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DNA methylation signature (SAM40) identifies subgroups of the Luminal A breast cancer samples with distinct survival

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ABSTRACT

Breast cancer patients with Luminal A disease generally have a good prognosis, but among this patient group are patients with good prognosis that are currently overtreated with adjuvant chemotherapy, and also patients that have a bad prognosis and should be given more aggressive treatment. There is no available method for subclassification of this patient group. Here we present a DNA methylation signature (SAM40) that segregates Luminal A patients based on prognosis, and identify one good prognosis group and one bad prognosis group. The prognostic impact of SAM40 was validated in four independent patient cohorts. Being able to subdivide the Luminal A patients may give the two-sided benefit of identifying one subgroup that may benefit from a more aggressive treatment than what is given today, and importantly, identifying a subgroup that may benefit from less treatment.

INTRODUCTION

Breast cancer is a heterogeneous disease that is driven by different genetic and epigenetic changes [1, 2]. Epigenetic changes are considered to be an early event in tumor development and one of the hallmarks of cancer. The degree of DNA methylation in the promoter region of tumor suppressor genes, DNA repair genes and transcription factors may play a role in the initiation of cancer, tumor progression and response to treatment [3, 4].

Gene expression profiling classified breast cancers into several molecular subtypes that differ significantly in incidence, survival and response to therapies: Luminal A, Luminal B, HER2 enriched, Basal-like and Normal-like [5–9]. Patients with Luminal A tumors usually have the best prognosis [7]; this holds true also when tumors are treated with contemporary adjuvant chemotherapy including anthracyclines and taxanes [10].

Large scale methylation analyses have shown that breast cancers may also be classified by DNA methylation
status, whereby tumors segregate into three clusters. These clusters are associated with overall survival, molecular subtype, ER expression and TP53 mutation status [11–15]. In a previous study, our group investigated the methylation status in about 800 cancer related genes across a panel of breast cancers and showed that luminal A tumors were quite evenly divided between two of the methylation derived clusters [15].

Using analysis of copy number aberrations (CNA) in tumors, Ciriello and colleagues [16] reported that Luminal A tumors may be separated into four groups characterized by distinct patterns of CNA and different clinical outcome. One subgroup with high level of genomic instability was associated with a poor prognosis (copy number high (CNH) samples) and had molecular features atypical of Luminal tumors.

In the clustering analysis of joint copy number and gene expression data from the cis-associated genes Caldas and colleagues revealed 10 integrative clusters (IntClust 1–10) [17]. Luminal A tumors were divided into three clusters (IntClust 3, 7 and 8); IntClust 3 was associated with the best prognosis with a 10-year of around 90%, while IntClust 7 and 8 showed a 10-year disease-specific survival rates of around 80%.

Expression of miRNAs has also been proposed to influence the methylation profile of cancers as it was demonstrated that for example the miRNA-29 (miR-29) family targets directly DNMT3A and DNMT3B in lung cancer [18].

The aims of the present study were first to refine and validate the classification of Luminal A breast cancers based on DNA methylation profiles across several datasets and, second, to address the prognostic impact of such classification, in particular aiming at identifying a subgroup of Luminal A tumors with a good prognosis in no need of adjuvant chemotherapy. Third, we investigated how the split of the Luminal A group may be affected by expression of miRNAs of the miR-29 family, and fourth, we investigated to what extent the split of the Luminal A group was affected by DNA copy number.

RESULTS

SAM40 – a DNA methylation signature stratifying patients with Luminal A breast tumors according to prognosis

We previously reported that Luminal A tumors were segregated into two different methylation derived clusters based on analysis of 1505 CpGs in 807 genes ([15]; Illumina GoldenGate). Using the Illumina GoldenGate DNA methylation profiles we applied SAM to identify differentially methylated genes. Forty-one genes were found significantly differentially methylated between the two methylation clusters of the Luminal A tumors. The genes were ADAMTS12, ASCL2, BIRC4, BMP3, BMP6, CD40, CDKN1C, COLIA2, DES, DKC1, DLK1, EGFR, ESR2, ETS1, ETV1, FES, FLT4, HBII-52, HOXA11, ICAM1, IRAK3, KIT, KRT13, LYN, MAS1, MKRN3, MYBL2, PALM2-AKAP2, PAX6, PCDH1, PGDFRB, PEG10, PITX2, SFRP1, TERT, TMEFF1, TNFRSF10C, TNFSF8, TPEF, WNT1 and WT1. The methylation status of these genes or any subset available on a given DNA methylation platform is from now referred to as SAM40.

