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Biocompatibility of Thermally Hydrocarbonized Porous Silicon Nanoparticles and their Biodistribution in Rats

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S
ince the first evidence of the biocompatibility of porous silicon (PSi),1 there have been a growing number of publications about its numerous applications.2–4 While most of the research has been focused on microdevices for therapeutic use,5 chemical and biological sensors,6 and optoelectronics,7 other applications have also emerged, such as intravenous8 and ocular drug delivery.9,10 Oral delivery still remains the route preferred by patients and thus dominates controlled release research.11 Drug and peptide delivery by PSi particles has already been demonstrated and with promising results.12,13 PSi particles can be loaded with diverse payloads, such as small molecule drugs and peptides. In addition, improved solubility of poorly soluble drugs after loading has been reported.14–16 The silicon surface can be treated in the gas phase by oxidation, hydroxilation, and thermal carbonization,3 or it can also be grafted with numerous functional moieties, including polyethyleneglycol17 and folate.18 Recently, the suitability of thermally hydrocarbonized silicon (THCPSi) microparticles for peptide delivery was demonstrated.19 The stabilization of PSi with thermal carbonization was used to prevent chemical reactions between the peptide and the PSi surface. Despite the stability, further labeling or functionalization of the surface is still feasible. Microparticles did not induce changes in plasma cytokine concentrations after subcutaneous administration in rats.19 By combining the advantageous properties of the PSi materials with those of nanoscaled vehicles, even greater benefits in the development of drug delivery carriers can be achieved.8 It is known that silicon-based nanomaterials can degrade rapidly in vivo into orthosilicic acid and therefore be easily excreted from the animal body.5 However, limited attention has been drawn to the toxicological profiling of the PSi degradation products. The inherent luminescence of oxidized PSi materials20 is advantageous when noninvasive imaging is considered.8 Nonetheless, not all modified PSi materials exhibit luminescence and, therefore, alternative labeling approaches need to be investigated to assess their performance noninvasively in vivo. The use of optical imaging techniques is essentially limited to mice due to the limited light penetration depth, rendering

ABSTRACT Porous silicon (PSi) particles have been studied for the effects they elicit in Caco-2 and RAW 264.7 macrophage cells in terms of toxicity, oxidative stress, and inflammatory response. The most suitable particles were then functionalized with a novel 18F label to assess their biodistribution after enteral and parenteral administration in a rat model. The results show that thermally hydrocarbonized porous silicon (THCPSi) nanoparticles did not induce any significant toxicity, oxidative stress, or inflammatory response in Caco-2 and RAW 264.7 macrophage cells. Fluorescently labeled nanoparticles were associated with the cells surface but were not extensively internalized. Biodistribution studies in rats using novel 18F-labeled THCPSi nanoparticles demonstrated that the particles passed intact through the gastrointestinal tract after oral administration and were also not absorbed from a subcutaneous deposit. After intravenous administration, the particles were found mainly in the liver and spleen, indicating rapid removal from the circulation. Overall, these silicon-based nanosystems exhibit excellent in vivo stability, low cytotoxicity, and nonimmunogenic profiles, ideal for oral drug delivery purposes.

KEYWORDS: porous silicon · nanoparticles · oral delivery · cytotoxicity · biodistribution · Caco-2 cells
nuclear imaging the only method fully translational from laboratory animals to humans. When the potential of novel biomaterials or nano-materials is considered, a systematic investigative approach is required to evaluate their potential hazard upon administration. The particle size is a key element to be taken into account, as the surface area of the particles in contact with the cell varies. For evaluation of biocompatibility and suitability of THCPsi nanoparticles for oral delivery applications, different particle sizes were investigated. They were then tested with both Caco-2 human colon carcinoma (an in vitro model for the intestinal epithelium barrier) and RAW 264.7 murine macrophage cells (an in vitro model used for cellular uptake and inflammation studies), and their biodistribution in rats was determined. Here we demonstrate that the THCPsi nanoparticles have low toxicity, do not elicit significant oxidative or inflammatory response, and associate strongly with the cell membrane without internalizing. Furthermore, radiolabeled THCPsi nanoparticles pass intact through the gastrointestinal tract and do not permeate the intestinal wall. From the results drawn in this study, we conclude that THCPsi nanoparticles are a safe and promising vehicle for oral drug delivery applications.

