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Forensic age assessment of asylum seekers in Finland

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Abstract In Finland, forensic age assessment is strictly regulated by legislation. According to the Aliens Act (301/2004) and the amendment of the Act (549/2010), the police authorities, the frontier guard authorities, and the immigration authorities have the right to refer asylum seekers to the University of Helsinki, Department of Forensic Medicine, for age assessment. These assessments are especially performed to solve if the person is of major age, the cutoff being 18 completed years. The forensic age assessment is largely based on dental development, since the special permit of the Radiation and Nuclear Safety Authority (STUK) to the Department of Forensic Medicine of the University of Helsinki, allowing the use of ionizing radiation for non-medical purposes, includes dental and hand X-rays. Forensic age assessment is always performed by two forensic odontologists. In 2015, the total number of forensic age assessment examinations was 149, and the countries of origin of the asylum seekers were most commonly Iraq, Afghanistan, and Somalia. The current legislation on forensic age assessment has been well received and approved. Radiological and other examinations can be performed in different parts of Finland, but the forensic odontologist at the University of Helsinki is always involved in the process and ensures joint quality standards for the forensic age assessment.

Keywords Asylum seekers · Forensic age assessment · Forensic odontology · Legislation · Radiology

Introduction

Due to wars and other conflicts around the world, the numbers of asylum seekers in recent years in industrialized countries have risen. A clear change occurred in 2003, when the number of pending asylum applications in industrialized countries reached 505,000 [1]. In the European Union (EU), the number of asylum seekers increased significantly from 626,065 in 2014 into 1,321,600 in 2015. The largest group in 2015 came from Syria. Between 2014 and 2015, the countries with the highest increase in numbers of pending applications were Germany, Hungary, Sweden, and Austria. In relative terms, the largest increase in the number of first-time applicants was, however, recorded in Finland, with a more than ninefold increase from 2014 to 2015 [2].

In 2015, EU countries received 88,700 applications from unaccompanied minors, and of all the applicant minors, 23% were unaccompanied. Among those seeking asylum in Europe, there are more males than females, and especially in the younger age groups, there is a significant gender inequality. In 2015, in the age group 14–34 years, around 80% were male [2].

Forensic age assessments have been performed in Finland since the middle of 1990s. The first cases were related to international adoptions. In 2009, the need for forensic age assessments increased remarkably due to the rising number of immigrants and unaccompanied minor asylum seekers coming to Finland since the end of 2008. Most of the minor asylum seekers that the police authorities referred to forensic age assessment in 2009 had told that they were 15–17 years old. The legal age threshold to distinguish between a child and...
an adult is 18 years, and the main goal is to assess whether the asylum seeker has reached the age of majority. Other aspects exist as well, namely when unaccompanied minor asylum seekers arrive without documents to prove their identity, the authorities may set the age incorrectly, and sometimes, the age of a minor immigrant needs to be re-evaluated. In the situation 2009, in the absence of Finnish legislation concerning forensic age assessment, the Ministry of the Interior prepared a government’s proposal for the parliament to change the Aliens Act [3]. Today, forensic age assessment is strictly regulated by legislation with the special permit of the Radiation and Nuclear Safety Authority to the Department of Forensic Medicine of the University of Helsinki, allowing the use of ionizing radiation for non-medical purposes [4, 5].

The purpose of the present paper is (1) to describe the unique Finnish legislation concerning forensic age assessment and the experience of how the Aliens Act (301/2004) and the amendment of the Act (549/2010 Section 6a and 6b) [4] work in practice; (2) to describe the methods of forensic age assessment used in Finland; (3) to report the number and frequency of forensic age assessments in Finland during the years 2005–2015; and (4) to present the results of the Finnish age assessments among asylum-seeking minors in 2015.

Materials and methods

The information was collected from the Finnish legislation texts, EU statistics, and the public statistics of asylum seekers in Finland by the immigration authorities. Concerning the results of the forensic age assessments among asylum-seeking minors, the author O.V. as forensic odontologist working at the Department of Forensic Medicine, University of Helsinki, had access to the information.

