling effects can be very profound in hydrogen transfer reactions. Kumar *et al.*⁸³ recently studied the decomposition of methanediol corresponding to the reverse reaction of reaction R5. They found that the effect of proton tunnelling could enhance the rate constant by up to 9 orders of magnitude for the unimolecular, uncatalyzed decomposition at 200 K. For the bimolecular, catalyzed reactions Kumar *et al.* found tunnelling factors in the range of 2.9-268.2 and 1.4-6.3 at 200 and 300 K, respectively.

Table 2 shows the calculated free energy barriers and rate coefficients for reactions R1–R6 with sulfuric acid as a catalyst at the DLPNO//DFT level of theory. The Gibbs free energy barrier for reaction R1 is the lowest with a value of 8.4 kcal/mol, and yielding a reaction rate constant of $4 \cdot 10^8 \text{ s}^{-1}$. Reactions R2, R3, and R5 also have relative low Gibbs free energy barriers with values of 9.5, 11.6, and 11.9 kcal/mol, respectively. This yields reaction rate constants of $6 \cdot 10^5$, $2 \cdot 10^4$, and $1 \cdot 10^4 \text{ s}^{-1}$, respectively. These relatively high

Table 2: Calculated Gibbs free energy barriers and rate coefficients for dimer-formation reactions occurring on a sulfuric acid cluster surface.

Reaction	$\Delta G_{\text{barrier}}$ (kcal/mol)	k (s^{-1})
R1	8.4	$4 \cdot 10^8$
R2	9.5	$6 \cdot 10^5$
R3	11.6	$2 \cdot 10^4$
R4	16.7	3
R5	11.9	$1 \cdot 10^4$
R6 (TS1)	22.2	$3 \cdot 10^{-4}$

rate constants implies that reactions R1, R2, R3, and R5 might be able to take place at a sulfuric acid cluster interface. Reaction R4 has a Gibbs free energy barrier of 16.7 kcal/mol and the reaction rate constant is 3 s^{-1} , meaning that this reaction is not likely to have an atmospheric impact. Reaction R6 has the highest reaction free energy barrier with a value of 22.2 kcal/mol, which leads to reaction rate constant of $3 \cdot 10^{-4} \text{ s}^{-1}$ and this reaction is certainly not feasible in atmospheric conditions.

4 - Conclusions

We have studied the dimerization reactions between small common oxidized organic molecules to elucidate the thermodynamics and kinetics of covalently-bound dimer formation. The effect of different catalysts (water, ammonia, formic acid and sulfuric acid) on the reaction energetics, and the ability of reactants as well as reaction products to form complexes with the catalysts was explored. These dimerization reactions were found not to be kinetically feasible in the gas-phase without an acid catalyst, and especially sulfuric acid lowers the activation free energy barriers to less than 10 kcal/mol.

The dimer products form thermodynamically more favourable clusters with sulfuric acid than the corresponding reactants. Furthermore, the product clusters are also found to be lower in free energy than the isolated reaction products for reactions R1–R5. However, none of the product clusters are thermodynamically stable against evaporation at atmospheric conditions. This is because the interaction between a single functional group and a single

sulfuric acid is not strong enough.

Through dimer formation reactions, molecules are able to increase their molecular weight and the amount of functional groups, thus reducing their vapour pressures. If the reactions take place for example on the surface of a cluster, the dimer products are less likely to evaporate due to their decreased volatility, and more likely to stick onto the existing cluster. This study provides encouraging results for further explorations within this field, and provides a starting point for studies of larger systems including, for example, terpene oxidation products.

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Supporting Information Available

- All xyz-files of the geometry optimized structures at the ω B97X-D/6-31++G** level of theory.
- Figures of the reactants, reactant clusters, transition states, product clusters and products stationary points.
- MP2 benchmark results.
- The Gibbs free energy profiles of reaction R5-R7.

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Graphical TOC Entry