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Dual-trap optical tweezers with real-time force clamp control

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Abstract

Single molecule force clamp experiments are widely used to investigate how enzymes, molecular motors, and other molecular mechanisms work. We developed a dual-trap optical tweezers instrument with real-time (200 kHz update rate) force clamp control that can exert 0–100 pN forces on trapped beads. A model for force clamp experiments in the dumbbell-geometry is presented. We observe good agreement between predicted and observed power spectra of bead position and force fluctuations. The model can be used to predict and optimize the dynamics of real-time force clamp optical tweezers instruments. The results from a proof-of-principle experiment in which lambda exonuclease converts a double-stranded DNA tether, held at constant tension, into its single-stranded form, show that the developed instrument is suitable for experiments in single molecule biology.

keywords: optical tweezers, feedback control, single molecule biology

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Introduction

Micromechanical experiments in single-molecule science using optical tweezers (OT), magnetic tweezers, or atomic force microscopes (AFMs) typically probe macromolecular interactions and conformations using an elastic probe (a trapped bead or an AFM tip) attached to the sample through a compliant tether¹. Constant force experiments are preferred because they observe movement of the probe without contributions from length-changes of the tether due to variations in force^{2, 3}. Constant force experiments have been used for observing e.g. molecular motors⁴ or DNA/RNA hairpin unfolding⁵. Force clamp OT experiments can be performed by controlling one end of the tether using a piezo-stage⁶, modulating the trap laser intensity⁷, by steering the optical trap using acousto-optical deflectors⁸, or by scanning mirrors that create a constant optical gradient field⁹. Particles can also be trapped in the constant-force region of the optical potential^{10, 11}, where over 50 nm extension the force is constant to within 5 %. Molecular events such as DNA hairpin unfolding or stepping by a molecular motor can occur on timescales ranging from microseconds to milliseconds, exhibit forces up to 50 pN, and produce cumulative changes in extension exceeding several micrometers. Consequently there is a need for a high-bandwidth micromechanical force-clamp with sufficient force- and extension-range.

Based on previous work with position clamp control of trapped particles^{12, 13}, we present a dual-trap OT instrument with real-time force clamp control. Our dumbbell experiment is controlled by a data acquisition card incorporating a field-programmable gate-array (FPGA) with 200 kHz update rate, which maintains constant force in a DNA tether. A model that accounts for the elasticity of both traps and the tether and for the thermal motion of the beads agrees well with the observed position and force power spectral densities. The model can thus be used to predict the dynamics of force clamp experiments when experimental parameters such as tether length/tension, or feedback gains are varied. A proof-of-principle single molecule experiment was performed by force-clamping a double-stranded DNA molecule in the presence of lambda exonuclease, which digests one strand of the molecule and gradually converts the tether to single-stranded DNA. The change in length of the force-clamped tether during the enzymatic digestion reaction was monitored.

Theory

A plot of the measured power spectral density (PSD) of bead position fluctuations is commonly used for calibrating optical tweezers by comparing it to the predicted Lorentzian PSD¹⁴. Here we derive expressions for the PSDs of bead and trap position fluctuation during real-time force-clamping.

A typical ‘dumbbell’ construct, Fig. 1, used in high-resolution OT experiments consists of two optically levitated beads connected by an elastic DNA tether. Ignoring the inertia of the beads and assuming that over small changes in extension the tether behaves as a Hookean spring, the equation of motion for the system is¹⁵

$$-\boldsymbol{\mu}^{-1}\dot{\mathbf{x}}(t) - \mathbf{K}\mathbf{x}(t) + \mathbf{k}\mathbf{x}_T(t) = \mathbf{F}(t) , \quad (1)$$

where $\mathbf{x}(t)$, $\mathbf{x}_T(t)$, and $\mathbf{F}(t)$ denote deviations from an equilibrium position/force, and $\mathbf{x}(t) = [x_1(t) \ x_2(t)]^T$ is the position of the beads, $\mathbf{x}_T(t) = [x_{T1}(t) \ x_{T2}(t)]^T$ is the position of the optical traps, and $\mathbf{F}(t) = [F_1(t) \ F_2(t)]^T$ is a random force due to thermal motion. The mobility matrix $\boldsymbol{\mu}$ and stiffness matrices $\mathbf{K}$ and $\mathbf{k}$ are