RESULTS AND DISCUSSION

Production and Characterization of Porous Silicon Particles. Porous Si films were synthesized by electrochemical etching the Si wafers with HF and ethanol. To perform the in vitro and in vivo studies, we have prepared thermally hydrocarbonized silicon (THCPsi) particles with several sizes ranging from micro- to nanosize. The preparation and physicochemical characterization of the PSi micro-particles have been described in detail in the literature. In this study, two microsized THCPSi fractions were prepared: 10–25 μm. The average pore diameter of these particles was 9.8 nm. The specific surface area was 322 m²/g, and the pore volume was 0.81 cm³/g. In the case of PSi nanoparticles, the fractions prepared were 97, 142, and 188 nm. The average pore diameter of the THCPSi nanoparticles was 9.0 nm. The specific surface area was 202 m²/g, and the pore volume was 0.51 cm³/g. The measured zeta (ζ)-potential of the THCPSi nanoparticles was about 30 mV.

After etching and drying, the PSi surfaces are unstable in aqueous solution due to oxidation of the reactive surface hydrides, and therefore, a thermal hydrocarbonization was used to improve its stability. The particles obtained in this manner are stable and allow further surface modifications with molecules such as fluorescent labels or radioisotopes.

Figure 1a shows the transmission electron microscope (TEM) images of unla-beled THCPsi (a), FITC-THCPsi labeled (b), and 18F-THCPSi labeled (c) nanoparticles used in this study and their respective size distribution. Scale bars are 500 nm. Measured potentials of THCPSi nanoparticles (d) before labeling (squares) and after 18F-labeling (circles).
The specific radioactivity of cyclotron-produced $[^{18}\text{F}]$fluoride is high, typically exceeding 5 Ci/μmol (185 GBq/μmol). In this study, 0.5–1.0 GBq of no-carrier added $[^{18}\text{F}]$fluoride corresponding to less than 0.1 μg in mass was used to label a 10,000-fold excess of 1 mg of THCPsi nanoparticles. Therefore, radiolabeling of the THCPsi surface with a trace amount of $[^{18}\text{F}]$ is unlikely to result in detectable change in the particle size and surface chemistry and, consequently, alterations in the in vivo behavior of the nanoparticles. Most importantly, it can be seen that the labeling of the THCPsi surface with FITC or $[^{18}\text{F}]$ did not affect the physicochemical properties of the particles. All the labeled particles were morphologically similar to unlabeled THCPsi nanoparticles in terms of size and shape (Figure 1a–c), as well as in terms of surface charge, as demonstrated by the negative ζ-potential (Figure 1d). Furthermore, the lack of influence of $[^{18}\text{F}]$ radiolabeling on the surface chemistry was confirmed by the identical FTIR spectra of both unlabeled and radiolabeled THCPsi free-standing films (see Supporting Information, Figure 1).

In Vitro Toxicity, ROS Production, and Inflammatory Reaction. We evaluated the in vitro cytotoxic, oxidative, and inflammatory responses in the above-mentioned cell lines when exposed to the THCPsi particles for 24 h. Psi particles are known to react in common colorimetric viability assays such as the MTT or LDH, leading to false results. Therefore, a validated luminescent method based on the quantification of metabolically active (in terms of ATP content) cells was used. The THCPsi microparticles of size fraction 1–10 μm were found to be more cytotoxic than all other particle sizes in the Caco-2 cell line, although the 10–25 μm particles exhibited also some toxicity at higher concentrations (Figure 2a). THCPsi nanoparticles with an average size of 97 and 188 nm reduced viability compared to 142 nm particles in RAW 264.7 macrophages (Figure 2b). The intracellular reactive oxygen species (ROS) production, which is often used to evaluate nanoparticle toxicity, was assessed using dichlorodihydrofluorescein diacetate (DCF-DA). This probe is non-fluorescent unless oxidized by the intracellular ROS to fluorescent DCF. The extent of oxidative response, evaluated in terms of the intracellular ROS, was of the same order of magnitude throughout all size ranges studied for both cell cultures and significantly lower than for the positive control, H$_2$O$_2$ (Figure 2c,d). The THCPsi microparticle-induced intracellular ROS production remained low despite the lower viability values, which suggests that these particles are interacting with the extracellular cell membranes, resulting in cellular damage due to ATP depletion without further production of ROS. Inflammatory responses were measured in terms of tumor necrosis factor α (TNF-α) production. TNF-α is a polypeptide cytokine produced by macrophages that stimulates acute phase reaction. The results demonstrated that THCPsi nanoparticles with an average size of 142 and 188 nm triggered a lower production of TNF-α than the other investigated particles (Figure 2e). These results are in good agreement with those shown in Figure 2a–d.