Legislation

According to Aliens Act (301/2004) and the amendment of the Act (549/2010 Section 6a and 6b), the police authorities, the frontier guard authorities, and the immigration authorities have the right to refer asylum seekers to the University of Helsinki, Department of Forensic Medicine, for age assessment in case there are reasonable grounds for questioning the reliability of the information the person has given on his or her age [4]. If the date of birth has not been registered or if the external appearance, behavior, or linguistic expression arouses suspicion of an older person, forensic age assessment is triggered by the previously mentioned immigration authorities.

The forensic age assessment is always performed by two experts who will jointly sign the statement, and at least one of them must be an employee of the Department of Forensic Medicine. An expert may be a certified medical practitioner or a certified dentist with the necessary competence in forensic odontology. Actions required for the examination may also, at the request of the University of Helsinki, Department of Forensic Medicine, be performed by central hospitals, municipal health centers, or private health care units. Persons performing actions necessary for the examinations shall be health care professionals.

For the purpose of the examination, an official from the police, border guard, or the Finnish Immigration Service shall establish the identity of the person to be examined. The parent, guardian, or other legal representatives of the person to be examined has the right to be present when the examination is performed. The person has to give his/her written consent for the radiographic and other examinations, and if registered under 18, an approval of the District Court imposed representative is required. Before obtaining the consent, the applicant and the applicant’s guardian or other legal representatives shall be given information on the importance of age assessment, the examination methods used, potential health effects, and the consequences of undergoing, and of refusing, an examination. Anyone who refuses to undergo an examination is considered an adult if there are no reasonable grounds for refusal. On the other hand, refusal to undergo examination does not constitute grounds for rejecting an application for international protection. The information shall be given by immigration authorities and if necessary with an interpreter present, in the native language of the applicant or in a language that he or she may reasonably be expected to understand [4].

According to Radiation Act (592/1991) and the amendment of the Act (1142/1998 Chapter 10 39§), the forensic odontologist evaluates the legitimacy of the medical imaging (ionizing radiation) techniques used during the forensic procedure [6]. If the medical practitioner is not performing the procedure, an educated medical professional can conduct it under his or her supervision. The exposure caused by the use of radiation must be kept as low as reasonably achievable in order to obtain the intended result of the examination [7]. The Ministry of Social Affairs and Health may authorize Radiation and Nuclear Safety Authority (STUK) to issue restrictions governing the use of radiation and recommendations concerning the methods of radiological examination [8].

Act on the Status and Rights of Patients (785/1992) and the amendment of the Act (653/2000) determine the acceptable ways to save and store the information as well as confidentiality of the information in patient documents [9]. Coercive Measures Act (806/2011) and the amendments of the Act (1146/2013) define inspection of a person suspected for a crime [10]. A written indication for person’s inspection must be given by the police authority.

The Convention on the Rights of the Child [11] is the first legally binding international instrument to incorporate the full range of human rights—civil, cultural, economic, political,
and social rights. In 1989, world leaders decided that children needed a special convention just for them because children often need special care and protection that adults do not. The convention deals with the child-specific needs and rights and requires that states act in the best interests of the child. It does not, however, address the situation where minority is disputed and does not refer to the critical issue of age assessment procedures and necessary guarantees in these procedures [12].

The methods of forensic age assessment used in Finland

The examinee is first interviewed by a forensic odontologist at the Department of Forensic Medicine, University of Helsinki, or if the examination takes place in other parts of Finland, in local central hospitals or municipal health centers before radiographs are taken. The sex, ethnical background, and the examinee’s reported age are recorded as well as any nutritional or medical information related to growth. Information of siblings and their age is asked. The weight and height are measured.

The forensic age assessment relies on radiological methods. The STUK has issued a special permit to the Department of Forensic Medicine of the University of Helsinki, allowing the use of ionizing radiation for non-medical purposes. The modalities allowed by STUK for forensic age assessment include radiographic examinations of the dentition and hand and wrist [5]. The examination usually includes a dental panoramic tomogram and an X-ray of the left wrist. Sometimes when all the permanent teeth including the third molars have completed root formation, periapical intraoral X-rays are needed, for instance in cases where the age of an adult asylum seeker was incorrectly evaluated on arrival [13, 14]. The radiographs are independently studied by two forensic odontologists who will both sign the joint report.