The identified 41 genes (or the subset available for the different methylation data sets) were used to perform hierarchical clustering of Luminal A tumors in all four study cohorts. In the Norway27K cohort (HumanMethylation27) 39 genes were available (ADAMTS12 and HBII-52 did not have probes on the 27K array). The methylation levels of all probes mapped to each gene were summarized using the median. Hierarchical clustering showed that the samples segregated into two clusters, one with relatively high methylation (red) and one with relatively low methylation (blue; Figure 1A upper panel). DNA methylation data for the three remaining study cohorts (Norway450K, OsloVal and TCGA) were generated using the HumanMethylation450. The methylation level of the probes that represented the 5′UTR of each gene was used for hierarchical clustering. The genes that were not found with probes in the 5′UTR were ADAMTS12, BMP6, CD40, FES, FLT4, HBII-52, KIT, KRT13, MAS1, MKRN3, MYBL2, PALM2-AKAP2, PCDH1, TERT, TMEFF1, TNFRSF10C and TPEF. Like the Norway27K, the three remaining Luminal A study cohorts were each divided in two clusters: one cluster with high relative methylation (red) and one cluster with low relative methylation (blue; Figure 1B–1D upper panels).

Kaplan-Meier analyses and log-rank tests were applied to the four study cohorts to determine the prognostic impact of the SAM40 signature. In all four study cohorts the patients belonging to the cluster with low relative methylation had better prognosis (Figure 1 lower panels). In the Norway27K and Norway450K study cohorts patients in the hypomethylated cluster showed significantly better breast cancer specific survival ($p = 0.00997$ and $p = 0.0314$, respectively), while in the OsloVal study cohort patients in the hypomethylated clusters showed borderline significantly better breast cancer specific survival ($p = 0.0827$). In the OsloVal study cohort, the segregation was statistically significant for overall survival ($p = 0.0203$; Supplementary Figure 1). In the TCGA, patients in the hypomethylated cluster showed significantly better overall survival ($p = 0.00115$).

Other parameters influencing prognosis of patients

ER status, TP53 mutation status, TNM stage and correlation to gene expression derived subtype centroids were determined for samples in the Norway27K study cohort. Only one tumor was found to be ER negative and
only one tumor harbored a TP53 mutation. No differences with respect to TNM stage or the distance to the gene expression derived subtype centroids (Luminal A, Luminal B or Basal-like) were observed between tumors classified in the two clusters (Figure 1A upper panel).

Ciriello et al. [16] reported a subgroup of Luminal A tumors with high level of genomic instability associated with a poor prognosis (copy number high (CNH) samples). The CNH samples in the TCGA data were evenly distributed between the two SAM40 derived clusters.

Curtis et al. [17] reported that breast cancer could be divided in ten subgroups based on CNA and gene expression profiles (iCluster). This classification was available for the samples in the OsloVal study cohort, and there was no clear difference in distribution of the iClusters between the two methylation derived clusters (Figure 1C upper panel).

**Absolute methylation levels**

Comparing the absolute methylation levels of the SAM40 genes in the two clusters of the Norway 27K cohort revealed 18 out of 39 genes (available on the 27K array) to be methylated at significantly higher levels in the left cluster compared to the right cluster of Figure 1A. Methylation level of normal breast tissue was also compared to methylation level of tumors in the two clusters, and 27 genes were differentially methylated between the hypermethylated cluster and normal tissue, while 21 genes were differentially methylated between the hypomethylated cluster and normal tissue. In general, absolute methylation levels of the samples in the hypomethylated cluster differed from normal controls to a lower extent than samples in the hypermethylated cluster. Absolute methylation level for all genes is shown graphically in Supplementary Figure 2, and p-values for statistical comparisons are given in Supplementary Table 3.

**Pathway analysis**

Pathway analysis of the SAM40 genes identified significant association to canonical pathways such as BMP, NF-κB, IL-8, PTEN and telomerase signaling, and regulation of the epithelial-mesenchymal transition (EMT) pathway. All significant pathways are shown in Table 1.

**Multivariate analysis**

To investigate whether the SAM40 signature was an independent prognostic marker, a multivariate Cox
A proportional hazard model was also performed to adjust for therapy regime, age, lymph node status and T status. The analyses were performed in the Norway27K study cohort (Figure 1A; Table 2A) and in the Norway450K study cohort (Figure 1B; Table 2B). Classification by SAM40 was significantly associated with survival in the multivariate model for the samples in the Norway 27K study cohort ($p = 0.028$), and borderline significant for the samples in the Norway450K study cohort ($p = 0.072$). The results are summarized in Table 2.

