Atomic layer deposition of lanthanum oxide with heteroleptic cyclopentadienyl-amidinate lanthanum precursor - Effect of the oxygen source on the film growth and properties

Sanni Seppälä\textsuperscript{a,⁎}, Jaakko Niinistö\textsuperscript{b}, Miika Mattinen\textsuperscript{a}, Kenichiro Mizohata\textsuperscript{b}, Jyrki Räisänen\textsuperscript{b}, Wontae Noh\textsuperscript{c}, Mikko Ritala\textsuperscript{a}, Markku Leskelä\textsuperscript{a}

\textsuperscript{a}Department of Chemistry, University of Helsinki, P.O. Box 55, FI-00014 Helsinki, Finland
\textsuperscript{b}Division of Materials Physics, Department of Physics, University of Helsinki, P.O. Box 43, FI-00014 Helsinki, Finland
\textsuperscript{c}AirLiquide Laboratories Korea, Yonsei Engineering Research Park, 50 Yonsei-ro, Seodaemun-gu, Seoul 03722, Republic of Korea

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1. Introduction

Lanthanum oxide La\textsubscript{2}O\textsubscript{3} is an interesting material for microelectronic applications due to its high permittivity (~27 for hexagonal La\textsubscript{2}O\textsubscript{3}) \cite{1} and wide band gap (~5.5 eV) \cite{2}. It has been studied as a gate dielectric on several semiconductors on its own \cite{3-5} or combined with other oxides such as hafnium or yttrium oxide \cite{6, 7}. La\textsubscript{2}O\textsubscript{3} has also been used as a capping layer on Hf-based gate dielectric oxides \cite{8-10}. Also, La\textsubscript{2}O\textsubscript{3} and La containing ternary oxides have been studied as a passivation layer on Ge \cite{11-13}. Another area of interest for La\textsubscript{2}O\textsubscript{3} is optics because it is optically transparent over a wide wavelength range \cite{14}. It can also be used as a dopant in thermoelectric oxide materials such as CaMnO\textsubscript{3} and SrTiO\textsubscript{3} to enhance electrical conductivity \cite{15}.

Although La\textsubscript{2}O\textsubscript{3} is a technologically interesting material, it is somewhat problematic in terms of process and study because of its hygroscopicity. When in contact with air, La\textsubscript{2}O\textsubscript{3} absorbs water and forms lanthanum hydroxide, La(OH)\textsubscript{3}, which has a low dielectric constant. In addition, the La(OH)\textsubscript{3} formation roughens the film surface which is a disadvantage in many applications \cite{16}. To combat the moisture absorbance issue, ternary lanthanum containing oxides have been studied for microelectronic applications. One of the most studied is lanthanum aluminum oxide LaAlO\textsubscript{3} because it is moisture resistant and has thermal stability comparable to La\textsubscript{2}O\textsubscript{3} \cite{17-19}.

With atomic layer deposition (ALD) it is possible to deposit accurately thin and conformal films without pinholes and hence ALD has become an attractive method for industrial use, especially in microelectronics \cite{20}. ALD is a chemical method, where precursor vapors are pulsed onto a substrate one at a time and the film growth occurs only on the surface of the substrate in a self-limiting manner, enabling excellent controllability of the thickness and film composition.