The references for dental development are chosen after the interview and the radiographic examinations. In some dental development tables, ethnical background is a factor. The maturity of the permanent teeth is decisive for the choice of method. For those with uncompleted development of teeth anterior to third molars, reference tables of Nyström et al. [15], Kataja et al. [16], Chaillet et al. [17], and Willems et al. [18] are used in combination, using three methods for each case. All these are based on Demirjian’s staging technique [19]. Tables by AlQahtani include all the permanent teeth [20]. When all the other permanent teeth except third molars are fully developed, staging of third molar development is the most common method for age assessment. The references used are Mincer et al. [21], known as the A.B.F.O. method, based on American and Afro-American research population, and Orhan et al. [22], which is based on Turkish population, as well as tables by AlQahtani [20]. All these references are used in cases where third molars are present. Based on gradual diminution of the size of the dental pulp due to secondary dentin formation, an adult’s age can be estimated on a fairly large scale using the method described by Kvaal et al. in intraoral periapical X-rays [23].

Concerning all the examinees from the X-ray of the left hand, wrist, and fingers, bone age is estimated by comparison with the atlas of Greulich and Pyle [24]. The minimum age for complete skeletal maturation of the distal forearm and hand skeleton as seen from a standard hand X-ray is 16 years for both sexes [25]. Ethnicity, malnutrition, and severe illnesses as well as individual variation can affect bone maturation, and this must be taken into account when estimating the bone age. Malnutrition may retard development, and illnesses can either retard or, more rarely, accelerate bone age either directly or through their medical care. For instance, growth hormone accelerates and cortisone retards bone development [26–33].

When hand and wrist bones are fully matured, all teeth anterior to the third molars are completed (Demirjian stage H), and the roots of the lower third molars are near to completion (stage G) [19], the forensic age assessment report states that the probability of the individual to have reached the age of 18 in an American male sample would be 56% [21]. Means and standard deviations of references are included [21, 22]. When the notified age is for instance 16 years, the report states that the notified age is within possible limits, and the examinee is considered a minor. When also the wisdom teeth are fully developed (stage H) [19], the probability of majority is 90.1% according to the same reference [21], and with a great probability, the person is considered an adult [20–22].

Results

The number and frequency of forensic age assessments in Finland

The number of asylum-seeking minors has varied in Finland from year to year and has thus far reached the peak in 2015, with an obvious rise in the number of forensic age assessments (Table 1). In 2015, the number of asylum seekers was altogether 32,476, unaccompanied minor asylum seekers among them were 3024 [34], and the quantity of forensic age assessments performed was 149. During the last years, roughly one third of asylum-seeking minors have been sent by the authorities to forensic age assessment. In 2015, however, the actual number of assessments increased notably, but at the same time, the relative proportion dropped to 5% (Table 1). The 149 asylum-seeking minors that underwent forensic age assessment in 2015 originated in altogether 11 countries, most often in Afghanistan, Iraq, and Somalia (Table 2).
Results of the forensic age assessments in Finland

Of those that were examined in 2015, 60% (90/149) were assessed to be aged 18 years or over (Tables 2 and 3). In 41 (28%) cases, both the notified age and the estimated age were under 18 years (Table 3). The result was inconclusive in 16/149 (11%) of the cases (Table 3). In these cases the teeth anterior to third molars were fully developed and the skeletal development of hand and wrist bones was completed, but all third molars were either missing or represented a position, in which developmental stage was impossible to evaluate. According to the Finnish Immigration Service authorities, refusal of forensic age assessment among the asylum-seeking minors is practically non-existent even though it is not recorded.

Table 2  Forensic age assessments of unaccompanied minor asylum seekers in 2015 in Finland

<table>
<thead>
<tr>
<th>Nationality</th>
<th>Number of forensic age assessments</th>
<th>Assessed age ≥ 18 years</th>
</tr>
</thead>
<tbody>
<tr>
<td>Afghanistan</td>
<td>84</td>
<td>56</td>
</tr>
<tr>
<td>Algeria</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Cameroon</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Congoa</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Gambia</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Guinea</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Iraq</td>
<td>29</td>
<td>10</td>
</tr>
<tr>
<td>Mali</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Morocco</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Somalia</td>
<td>25</td>
<td>18</td>
</tr>
<tr>
<td>Syrian Arab Republic</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>In total</td>
<td>149</td>
<td>90</td>
</tr>
</tbody>
</table>

*All third molars were either missing or represented a position, in which developmental stage was impossible to evaluate.