$$\mathbf{\mu}^{-1} = \begin{pmatrix} \gamma_1 & 0 \\ 0 & \gamma_2 \end{pmatrix}, \quad \mathbf{\kappa} = \begin{pmatrix} k_1 + k_{DNA} & -k_{DNA} \\ -k_{DNA} & k_2 + k_{DNA} \end{pmatrix}, \quad \mathbf{k} = \begin{pmatrix} k_1 & 0 \\ 0 & k_2 \end{pmatrix}, \quad (2)$$

where $\gamma_{1,2}$ is the hydrodynamic drag-coefficient, $k_{1,2}$ denotes trap stiffness, and k_{DNA} is the local spring-constant of the tether. In Eq. (2) we have omitted hydrodynamic interaction between the beads, since we expect it to be negligible in our experiments using a DNA tether that is long (16 μm) compared to the bead radius. In our experiment the left trap is held stationary ($x_{T1}(t)=0$) while the right trap is steered using a proportional-integral (PI) controller. The error signal for the PI-controller is given by the difference in measured force $k_1 x_1(t)$ in the stationary trap compared to a set-point force F_{set} , the desired tether tension. Ignoring constant terms, the position fluctuation of the steerable trap is

$$x_{T2}(t) = \frac{G_p}{k_1} F_{err}(t - \tau) + \frac{G_I}{k_1} [F_{err}(t - \tau) + F_{err}(t - \tau - \Delta) + F_{err}(t - \tau - 2\Delta) + \dots] \quad (3)$$

where $F_{err} = F_{set} - k_1 x_1(t)$ is the force error and G_p and G_I denote the proportional and integral feedback gains, respectively. Equation (3) takes into account the loop delay time τ i.e. the time it takes for the controller to measure x_1 and react by steering x_{T2} , as well as the sampling period Δ , i.e. the data collection interval. For our instrument $\tau \approx 19 \mu\text{s}$ and $\Delta = 5 \mu\text{s}$ ¹² Fourier transforming Eq. (3) gives

$$\tilde{x}_{T2}(\omega) = G_p \tilde{x}_1(\omega) \exp(-i\omega\tau) + G_I \tilde{x}_1(\omega) \exp(-i\omega\tau) \frac{1}{1 - \exp(-i\omega\Delta)}, \quad (4)$$

which can be inserted into Eq. (1) to yield the equations of motions

$$\begin{cases} T_1 \tilde{x}_1(\omega) + k_{DNA} \tilde{x}_2(\omega) = \tilde{F}_1(\omega) \\ T_2 \tilde{x}_2(\omega) + k_{DNA} \tilde{x}_1(\omega) - k_F \tilde{x}_1(\omega) = \tilde{F}_2(\omega) \end{cases}, \quad (5)$$

where, for compactness, we have used the symbols:

$$T_j \equiv -i\omega\gamma_j - k_j - k_{DNA}, \quad j = 1, 2 \\ k_F \equiv k_2 \exp(-i\omega\tau) \left(G_p + \frac{G_I}{1 - \exp(-i\omega\Delta)} \right). \quad (6)$$

Solving for the Fourier transform of the bead fluctuations $x_1(t)$ and $x_2(t)$ gives

$$\tilde{x}_1(\omega) = \frac{T_2 \tilde{F}_1(\omega) - k_{DNA} \tilde{F}_2(\omega)}{T_1 T_2 - k_{DNA}^2 + k_{DNA} k_F}, \\ \tilde{x}_2(\omega) = \frac{T_1 \tilde{F}_2(\omega) - (k_{DNA} - k_F) \tilde{F}_1(\omega)}{T_1 T_2 - k_{DNA}^2 + k_{DNA} k_F} \quad (7)$$

These expressions can be used to find the PSDs of the bead positions $|\tilde{x}_1(\omega)|^2$ and $|\tilde{x}_2(\omega)|^2$, the force in the stationary trap $|k_1\tilde{x}_1(\omega)|^2$, the steerable trap position $|\tilde{x}_{T_2}(\omega)|^2 = |k_F k_2^{-1} \tilde{x}_1(\omega)|^2$, as well as the PSD of tether extension fluctuation $|\tilde{x}_1(\omega) - \tilde{x}_2(\omega)|^2$.