Lanthanum precursors used in ALD include the β-diketonate La(thd)\textsubscript{3} (thd = 2,2,6,6-tetramethyl-3,5-heptane-dione) \cite{21}, cyclopentadienyl-based precursors such as La(Cp)\textsubscript{3} (Cp = cyclopentadienyl) \cite{22} and La(PrCp)\textsubscript{3} (PrCp = isopropylcyclopentadienyl) \cite{5, 23}, amidinates La(PrAMD)\textsubscript{3} (PrAMD = N,N'-diisopropylacetamidinato) \cite{17} and La(PrFAMD)\textsubscript{3} (PrFAMD = N,N'-diisopropylformamidinato) \cite{24} and silylamide La[N(SiMe\textsubscript{3})\textsubscript{2}]\textsubscript{3} (N(SiMe\textsubscript{3})\textsubscript{2} = bis(trimethylsilyl) amide).
La(PrCp)3 with O3 has a low growth rate of 0.36 Å/cycle at 225–275 °C. The process is saturative at 250 °C but at this temperature the films contain high amounts of carbon. La(PrCp)3 is not very stable thermally and decomposition of the precursor is observed at 250 °C [26]. With La[N(SiMe3)2]3/H2O process saturation of the growth rate was not achieved and the films contained H and Si impurities. With the amidinate precursor La(PrfAMd)3 saturation of the growth rate has been achieved at 250 °C with O3 as the oxygen source with a growth rate of 1.0 Å/cycle. La(PrfAMd)3 has been used to deposit lanthanum oxide nanolamnates with trimethylaluminum and water. The most studied oxygen sources in the deposition of La2O3 are H2O and O3, but also O2 plasma has been used [27]. In La2O3 deposition the choice of oxygen source is more important than in most oxide processes because both water and ozone cause problems. The hygroscopicity of La2O3 complicates the process when water is used and with O3 high levels of carbon impurities have been detected in the films due to carbon formation [21].

In this paper, we report a heteroleptic liquid cyclopentadienyldiamidinate precursor, lanthanum bisisopropylcyclopentadienyl-N,N'-diisopropylacetamidinate, (La(PrCp)2(PrfAMD)) (Fig. 1) to be used as an La source in the ALD of lanthanum oxide. The aim of using heteroleptic precursors is to combine the best properties of the different types of ligands in order to tailor precursors with higher reactivity and thermal stability. Previously, we have reported ALD of Y, Pr, Gd and Dy films with a concentration of 100 g/m3 was generated from O2 (AGA, 99.999%) in an ozone generator (Wedeco Modular 4 HC). Films were characterized for thickness, crystallinity, morphology, and composition. Film thicknesses were determined by modeling [28] the reflectance spectra measured within wavelengths of 370–1100 nm with a spectrophotometer (Hitachi U-2000). Some thicknesses were confirmed also with X-ray reflectivity measurement (PANalytical X'Pert Pro MDX X-ray diffractometer). Crystallinity and phase of the films were determined by grazing incidence X-ray diffraction (GIIXRD) using the same instrument and an incident beam angle of 1°. In situ high temperature XRD (HTXRD) measurements were conducted using an Anton-Paar HTK1200N oven. PANalytical Highscore Plus v.4.5 was used for XRD phase identification using ICDD and ICSD databases. Because of the air sensitivity of the films, they were stored in a desiccator. Surface morphology of the films was studied with a Multimode V atomic force microscope (AFM) equipped with a NanoScope V controller (Veeco Instruments) operated in the tapping mode. Images (500 × 500 nm2 and 2 × 2 μm2) were captured in air using silicon probes with a nominal tip radius of 8 nm and a nominal spring constant of 3 N/m (VLFM or FESP from Bruker). Roughness was calculated as a root-mean-square value (RMS) from 2 × 2 μm2 images (512 × 512 pixels) obtained at 0.5–1.0 Hz scan rate. Roughness calculations and image processing were done with a Bruker Nanoscope Analysis 1.5 program. Film compositions were measured with time-offlight elastic recoil detection analysis (TOF-ERDA) with 40 MeV 79Br- beam obtained from a 5 MV tandem accelerator (EGP-10-II) at a detection angle of 40°. Some films were annealed at 700 °C in air or in N2 atmosphere for 1 h. After the annealing the films were let to cool down slowly in the oven. XRD and TOF-ERDA were also measured from the annealed samples.