It has been shown that particle size plays a crucial role in determining cytotoxicity, as different pathways and cellular processes are engaged and modulated upon particle contact. Our results suggest that the most toxic size fraction of THCPsi particles is 1–10 μm, which reduced the cell viability the most (Figure 2a,b). On the other hand, the THCPsi particles of 142 nm interacted less strongly with the cells, thus, inducing less cellular damage and maintaining high levels of cellular ATP production even after 24 h. These effects were both size and concentration dependent. It is already established that particle size can strongly affect the efficiency of cellular uptake and there seems to be a correlation between several size ranges and a significant alteration in signaling processes. The fact that different internalization pathways can occur even between particles of a narrow range of sizes and also that due to the fact that most micro- and nanoparticle dispersions are not completely homogeneous accounts for the possibility that minor fractions in particle dispersions could be responsible for some of the biological effects. These results illustrate the importance of a clear understanding and control of particle size distribution in micro- and nanoparticle dispersions for biological purposes. The route of internalization into the cell, as well as the interaction with the cell wall, can extensively modulate viability. Inorganic particles below 100 nm were found to be internalized to a greater extent and to reduce viability. However, it has also been suggested that an inflection point on the particular internalization route is apparent at a size of approximately 200 nm, which is also corroborated in our study. It is for that reason that when designing a micro- or nanoparticulate drug delivery system it is advisable to avoid the thresholds that were found to have a greater impact on cell viability. In RAW 264.7 macrophages, the oxidative and cytotoxic responses seem to be of a higher level when compared to Caco-2 cells, which can be attributed to their role in the inflammatory process in response to the particles. The cytotoxicity and oxidative stress induced by silicic acid resulting from the complete dissolution of the THCPsi particles was also investigated. Silicic acid from the dissolved particles was noncytotoxic and did not elicit any oxidative response in Caco-2 cells at concentrations up to 100 μM (see Supporting Information, Figure 2, and also details on the silicic acid determination).

In Vitro Cell Association. Because the THCPsi nanoparticles of 142 nm revealed the most promising results in both Caco-2 and RAW 264.7 macrophage cells, we proceeded to further characterize the in vitro cellular association of these nanoparticles in detail. For this purpose, the Caco-2 and RAW 264.7 macrophage cells were incubated with the FITC labeled THCPsi nanoparticles. These particles showed a strong cellular association in a concentration-dependent manner (Figure 3a,b).
The extent of the particle internalization by the cells was confirmed by confocal microscopy (Figure 3c) and by analyzing the different focal planes acquired through each sample (z-stacks). It was observed that the majority of the particles remained attached to the cell surface when compared to controls (Figure 3c). Furthermore, permeability of FITC-labeled THCPSi nanoparticles across Caco-2 monolayers indicated that less than 2% permeated across the Caco-2 monolayers in 4.5 h regardless of the particle concentration (Figure 3d). We postulate that the negative ζ-potential of THCPSi particles (Figure 1d) together with their hydrophobic nature favors a strong particle–membrane interaction, but extensive internalization is prevented. The strong membrane interaction due to the THCPSi hydrophobic nature could cause toxicity, an effect not observed with our 142 nm THCPSi particles, which indicates their high biocompatibility.