Dual-trap optical tweezers with real-time feedback control

Our dual-trap real-time optical force-clamp instrument is based on earlier work with position-clamp control^{12, 13}. A schematic overview of the instrument is shown in Fig. 2. A 4 W 1064 nm CW-laser (Coherent Compass) is first collimated (L1:L2), then passed through a Faraday isolator (FI, Linos), and split (PBS1) into a stationary and steerable trap with orthogonal polarizations. The steerable trap passes through acousto-optic deflectors (AOD X/Y, NEOS-Technologies) while the stationary trap can be adjusted manually with gimball-mirrors (M). The trapping beams are combined with another polarizing beam-splitter (PBS2) and steered with telescopes (L3:L4) and (L5:L6) via a dichroic mirror (D2, Chroma) into an inverted microscope (Nikon TE-2000) so that the plane between the AODs (dashed line) is imaged onto the back-focal-plane of the microscope objective (Nikon 100x TIRF oil-immersion, N.A. 1.49).

Position detection of trapped particles is performed with dual detection beams at 785 nm and 830 nm. The light from a temperature stabilized diode-laser (HL7851G, Hitachi, and DL5032-001, Thorlabs) is passed through a Faraday isolator (FI) and coupled to a polarization-maintaining single-mode fiber (SMF) in order to purify the spatial laser mode and to stabilize pointing. Half-wave plates before (HWP2/4) and after the isolator (HWP3/5) adjust the overall intensity and align the polarization to the polarization-maintaining axis of the single mode-fiber, respectively. The position of the detection lasers in the sample plane is adjustable using gimball-mirrors in the back-focal-plane. The orthogonally polarized detection beams are combined at PBS3, expanded with L7:L8 and combined with the trapping beams at dichroic D1. A high numerical aperture condenser (COND, Nikon HNA-OIL, N.A. 1.4) collects detection light which is deflected towards 10x10 mm² duolateral position-sensitive detectors (PD1/2, SiTek, S2-0171) using dichroic D3. A short-pass filter F1 rejects trapping light at 1064 nm, while a polarizing beam-splitter (PBS4) and laser-line filters centered at 785 nm and 830 nm (F2 and F3, Semrock) prevent cross-talk between the detectors. A Galilean telescope (L9:L10) collimates the transmitted light, while focusing lenses (L11 and L12) image the condenser back-focal-plane onto the detector surface. In experiments where the dumbbell extension changes less than ~500 nm one detection laser monitors the bead in the stationary trap while the other detection laser is positioned so that it monitors the steerable bead. In experiments where the extension change is large, the steerable bead can be monitored in a ~500 nm window, outside of which the steerable bead position must be inferred from the position of the steerable trap, the steerable trap stiffness, and the tether tension. An LED provides bright-field illumination for a CCD-camera. Short pass filters F4 and F5 attenuate the trapping and detection light to prevent saturation of the camera. A tube-lens (TL) internal to the microscope allows switching between 100x and 150x magnification. The sample is positioned on two stacked stages: a piezo-motor stage (Physik Instrumente, M-686) with 25x25 mm² travel range and 100 nm resolution provides coarse sample positioning, while a piezoelectric stage (Physik Instrumente, P-517.3CD) with 100x100x30 μm^3 range provides nanometer resolution positioning.

Our instrument is controlled by a personal computer running LabVIEW 2009 software. Figure 3 shows a schematic overview of the control electronics. Data collection, real-time control, and trap steering is performed with a data acquisition card incorporating a Field Programmable Gate Array (FPGA, PCI-7833R, National Instruments). The FPGA-card allows control algorithms to run in real-time with up to 200 kHz update rate, independently of the host operating system and other