Discussion

Previously, the process of forensic age assessment was not defined by legislation, which caused problems. The Minister of Interior consulted the Parliamentary Ombudsman, and based on the statement of the latter in the summer of 2009, the forensic age assessment was included in the amendment of the Alien Act that was enacted in 2010 [4]. The Convention on the Rights of the Child 1989 states that children have the right for protection [11]. The rights of the child are respected in the national legislation, since the minor asylum seeker is always accompanied with a District Court ordered guardian, who attends to benefits of the child. On the other hand, the law protects the legal rights of forensic odontologists. The two specialists make independent evaluations but sign the statement together. In the criminal context, when age assessment is needed, Coercive Measures Act (806/2011) and the amendments of the Act (1146/2013) are applicable [10].

There is no exact data of refusal of forensic age assessment. A few cancelations have been made by the authorities, but the reason is unknown. Some of the minor asylum seekers are known to disappear from the reception centers. The reason for refusal could be related to the physical or psychological health of the person or to previous traumatizing experience causing fear of the examination. The meaning of a written consent could be criticized, since anyone who refuses to undergo an examination without reasonable grounds for refusal is considered an adult. The consent is, however, a prerequisite for the radiological examination, and the possible health risks are explained before signing.

In some cases, the treating dentist may be questioning the age of an immigrant and ask for a forensic age assessment but the Finnish legislation [4] exclusively lists the authorities—the police authorities, the frontier guard authorities, and the immigration authorities—that have the right to refer asylum seekers to age assessment. Nevertheless, according to the National Supervisory
Authority for Welfare and Health, health care professionals can transfer a request of an age assessment for the abovementioned authorities, provided a written consent has been obtained of the patient and her/his legal guardian [35].

The text in the Aliens Act states that at least one of the two forensic odontologists performing forensic age assessment must work in the Department of Forensic Medicine of Hjelt Institute (of the University of Helsinki). Hjelt Institute was abolished in the organizational change in January 2015, but the assessments have continued at the University of Helsinki. In January 2017, the law will be amended, transferring the responsibility of forensic age assessment to the National Institute for Health and Welfare (THL) by the request of the Finnish Immigration Service. At least one of the specialists must be employed by THL [4].

In different countries even within Europe and the EU, the methods for forensic age assessment vary, although many other aspects of the asylum-seeking process are regulated by international acts [36–38]. Each member state may decide, how to transpose this aspect of the respective directives into national legislation. Section 6a and 6b of the Finnish Aliens Act 2010 implemented the respective regulations of EU-Procedure Directive 2005 Article 17 para 5 [39] into the Finnish legislation, as there are for instance the “ultima ratio” principle of medical expert opinion for asylum-related age assessment, informed consent, and the assignment of qualified medical professionals. The STUK provides that the radiographs of the forensic age assessment must be stored for possible dental treatment, and the examinee must be informed [5]. In Germany, there are three legal contexts allowing forensic age assessment including ionizing imaging: Residence Act 2007, Social Code Book VIII 2015, and for criminal proceedings [39, 40]. Also in Austria, the issue has been regulated in detail since 2010 for all age disputes affecting aliens [41]. Many countries, however, lack the legislation concerning forensic age assessment [36].

In forensic age assessment, radiological examination includes the assessment of the development of the hand and wrist. This method has been criticized, since the reference material was collected already in the 1930s and 1940s and is based on North American population [24, 36]. The recent study of Varkkola and co-workers, where they examined the X-rays of the left wrist of the Finnish tsunami children victims, showed, however, that Greulich and Pyle atlas can be applied even today [42]. Moreover, the study of van Rijn et al. from 2001 [43] confirmed its applicability in Dutch Caucasian children and adolescents. Skeletal maturity, however, may vary due to ethnicity, malnutrition, and severe illnesses [26–29, 44]. Poor socio-economic status may influence skeletal development though in favor of an applicant [27, 45]. Therefore, forensic age assessment of asylum seekers should not rely merely on skeletal development. Disorders and medications possibly intermingling with somatic development are excluded by anamnestic information in the interview. Physical examination before performing an age assessment of an individual is recommended by Study Group on Forensic Age Diagnostics (AGFAD) [40], but it is not used in Finland.