3. Results and discussion

In all four studied processes the growth rates increased with increasing deposition temperature. The highest growth rates were obtained with H2O as the oxygen source (Fig. 2). Purge time had a clear effect on the growth rates when water was used. The growth rate varied from 1.2 Å/cycle at 200 °C to 3.0 Å/cycle at 300 °C when 0.8 s La precursor and H2O pulses and 20 s purge after the water pulses were applied. With 10 s purge the growth rate was 4.4 Å/cycle already at 250 °C. The hygroscopicity of La2O3 can explain the very high growth
rates of the films deposited with H2O as the oxygen source: La(OH)3 is formed during the water pulse and if the purging period is not long enough, water is desorbed during the following La precursor pulse and reacts with the lanthanum precursor. This results in CVD-type reactions that do not enable the self-limiting growth mode of ALD [17]. When long purges after the water pulses are used, La(OH)3 dehydrates before that do not enable the self-limiting growth mode of ALD [17]. When long purges after the water pulses are used, La(OH)3 dehydrates before the next pulse. Lee et al. have reported that because of the hydroxide formation, very long (60 s), purge time after the water pulse was needed to achieve almost saturated growth with La(PrAMD)3 at 250 °C [24]. In our previous work [27], similar behavior was seen with praseodymium oxide but to a lesser extent as La is the most hygroscopic of the rare earths and the tendency decreases along the series. The chemistry of the light lanthanides resembles that of alkaline earth metals and similar behavior has been reported in ALD of barium oxide with water [29].

With O3, the growth rates varied between 0.6 and 2.1 Å/cycle depending on the deposition temperature (Fig. 2). The pulse lengths for the precursors were 1.0 s and purges 1.5 s. When both water (0.8 s pulse) and ozone (1.0 s pulse) were used, the growth rates were close to the growth rates obtained with ozone as the only oxygen source. With the H2O/O3 process, growth rates varied from 0.7 Å/cycle at 200 °C to 1.8 Å/cycle at 325 °C. Two purge times after the water pulse, 10 and 20 s, were tested but the growth rate was nearly the same with both purge times, in marked contrast with the growth rates with water as the only oxygen source. It seems that the ozone pulse decreases the absorption of water in the growing film. This might be related to the carbon content of the films deposited with ozone as explained later.

Ethanol was tested as an oxygen source to see if it would have a lower reactivity than water toward La(OH)3 formation. With ethanol, the growth rate followed the growth rates of O3 and H2O/O3. At 300 °C the growth rate was considerably higher compared to the lower deposition temperatures and was at the same level as with water at 300 °C (Fig. 2). Longer purge times after the ethanol pulse did not affect the growth rate of the films at 250 °C but at 300 °C the growth rate increased with increasing purge time. This is the opposite of what happens with water. Due to the abrupt change in the growth rate at 300 °C, we believe that water is formed during the deposition at 300 °C through dehydration of ethanol. Catalytic activity of metal oxide surfaces toward dehydration reactions of alcohols has been demonstrated for different metal oxides in various studies [30–33]. The formation of water is also supported by the hydrogen depth profiles measured by ERDA (not shown) since in the films deposited at 200 and 250 °C the hydrogen content is highest at the film surface and decreases deeper in the film whereas in the film deposited at 300 °C the hydrogen content is high throughout the whole film thickness.

Saturation of the growth rate was studied at 200 and 250 °C with water as the oxygen source but was not achieved even with the 20 s purge time after the H2O pulse. With a 0.7 s La precursor pulse at 200 °C the growth rate was 1.2 Å/cycle and with a 2.5 s pulse already 3.2 Å/cycle. In the La(PrCp)3(PrAMD)/O3 process the saturation of the growth rate was confirmed at 225 °C with 1.0 Å/cycle (Fig. 3a) and in the La(PrCp)3(PrAMD)/H2O/O3 process at 200 °C with 0.7 Å/cycle (Fig. 3b). With ethanol saturation was not achieved at any studied temperature: At 200 °C the growth rate varied between 0.90 Å/cycle with 0.7 s La precursor pulse and 2.8 Å/cycle with 3.0 s pulse.