computer peripherals. To match the detection signals to the ± 10 V input of the analog to digital converter (ADC) we use variable-gain instrumentation amplifiers (INA111, Burr-Brown) which combine and amplify the four pre-amplified voltage signals available from the PDs. Each PD also provides a sum-signal which is not amplified. Analog voltages are low-pass filtered with a 60 kHz fourth-order Butterworth anti-aliasing filter (AAF) and then digitized at 200 kS/s and 16-bit precision. Based on the measured detector-voltages a feedback algorithm implemented on the FPGA calculates AOD steering-commands every 5 μ s. Two 30-bit digital control words are output to two direct digital synthesizers (DDS, NEOS Technologies, 1 GHz internal clock) which drive the AODs with an RF-signal at a variable frequency between 25 and 45 MHz and an adjustable amplitude set by a digital to analog (DAC) output on the FPGA card. Manual control of trap position can be performed with two hand-wheels that output quadrature pulses to the FPGA. This allows the operator to position the trap before an experiment begins, or to perform a force-extension experiment manually prior to force-clamping. Independent of the feedback-loop both bead and trap position data are logged to disk at a maximal rate of 200 kS/s for later analysis. In long duration experiments, to reduce disk usage, data can be collected at 200 kS/s, digitally low-pass filtered using a digital 2nd order Butterworth low-pass filter on the FPGA, and saved to disk at a reduced sampling rate. Although the update rate for the feedback control algorithm is 200 kHz, the bandwidth for closed loop control is limited by other components in the loop: detection, anti-alias filtering, and steering. The position sensitive detectors have a bandwidth of >200 kHz at the used wavelengths (785 nm and 830 nm) as determined with a LED-wobbler¹⁶ measurement. In order to suppress aliasing above the Nyquist frequency (100 kHz) the corner frequency of the 4th order anti-alias filter was set at 60 kHz. Finally, the speed of sound in the TeO₂ crystals (660 m/s) of the AOD limits the steering bandwidth to ~ 100 kHz. The overall bandwidth is thus limited to ~ 60 kHz.

Methods

Two proof-of-principle experiments were performed to test the real-time force-clamp. In the first experiment a 48 kb double-stranded (ds) DNA molecule was held force-clamped at 6 pN while the feedback gains were varied to minimize F_{err} . In the second experiment we chose a well described enzyme, bacteriophage lambda exonuclease¹⁷⁻¹⁹, to test our active feedback control during an enzymatic reaction which alters the tether properties. Exonucleases are integral parts of many genetic recombination and repair systems. Bacteriophage lambda exonuclease processively degrades one strand of double stranded DNA in the 5' to 3' direction¹⁸, leaving non-hydrolyzed single-stranded (ss) DNA and 5'-mononucleotides. In the presence of exonuclease we observe, at constant force, the enzymatic degradation of the unlabeled strand of our DNA-construct, resulting in a gradual conversion of the tether from double-stranded to single-stranded (ss) form. When DNA is held at constant force below ~ 6 pN the ss-form is significantly shorter than the ds-form, and thus the enzymatic activity can be observed as a gradual shortening of the tether.

A 48 kb long DNA construct used in both the experiments was prepared from phage lambda DNA (New England Biolabs) by annealing biotinylated oligonucleotides (Thermo Fischer) at both 5'- and 3'-ends, essentially as described in²⁰. Formation of the dumbbell was performed in a 3-channel sample-chamber (Fig. 4). Two streptavidin coated polystyrene beads (1.87 μ m diameter, Kisker Biotech) were trapped in the first lane of the chamber, transported through the second lane containing DNA at 3.5 pM concentration, where a tether was formed, before entering the third lane for measurement. The first and second lane contained TEW buffer (20 mM Tris pH 8, 1 mM EDTA, 150 mM NaCl, 0.05 mg/ml BSA, and 0.1% Tween20). In the first experiment the third lane of the chamber contained the same TEW buffer but in the second experiment the third lane contained lambda exonuclease (125 U/ml) in 1x exonuclease reaction buffer (both from New England Biolabs). Prior to experiments the whole sample-chamber was blocked with TEW buffer

containing 5 mg/ml BSA. A syringe pump with 1 ml syringes was used to introduce beads, DNA, and buffer to the chamber at a rate of 1 μ l/min. For the first experiment the flow was stopped during the data collection but in the exonuclease experiments the flow was on during the measurement. When a tether was found a force-extension curve was collected in order to distinguish single DNA tethers from multiple tethers. In the first experiment single tethers were then force-clamped at 6 pN while the gains of the PI-controller were varied. Time-series of 2 s duration were collected for each gain setting. In the second experiment the tether was force-clamped at 3.4 pN and data was collected for 20 min, or until the tether broke.