The dental development is extensively genetically regulated and the influence of external factors is minimal [28, 46]. Yet, ethnic differences do exist [47], which is always mentioned in the report. Different methods are applied for the assessment of dental development in order to increase the accuracy. The report is elaborated taking into consideration the benefit of the examinee, and the best interest of the child is emphasized. If the result of the age assessment is uncertain or the possibility of age under 18 exists, he/she must be treated as a child. An expert report has to exclude age minority in an individual case beyond reasonable doubt, before age majority is ruled by authorities [48].

In many European countries, sterno-clavicular radiographic imaging is used for age assessment. In Finland, evaluation of clavicular development is not included in the forensic age assessment examination, because of the higher effective radiation doses and the fact that the special permit of STUK allows only dental and hand X-rays for non-medical purposes [5]. According to recommendations by AGFAD, additional radiological examination of the clavicle should be carried out, when the skeletal development of the hand and wrist is completed [49]. Prospective posterior-anterior chest X-rays for the assessment of the clavicular development are no longer recommended for forensic age estimation, because it is often difficult or impossible to assess clavicular staging from it [50] and additional oblique pictures may display different stage impressions in comparison [51]. Thin-slice computed tomography of the clavicle has been suggested instead as the exclusive method of choice [52]. The officials of the Finnish Immigration Service have noticed that recently, it has become increasingly common for the examinees to miss third molars, and it has been discussed, whether clavicular radiological examination should be included in the assessment. Ethical practice in forensic age assessment requires that the main benefit has to outweigh the physical risk (principle of proportionality) and there is a societal benefit resulting from the practice as stated in the Medical Exposure Directive 2013 Art. 5a and 55 para 1 [53]. The best age estimation methods provide an age differentiation along the legally relevant age limit with the required probability, following the principle “in dubio pro minor” providing the best interest of the child [48]. The expertise of the forensic odontologist and calibration of co-examiners is demanded [54].

The number of asylum seekers and unaccompanied minor asylum seekers has risen distinctly during the years
2005–2015, and the number of forensic age assessments has varied accordingly. Reception centers for asylum seekers are located all over Finland. Sufficient numbers of forensic odontologists in different parts of Finland after the possibility to obtain special competence in forensic odontology since 1999 and the current legal system guarantee a flexible process of forensic age assessment. The problem of not having dental development tables from the original countries of the applicant is always mentioned in the statement, but the recent research implies that the possible error is minimal [47]. In 2015, of those whose notified age was under 18 years, 60% (90/149) were assessed to be over 18 after the forensic age assessment. The mandate of a forensic odontologist does not include any decision making, and the final decision is made by the authorities. Since sexual maturation or psychological development is not used as a method, the authorities, in practice, make the decision based on the forensic age assessment report and change the age of the asylum applicant accordingly. If the asylum seeker disagrees with the decision, an appeal can be submitted to the District Court, but so far, it has been rare. In cases when all wisdom teeth are missing or in such a position that it is impossible to interpret their radicular development, the result of the forensic age assessment remains inconclusive. In our material, this occurred in 11% of the cases in 2015. As happened in a few cases in 2015, an examinee referred by the immigrant authorities to forensic age assessment may inform during the interview that he/she is an adult and not a minor as initially claimed. In such rare cases, the forensic age assessment is completed nevertheless, since it is the immigrant authorities to make the decision, who to examine. In some cases, there is suspicion that an adult is actually a minor and therefore sent to forensic age assessment. Wrong age group affiliation may have serious impact, for instance, problems caused by incorrect accommodation of the unaccompanied child with adults in the reception center or vice versa [37]. In 2015, 28% of the examinees were assessed to be under-aged.

Conclusion
The current legislation on forensic age assessment has been well received in Finland and approved by forensic odontologists and immigration authorities. In changing situations, the legislation should function flexibly, and the legislators should listen to the views of the forensic experts. The aim is to simultaneously guarantee the rights of the individual and the quality and validity of the examination. The immigrant authorities, selecting which persons should be referred to forensic age assessment, carry a great responsibility.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

References
5. Permission1/590/97 for the Department of the Forensic Medicine, University of Helsinki signed by the the General Director Jukka Laaksonen and the Director Heimo Kahlos of the Radiation and Nuclear Safety Authority on September 19th 1997