In Vivo Distribution. For evaluating the suitability of THCPSi nanoparticle carriers for drug delivery applications, it is necessary to gain detailed knowledge about their biodistribution and behavior in vivo. The FITC-label is quenched in acidic environments such as the stomach and is, therefore, unsuitable for labeling particles intended for oral administration. To overcome this problem and assess the biodistribution of THCPSi nanoparticles in rats, we developed novel 18F-labeled THCPSi nanoparticles. The 109.8 min half-life of 18F is long enough to allow the follow-up of slower physiological processes, such as passage in the gastrointestinal tract. Furthermore, as 18F is a short-lived positron emitter, it can be used for noninvasive visualization of particle distribution with positron emission tomography (PET), a fully translational, highly sensitive, and quantitative molecular imaging method.

Radiolabeled 18F-THCPSi nanoparticles were administered to male Wistar rats using three different routes: oral administration by intragastric gavage, subcutaneous and intravenous injections. Control animals received 18F-NaF in the vehicle to establish the biodistribution pattern of free [18F]fluoride in the experimental setup. Administered doses are given in Table 1. The [18F]fluoride ion is a known bone-seeking radiotracer, showing high and rapid bone uptake in rats. In the biodistribution studies with 18F-labeled radiopharmaceuticals, 18F accumulation in bone is commonly regarded as an indicator of the level of defluorination of the tracer in vivo. Therefore, we administered to control animals [18F]F- as sodium fluoride to differentiate the 18F-labeled nanoparticles from detached free 18F-label in the nanoparticle biodistribution study. The results showed that the nanoparticles did not cross the intestinal wall, as seen by the negligible amount of radioactivity detected in the systemic circulation or organs outside the gastrointestinal tract (Figure 4a,b).

The observed appearance of radioactivity in bone and urine (<0.6 ID%/g) at later time points was due to mi-
nor detachment of the $^{18}$F-label, which can be caused by the in vivo defluorination or disintegration of the particles themselves. Distribution of the released radiolabel was consistent with the behavior of free $^{18}$F observed in control animals after administration of $^{18}$F-NaF in the vehicle (Figure 4c,d). Macroautoradiographical analysis of the
lower gastrointestinal tract showed that the majority of the administered nanoparticles reached the distal parts of colon in 6 h and were excreted in fecal pellets (Figure 5a), but a more dispersed pattern was observed in control animals given $^{18}$F-NaF (Figure 5b). Some interindividual variation associated with the activities of the animal (e.g., rest, drinking, coprophagy, and pica) during the study period was observed in the rate at which the particles were excreted. In some animals, reappearance of radioactivity in the small intestine was seen due to coprophagy (Figure 4b,d).

After intravenous administration into the lateral tail vein (Figure 6a) the particles were found in the liver and spleen, indicating that they are rapidly removed from the circulation. The tail segment surrounding the injection site was removed after sacrifice and its radioactivity counted to verify successful injection and lack of radioactivity at the injection site (data not shown). THCPSi nanoparticles were administered subcutaneously under the loose skin at the back of the animal. The particles resided in the subcutaneous space for up to 4 h postinjection. This was confirmed by qualitative post-mortem autoradiography of the backside of the whole rat. The biodistribution data for subcutaneous administration showed only minimal leakage of dissociated $^{18}$F-label into the circulation and subsequent accumulation in bone and urine (Figure 6b). As expected, significantly higher $^{18}$F bone uptake was seen in control animals in all administration routes. In addition, free $^{18}$F was rapidly extracted into urine in large amounts after intravenous and subcutaneous injections, whereas in particle-dosed animals less than 1 ID%/g was excreted via the kidneys (Figure 4a). In control animals dosed orally, slightly slower kinetics of bone uptake were observed compared to the parenteral routes (Figure 4c), and macroautoradiography showed a dispersed distribution pattern, reflecting $^{18}$F absorption from the small intestine.

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Figure 4. Biodistribution of $^{18}$F-labeled THCPSi nanoparticles after oral administration (a) and their radioactive distribution in the lower GI tract (b), as quantified from autoradiography (n = 3–4 at each time point; mean ± s.d.). Biodistribution of free $^{18}$F-NaF after oral administration in control animals (c) (n = 2 at each time point; mean ± s.d.) and its radioactive distribution in the lower GI tract in control animals after oral administration (d) quantified from autoradiography.
testine as reported previously (Figure 5b). Biodistribution of free $^{18}$F-NaF (Figure 6c,d) showed a pattern clearly distinguishable from that seen in particle-dosed animals (Figure 6a,b), demonstrating excellent in vivo stability of $^{18}$F-THCPSi nanoparticles under different physiological conditions.