### Analysis of synergistic effects of deregulated methylation and copy-number alteration

To investigate whether the genes in the SAM40 signature were affected concomitantly by methylation and genomic alteration CNAmet analysis was performed on samples of the Luminal A subtype. CNAmet is an algorithm to analyze the simultaneous and synergistic (additive) effect of methylation and copy-number alteration on gene expression in cancer. When the analysis was performed on the SAM40 genes, only two genes ($FES$ and $TNFRSF10C$) showed borderline significant synergistic effects ($q < 0.2$, CNAmet score $> 0$).

### microRNAs that target DNMTs may cause differential methylation patterns in Luminal A tumors

Expression of miRNAs in the miR-29 family, known to target the DNA methyltransferases $DNMT3A$ and $DNMT3B$ was tested for differential expression in the subgroups of Luminal A tumors. In the TCGA study cohort one miRNA (hsa-miR-29b-1-5p; $p = 0.049$) was found higher expressed in the samples belonging to the hypomethylated cluster. When investigating all samples from the MicMa cohort, three miRNAs (hsa-miR-29b, hsa-miR-29b-1-5p and hsa-miR-29b-2-5p; $p = 0.019$, $p = 0.017$ and $p = 0.017$, respectively) were also found with higher expression in samples belonging to the hypomethylated cluster (Figure 2). Correlation between miR-29b expression and DNMT expression was investigated, and expression of hsa-miR-29b was negatively correlated to expression of $DNMT3B$ ($p = 0.057$).

### DISCUSSION

Here we report a DNA methylation signature (the SAM40) that segregates patients with Luminal A breast tumors in two groups with different prognosis. This observation was made in four independent data sets including The Cancer Genome Atlas.

It is often difficult for the clinician to assess risk of recurrent disease as well as death of disease, and this poses a major challenge for the field. Overtreatment of breast cancer is a problem in the field, and this problem is especially prominent for patients with Luminal A tumors. Many patients will have a good prognosis without adjuvant systemic treatment such as chemotherapy, and these treatments may severely diminish quality of life and may cause long term side effects [19]. Our SAM40 signature allows subdivision of Luminal A patients and this will give a two-sided benefit: identification of one subgroup that may benefit from a more aggressive treatment than what is given today; and equally importantly, identification of a subgroup that may benefit from less treatment.
A recent randomized clinical trial from the Danish Breast Cancer Cooperative Group (DBCG) showed that patients with Luminal A tumors had comparable 10-year disease-free survival regardless of whether or not they received adjuvant chemotherapy [20]. This highlights the importance of choosing the correct patients for treatment.

A limitation of our study is that each Luminal A study cohort is retrospective and rather small. Future studies of the clinical impact of the SAM40 stratification in larger patient cohorts are of utmost importance, and such studies are planned in our hospital.

Multivariate analyses showed that the association between the SAM40 signature and prognosis of Luminal A patients was independent of age, T status and N status. Studies of larger patient populations are needed for definite conclusions.

The genes in the SAM40 signature were enriched in pathways that are known to be important in cancer, such as NF-κB signaling, telomerase signaling, IL-8 signaling, and regulation of EMT. Embryonic pathway signaling and regulation of EMT has been shown to be commonly deregulated in both metastatic breast cancer cells and embryonic stem cells [21]. The genes in the SAM40 signature are all of remarkable function. Bediaga et al. [11] reported that CD40 was significantly hypermethylated in Luminal B tumors, a patient group with worse survival than those with Luminal A tumors. Both EGFR and FLT4 have been shown to be silenced by DNA methylation in cancer [22, 23]. LYN encodes a tyrosine protein kinase and plays an important role in the regulation of innate and adaptive immune responses, responses to growth.

<table>
<thead>
<tr>
<th>Coefficient</th>
<th>Hazard ratio</th>
<th>Standard Error of coefficient</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAM40 signature</td>
<td>3.72</td>
<td>41.16</td>
<td>1.70</td>
</tr>
<tr>
<td>Received chemotherapy</td>
<td>−18.4</td>
<td>1.04E−08</td>
<td>2.01E+04</td>
</tr>
<tr>
<td>Received hormonal therapy</td>
<td>−1.89</td>
<td>0.15</td>
<td>1.91</td>
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<tr>
<td>Age (Older than 55)</td>
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<td>5.32</td>
<td>1.97</td>
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<tr>
<td>Lymph node positive</td>
<td>3.31</td>
<td>27.49</td>
<td>1.87</td>
</tr>
<tr>
<td>T2 or T3</td>
<td>−0.18</td>
<td>0.83</td>
<td>2.31</td>
</tr>
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</table>

Coefficients, hazard ratios, standard error of coefficients and p-values are shown for each investigated variable. A) Norway27K study cohort. Samples correspond to those presented in Figure 1A. B) Norway450K study cohort. Samples correspond to those presented in Figure 1B.