Results

In the first experiment a force extension measurement was performed in the third lane of the chamber. The tether was then pulled close to the set-point tension and the feedback control was activated. Figure 5 shows time series data of force and trap position, first without feedback control (red data, $t=0-2$ s), and then with the feedback control activated, using different G_p and G_I ($t=2-10$ s). A reduction in the force-fluctuation is observed with increasing proportional gain (Fig. 5A). The standard deviation in force was reduced from 0.8 pN to 0.5 pN when feedback was active ($G_p=55$ and $G_I=0.3$). As seen from Fig. 5 and Fig. 6 the reduction in tension fluctuation was most dramatic below 100 Hz where the standard deviation decreased tenfold from 0.2 pN without feedback to 0.02 pN with feedback active. This reduction in tether tension fluctuation is achieved only through an increased trap motion (Fig. 5B). The standard deviation in trap position x_{T2} with $G_p=55$ and $G_I=0.3$ was 180 nm (20 nm in 0-100 Hz). In the first experiment data recording and beam steering was performed at the maximum bandwidth of the instrument (200 kS/s).

Figure 6 shows PSD data from the first experiment. Increasing the integral gain G_I reduces the low frequency force fluctuations while increasing the proportional gain G_p reduces force fluctuations across all frequencies. The experimental data reproduces the predicted asymptotic frequency dependence of f^2 and f^{-2} at low and high frequencies, respectively. Figures 6B, C, and D show how fluctuations in steerable bead position, trap position, and tether extension increase at every frequency for all G_p and G_I values tried. The predicted PSDs from Eq. (7), shown as black lines, agree with the measured data. The predicted PSDs were plotted on top of experimental data without fitting by using a local DNA-stiffness ($k_{DNA}=9$ pN/ μ m) from a force-extension measurement, the calibrated trap stiffness ($k_1=150$ pN/ μ m and $k_2=380$ pN/ μ m), and the known feedback gains G_p and G_I .

In the exonuclease experiment the set-point force was chosen as $F_{set}=3.4$ pN. In this long-duration experiment data was collected at 200 kS/s, digitally low-pass filtered to 2 kHz on the FPGA, and stored to disk at 8 kS/s. The results from a representative experiment are shown in Fig. 7. In this experiment the extension of the tether smoothly decreased from 15.4 μ m to 7.7 μ m during ~ 900 s, before the tether broke. The tether tension was held constant at 3.4 ± 0.4 pN (2 kHz bandwidth) during the experiment. To estimate the rate of enzymatic activity the extension data was windowed into 10 s time intervals with 95% overlap. First order least-square fits to these windows yielded velocity data which is shown in Fig. 7C, and shown histogrammed in Fig. 7D. The average velocity with which the tether length decreased was 9 ± 6 nm/s. This corresponds to a velocity of 30 nt/s of the lambda exonuclease (assuming a 14 μ m length change during 48 kb of translocation²⁰

), in agreement with previous measurements¹⁷. Figure 8 shows a frame from a video recording of the lambda exonuclease experiment.

Discussion and Conclusions

The proposed active real-time optical force-clamp can maintain a constant 0-100 pN tension in a dumbbell-tether while allowing for tether extension changes of several micrometers. The downside of this control is the increased fluctuation in the steerable trap position, and consequently the tether extension. However, our results show that the predicted PSDs agree with experimental data and thus Eq. (7) allows designing real-time force-clamp experiments that balance the trade-off between the conflicting requirements of constant tether tension and low uncertainty in the tether extension. At the highest proportional gains used, $G_p = 55$, a resonance peak at ~ 3 kHz is predicted but not visible in the experimental data (Fig. 6). This may be because Eq. (1) does not account for the drag of the DNA tether, which is similar to that of the beads²¹. At all other gains the good agreement between theory and data in Fig. 6 indicates that the longitudinal relaxation time of DNA, which is in the millisecond range at high extension²¹, does not influence the dynamics significantly. We conclude that using Eq. (7) it is possible to predict what effect varying the instrument bandwidth, the loop delay, the set-point force, and the feedback gains will have on the shape of force and position PSDs when force-clamping elastic tethers. Our results using integral gain show that the force error PSD is proportional to f^2 from low frequencies up to around the trap corner frequency. This suggests that in order to force-clamp with minimum error, instruments should feature feedback control with a bandwidth extending to at least the corner frequency. When trapping stiff constructs at high forces, e.g. when trying to detect short steps of molecular motors²², a bandwidth of ≥ 10 kHz, typically requiring an FPGA or Digital Signal Processor based controller, is thus required.