CONCLUSION

The THCPSi particles have been shown to cause different cellular responses depending on the cell type and route of administration, and therefore, particles with well-defined sizes were investigated in this study. The stability of THCPSi particles under the experimental timeline investigated and the ease of labeling them with fluorescent or radioactive labels for imaging purposes render the material a flexible platform for functionalization and further development in drug delivery applications. However, while the stability of THCPSi particles is prospective for their oral delivery, demonstrated biodegradability and favorable rate of degradation are critical for their subcutaneous administration and still need to be investigated. In conclusion, this study demonstrates for the first time the feasibility of oral delivery of silicon-based nanoparticles by means of in vitro cellular responses and interactions, as well as in vivo biodistribution, stability, and safety. The results presented here constitute the first quantitative analysis of the behavior of orally administered THCPSi nanoparticles compared with other delivery routes in rats.

MATERIALS AND METHODS

Preparation of Thermally Hydrocarbonized Porous Silicon (THCPSi) Particles. The free-standing porous silicon (PSi) films were anodized using single crystal silicon wafers (100) of p-type with resistivity values of 0.01–0.02 $\Omega$cm. The PSi was prepared by anodizing the wafers in an HF (38%)-ethanol mixture. The free-standing films for microparticle production were etched for 40 min using a constant etching current (50 mA/cm$^2$).

In the case of THCPSi nanoparticle production, the method consisted of three different current pulses so that the first low current pulse is used to produce a similar mesoporous silicon structure as in the microparticles (porosity = 65%). The second
pulse is shorter, with a high current pulse near the electropolishing region, which produces highly porous and mechanically fragile layers. The fragile layers predetermine the fracture sites on the plane parallel to the surface of the porous film (see Supporting Information, Figure 3), while the pores determine them on the perpendicular planes. A third zero-current pulse is then used to remove the possible electrolyte concentration gradients in the pores formed during the high current pulse, before the new etching cycle starts. After drying, the pulse etched freestanding films were thermally hydrocarbonized under a N2/acetylene (1:1) flow at 500 °C for 10 min as described previously.

The size reduction of the hydrocarbonized free-standing Si films (THCPSi) was done with ball milling. Wet milling was used in nanoparticle production in order to increase the grinding efficiency. Although the external surface of the milled nanoparticles is mainly composed of the initial hydrocarbonized pore walls (80–90% of the external surface), we tried to reduce oxidation of the new external surfaces created during the milling by using 1-decene as the milling liquid. The high grinding forces during ball milling raise the temperature of the suspension locally at the impact site, where it is high enough for a thermal hydrosilylation reaction between washes. The final formulation was prepared in HBSS, pH 7.4) on the copper–carbon grids and allowing them to dry in a drybox for about 24 h. The size distribution was then estimated using free ImageJ software. The microparticle size was determined with laser diffraction (Mastersizer, Malvern Instruments Ltd.) or with an automated optical microscopy analysis (Morphologi G3, Malvern Instruments Ltd.).

18F-Radiolabeling of THCPSi Nanoparticles. All reagents and 1× HBSS buffer were purchased from Sigma-Aldrich, except for absolute ethanol (Etax Aa, 99.7 vol-%, Altia Corporation) and polyethylene glycol-15-hydroxystearate solubilizer (Solutol HS 15, BASF SE). Fluorine-18 was produced in a 18O(p,n)18F reaction on a IBA Cyclone 5/10 cyclotron (Laboratory of Radiochemistry, University of Helsinki, Helsinki, Finland). To 1 mg of THCPSi nanoparticles suspended in anhydrous DMF were added 500 μL of 4% (v/v) acetic acid and 0.5–1 GBq of fluorine-18 as [18F]KF/Kryptofix 2.2.2 in 100 μL of DMF. The solution was heated at 120 °C for 15 min. Radiolabeled nanoparticles were purified with sequential washes in absolute ethanol, ultrapure water, and 1× HBSS, pH 7.4. Particles were centrifuged at 29000 g, the supernatant was changed, and the particles were resuspended by sonication between washes. The final formulation was prepared in 5% Solubol-1× HBSS (pH 7.4), Product specific radioactivity was 207 ± 139 MBq/mg at administration. The ζ-potential measurements were performed for each radiolabeled batch to verify that the THCPsi surface chemistry was not affected by the labeling reaction.