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<tr>
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<th>Hazard ratio</th>
<th>Standard Error of coefficient</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAM40 signature</td>
<td>1.59</td>
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<td>0.89</td>
</tr>
<tr>
<td>Received chemotherapy</td>
<td>0.14</td>
<td>1.14</td>
<td>1.60</td>
</tr>
<tr>
<td>Received hormonal therapy</td>
<td>−2.31</td>
<td>0.10</td>
<td>1.53</td>
</tr>
<tr>
<td>Age (Older than 55)</td>
<td>1.34</td>
<td>3.81</td>
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<tr>
<td>Lymph node positive</td>
<td>2.57</td>
<td>13.1</td>
<td>1.65</td>
</tr>
<tr>
<td>T2 or T3</td>
<td>0.48</td>
<td>1.62</td>
<td>1.12</td>
</tr>
</tbody>
</table>

Coefficients, hazard ratios, standard error of coefficients and p-values are shown for each investigated variable. A) Norway27K study cohort. Samples correspond to those presented in Figure 1A. B) Norway450K study cohort. Samples correspond to those presented in Figure 1B.

Figure 2: Differential expression of miRNAs in the miR-29 family.
profiles have been generated for using two different
from the Oslo region in Norway and the DNA methylation
eligible samples were selected from several patient cohorts
that the patient had at least 10 year clinical follow up. The
classification 2) that the sample was classified as Luminal
that the sample had expression profiling for PAM50
(0.00117), and these patients showed significantly
split into two groups using a DNA methylation signature
level groups. Thus, our analyses suggest that the genes in
the SAM40 methylation signature are mostly uninfluenced
by copy number changes and that the SAM40 classifier is
quite evenly between the high and the low methylation
data. In the present study CNH Luminal A samples were distributed
quite evenly between the high and the low methylation level groups. Thus, our analyses suggest that the genes in
the SAM40 methylation signature are mostly uninfluenced
by copy number changes and that the SAM40 classifier is
independent of classification by CNAs.

CONCLUSIONS

Breast cancer patients with Luminal A tumors were
split into two groups using a DNA methylation signature
(SAM40), and these patients showed significantly
different prognosis. This novel signature was replicated
and validated in three independent data sets. Being able to
identify a subgroup of Luminal A patients with even better
prognosis may have important implications for treatment
of breast cancer and may be used as valuable tool for
avoiding over-treatment of this patient group.

MATERIALS AND METHODS

Patient material

The inclusion criteria for this study was 1) that the sample had expression profiling for PAM50
classification 2) that the sample was classified as Luminal A, 3) that the sample had methylation profiling, and 4) that the patient had at least 10 year clinical follow up. The eligible samples were selected from several patient cohorts from the Oslo region in Norway and the DNA methylation profiles have been generated for using two different
Illumina Infinium platforms (HumanMethylation27 and HumanMethylation450). The samples were divided in
three study cohorts based on DNA methylation profiling
platform and patient characteristics: Norway27K, n = 24
[25–27], Norway450K, n = 32 [25, 28, 29] and OsloVal,
n = 30 [30]. In addition, data from TCGA, n = 108 were
downloaded and comprised the fourth study cohort [12]. The four study cohorts are summarized in Supplementary
Table 1 and the patient characteristics are summarized in
Supplementary Table 2. Molecular subtypes were determined on each main cohort separately according
to the PAM50 classification algorithm [31]. All patients
gave their informed consent, and each individual study
was approved by the regional ethical committee. Since Luminal A patients generally have a good prognosis,
the most appropriate end point for prognosis was breast
cancer specific survival (BCSS) and this was used for the
three Norwegian study cohorts. For TCGA, only overall
survival data (OS) was available.

DNA methylation analysis

Bisulfite conversion and DNA methylation analysis
using the Illumina Infinium HumanMethylation27 and
HumanMethylation450 beadchip assays was carried
out as previously described [32, 33]. Preprocessing and
normalization involved steps of probe filtering, color bias
correction, background subtraction and subset quantile
normalization as previously described [34].

Level 3 methylation data from TCGA was
downloaded from the TCGA data portal (https://tcga-
data.nci.nih.gov; [12]). Probes with more than 50%
missing values were removed, and further missing values
were imputed using the k-nearest neighbor algorithm (R
package pamr; k = 10). The clinical data from TCGA were
downloaded on December 11th, 2013.