The second experiment performed with lambda exonuclease shows that our instrument can track extension-changes over several micrometers at constant tension. In future work the force-clamp will be applied to fast biological events such as RNA/DNA hairpin unfolding experiments, or studying fast strong molecular motors. It may also be possible to determine what bandwidth and feedback gains are sufficient or optimal for a certain force-clamp experiment, or to design novel improved control algorithms.

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FIG. 1. Geometry and symbols used for describing a dual-trap ‘dumbbell’ experiment

FIG. 2. (Color online) Dual-trap optical tweezers instrument with two detection lasers. Red dashed lines indicate optically conjugate planes. Components inside the dotted line are mounted on/inside the microscope body. Trap laser (1064), shutter (SH), lens (L), half-wave plate (HWP), Faraday isolator (FI), polarizing beam-splitter (PBS), single-mode fiber (SMF), output-coupler (OC), mirror (M), dichroic mirror (D), objective (OBJ), piezo-electric stage (PZT), condenser (COND), filter (F), position-sensitive photodiode (PD), tube-lens (TL).

FIG. 3. (Color online) Electrical schematic of real-time force-clamp instrument. Data acquisition card (PCI-7833R) with field-programmable gate-array (FPGA) featuring analog to digital (ADC) and digital to analog (DAC) converters. The signal from a position sensitive photodiode (PD) is amplified (INA111) and low-pass anti-alias filtered (AAF). Digital outputs control digital direct synthesizers (DDS) which drive acousto-optic deflectors (AOD).

FIG. 4. (Color online) A sample chamber (top) was assembled by drilling 1.6 mm holes in a $75 \times 25 \times 1 \text{ mm}^3$ microscope slide (a) and by glueing 0.25 mm i.d. PEEK tubing (b) to the slide using UV-curing epoxy (Norland NOA81). A 3-lane pattern was then cut into a $200 \text{ }\mu\text{m}$ thick double-stick tape spacer (c, Tesa) which was glued to the slide. The chamber was sealed with a $60 \times 24 \times 0.17 \text{ mm}^3$ coverslip (d, Corning). (bottom) Experiments were performed by trapping beads in channel 1, finding a DNA-tether in channel 2, and performing force-extension and force-clamp experiments in channel 3.

FIG. 5. (Color online) (A) Time-series of force-signal, collected at 200 kS/s, during a force-clamp experiment. The set-point force was 6 pN. The proportional gain G_p and integral gain G_i were adjusted every 2 s. (B) Histograms of the force remain gaussian, which indicates harmonic trapping. (C) Time-series of trap position x_{T2} , collected at 200 kS/s, during the force-clamp experiment, and its corresponding histogram (D). The black traces show data low-pass filtered to 100 Hz.

FIG. 6. (Color online) Power spectral densities of force (A), steerable bead position (B), trap position (C), and tether extension (D). The predicted PSD (Eq.7) is shown in black and (A) is shown with dashed lines proportional to f^2 and f^{-2} as a guide to the eye.

FIG. 7. (Color online) (A) Measured force and (B) tether extension during the enzymatic activity of lambda exonuclease. The red line in (A) shows the force set-point, 3.4 pN, for the force clamp control. The blue trace shows the force signal at 2 kHz bandwidth, while the black trace shows data low-pass filtered to 100 Hz. (C) Rate of the extension change calculated from time-series in (B) (see text). (D) Histogram of the rates showing an average rate of 9 ± 6 nm/s.

FIG. 8. Single-frame excerpt from video recording of force clamp experiment with lamda exonuclease. In the first part of the video a force-extension curve (bottom panel) is obtained using manual control. In the second part, after $t=20$ s, the tether is held force clamped at 3.4 pN (force shown in top panel). The video is at normal speed (1x) while the force extension curve is measured. During ~13 min of force-clamp control the video is sped up 25-fold. The gradual conversion from a double-stranded tether to a single-stranded tether is seen as a decrease in the extension (middle panel). The tether broke at $t=880$ s. Scale-bar 5 μm . (enhanced online).