Cell Culture and Toxicity. The in vitro studies with the THCPsi particles were performed with human colon carcinoma (Caco-2, ATCC) and RAW 264.7 murine macrophage cells. Both cell lines were obtained from American Type Culture Collection (ATCC). The cells were cultured in 75 cm² culture flasks (Corning Incorporated Life Sciences) using Dulbecco’s modified Eagle’s medium (DMEM, Euroclone S.p.A) supplemented with 10% fetal bovine serum (FBS, Gibco), 1% nonessential amino acids, 1%
l-glutamine, penicillin (100 IU/mL), and streptomycin (100 μg/mL; all from Euroclone S.p.A.). The culture was maintained in a BB 160 CO2 incubator (Heraeus Instruments GmbH) under an atmosphere of 5% CO2 and 95% relative humidity. The growth medium was changed every other day until the time of use. The Caco-2 cells from passage numbers 31–40 and RAW 264.7 macr-

...a solution of 1 × 10^6 cells/mL was seeded in 8-well chambered No. 1.0 borosilicate coverglass system plates (Lab-Tek Nunc) and allowed to attach overnight. After that, 30 or 100 μg/mL of FITC-labeled THPSi nanoparticles suspensions were added to the wells for a final concentration of 15 and 50 μg/mL and incubated for 3 h. The particles were then removed and the wells washed three times with HBSS. CellMask (Invitrogen) was added for cell staining according to manufacturer specifications. Prior to measurements, the cells were fixed with 4% paraformaldehyde (PFA, Fluka) in PBS for 20 min. Confocal pictures were taken with a Leica SP2 inverted confocal microscope, equipped with argon (488 nm), and DPLS (561 nm) lasers, and using a HCX Plan Achromat 63×/1.2 water immersion objective (Leica Microsystems).

**In vivo Biodistribution.** All animal experiments were approved by the National Committee for Animal Experimentation in Finland (State Provincial Office of Southern Finland, Hämeenlinna). The 18F-labeled THPSi nanoparticles were administered to male Wistar Han rats (age 7–10 weeks, University of Helsinki Laboratory Animal Centre) in 5% Soluhal-1× HBSS (pH = 7.4) either by intragastric gavage, subcutaneously on the back, or intravenously via the lateral tail vein (n = 8–9 for each administration route). Control animals (n = 3–6 for each route) received 18F-NaF in 5% Soluhal-1× HBSS. Animals were sacrificed at 2, 4, and 6 h, or at 30 min, 1, and 4 h after administration for enteral and parenteral routes, respectively. Samples from blood, urine, mesenteric lymph node, liver, lung, kidney, spleen, testis, brain, bone, and stomach were collected. For intestinal biodistribution of radioactivity, the lower gastrointestinal tract was excised and samples were taken from duodenum, jejunum, ileum, cecum, and the ascending, transverse, and descending parts of colon. Samples were weighed and their radioactivity measured with a gamma counter (Wizard 3, PerkinElmer).

**Macroautoradiography of the Lower Gastrointestinal Tract.** For animals dosed by intragastric gavage, the whole lower gastrointestinal tract was imaged with digital autoradiography prior to sampling for radioactivity counting. The excised intestines were arranged on a Fujiﬁlm BAS SR2040 imaging plate together with 18F standards and photographed for macroanatomical identiﬁcation of different parts. Autoradiograms were analyzed with the AIDA 2.0 imaging software (Raytest Isotopenmessgeräte GmbH).

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**Supporting Information Available:** FTIR spectra of unlabeled and 18F-labeled THPSi free-standing films, intracellular ROS response, and viability of Caco-2 cells incubated with several concentrations of silicic acid from the complete dissolution of TH-
REFERENCES AND NOTES