Identification of a DNA methylation signature
for segregation of Luminal A tumors

In Rønneberg et al. [15] we discovered that Luminal
A tumors clustered in two different groups when using
DNA methylation level of about 1505 CpGs in 807
cancer-related genes. In the present study we validate
this clustering using differentially methylated genes in two
array platforms, HumanMethylation27 and 450K array.
We used the SAM function, R package samr [35] with 100
permutations to identify differentially methylated genes.
Methods for choosing probes that represent the identified
genes were different for the two array platforms. For the
HumanMethylation27 all probes mapped to the each gene
were used, and the methylation values for each sample
were summarized using the median, resulting in one
methylation value per sample per gene. This could be
done because the HumanMethylation27 only contains promoter
probes. For the HumanMethylation450 a similar approach
was used, but using only probes that were mapped to the five prime untranslated regions (5′UTR). Due to differences in coverage of the methylation assays, 39 genes were covered on the HumanMethylation27, and 24 genes had probes in the 5′UTR on the HumanMethylation450.

**CNAmet analysis**

CNAmet analysis was carried out in the Anduril workflow environment and with CNAmet version 1.2.1 [36, 37]. Level 1 gene expression and copy-number and level 3 DNA methylation microarray data were downloaded from the Cancer Genome Atlas [12]. A total of 85 Luminal A subtype primary breast carcinoma tumors had all three types of measurement. Copy-number data from Affymetrix 6.0 SNP arrays were extracted with the R package crlmm [38]. Samples with signal-to-noise ratio of less than 5 were removed. Moreover, probes with a confidence limit less than 0.9 were removed. Samples were normalized to a mean of 2. Log ratios were segmented using circular binary segmentation (parameters undo.splits=sdundo, undo.SD=3) [39]. All regions where segmented log ratios were over 2.3 were considered copy-number gains and below 1.7 as copy-number deletions. Agilent gene expression microarrays were compared to 59 controls. First, probes matching either multiple or no genes were removed. Then, data were normalized to a mean of 0. The CNAmet analysis was performed using Level 3 Illumina HumanMethylation27 methylation data. The data was preprocessed by TCGA, and in addition, probes with more than 25 missing values were removed.

CNAmet requires binary copy-number and methylation calls. In copy-number data, genes were dichotomized according to their gain/deletion status in a sample. In the methylation data, samples with methylation values in the lowest decile for each gene were considered hypomethylated. Similarly, samples with methylation values in the highest decile for each gene were considered hypermethylated.

Furthermore, the synergistic effect of copy-number gain and hypomethylation, and copy-number deletion and hypermethylation was analyzed separately. Genes with a q-value of less than 0.2 and scores over 0 in the CNAmet analysis were considered significant.

**Expression of miR-29 family members and DNA methyltransferases**

Expression levels of miRNAs from the miR-29 family and mRNA from the DNMTs were available for the MicMa cohort [40] (GEO accession number GSE19536) and the TCGA cohort [12]. As described earlier (Supplementary Table 1), samples from the MicMa cohort were split between two study cohorts due to platform differences when comparing DNA methylation. When comparing expression levels, all MicMa samples were treated as one cohort.

**Statistical analysis**

All analyses were performed using the R computing framework [41]. Mean centered beta values were used for hierarchical clustering using Pearson rank correlation matrix in an average linkage clustering approach. Kaplan Meier survival analyses and log-rank tests were performed using the R package survival. Multivariate Cox proportional hazard survival analysis was performed using the function coxph (R package survival) to adjust for treatment regime, age, lymph node status and T status. None of these parameters were significantly associated to survival in univariate analyses, but were included because they are thought to be prognostic in the population. Each parameter in the multivariate model was investigated for violations of the assumption of proportional hazards using the function cox.zph (R package survival). Data were also analyzed with Ingenuity Pathways Analysis (Ingenuity® Systems, www.ingenuity.com).

**ACKNOWLEDGMENTS**

The results published here are in part based upon data generated by The Cancer Genome Atlas pilot project established by the NCI and NHGRI. Information about TCGA and the investigators and institutions who constitute the TCGA research network can be found at http:// cancergenome.nih.gov/. The TSP study accession numbers in the database of Genotype and Phenotype (dbGaP) for TCGA studies used here is phs000569.v1.p7 (BRCA).

**CONFLICTS OF INTERESTS**

The authors declare that they have no competing interests.

**GRANT SUPPORT**

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