The choice of the oxygen source affected the crystallinity of the films. The films deposited with oxygen at 200 °C were amorphous and at 225–275 °C very weakly crystalline. Cubic, c, La2O3 (ICDD-022-0367) was obtained at 300 °C and a mixture of cubic and hexagonal, h, La2O3 (ICDD-005-0602) at 325 °C (Fig. 4a). Previously, with the La(thd)3/O3 process amorphous films were reported below 300 °C and cubic La2O3 at and above 300 °C [21]. The La(PrCp)3/O3 process produced amorphous films at 200 °C [3]. In the La(thd)3/O3 study, the amorphous films were identified by TOF-ERDA to contain large amounts of carbon and the elemental composition was close to La2O3CO3 phase. Also in our work, high carbon contents were measured from the films deposited with ozone at low temperatures, which can explain the amorphous nature of these films. The elemental compositions will be discussed later in the text.

With water, crystalline La2O3 films were deposited at 200 °C and above. The films deposited at 200–250 °C were mixtures of the cubic and hexagonal phases but the hexagonal phase became more dominant with increasing deposition temperature (Fig. 4b). At 300 °C the cubic phase was dominant again. It appears that the phase composition of La2O3 films may depend in a sensitive manner on both deposition temperature and impurity contents. In the previous studies with water as the oxygen source, amorphous films were deposited at all the studied temperatures from 150 to 250 °C in the La[N(SiMe3)2]3/H2O process, apparently because of the high amounts of Si impurities in the films [25]. The films deposited with the La(Cp)3/H2O process at 260 °C were reported to contain La(OH)O according to Fourier transform infrared spectroscopy. The data was measured 5 min after the film was taken out of the reactor. XRD measurement was not reported [22].

The crystallinity of the films deposited with the H2O/O3 process resembled the films deposited with ozone at 200–275 °C (Fig. 4c). The films were amorphous at 200 °C and weak crystallinity was observed at 250 and 275 °C. Above that the films were cubic La2O3. At 300 °C the most intensive peak was (004) but at the higher deposition temperature of 325 °C (222) was clearly the strongest. Unlike with water or ozone alone, no mixtures of phases were formed at any deposition temperature.

The film deposited with ethanol at 250 °C showed very clear peaks from hexagonal La(OH)3 (ICDD-036-1481) instead of La2O3 (Fig. 4d). There were also some weakly visible peaks that could be attributed to c-La2O3. Some of the hydroxide reflections were also visible at 200 °C but the peaks were very broad with low intensities. The presence of both the hydroxide and oxide phases is supported by the results of the compositional analysis discussed later. At 275 °C, a mixture of h-La2O3 and La(OH)3 phases with low intensities and broad peaks was observed. At 300 °C the diffraction peaks match h-La2O3 but the two strongest ones are not fully separated indicating a small La(OH)3 peak in between (Fig. 4d).

Differences in the crystal structures between the films deposited with different processes were visible also after annealing at 700 °C in air. In the case of O3, the originally amorphous films deposited at 250 °C crystallized to the cubic La2O3 phase with a couple of very small peaks attributed to the hexagonal phase while the film deposited at 325 °C had the strongest peaks from the hexagonal phase but also a minor peak from the cubic phase was visible (Fig. 5a). Films deposited with H2O above 200 °C were hexagonal La2O3 after the annealing while the film deposited at 200 °C was still a mixture of cubic and hexagonal phases (Fig. 5b). Films deposited with H2O/O3 above 250 °C were hexagonal La2O3 after the annealing (Fig. 5c). At 250 °C one very small peak attributed to cubic La2O3 was observed after the annealing. With ethanol, the La(OH)3 containing films deposited at 200 and 250 °C were converted to mixtures of different phases (Fig. 5d). Hexagonal La2O3 was seen clearly with strong peaks but in addition there seemed to be LaO (OH) (ICDD-019-0656) and at least at 250 °C also cubic La2O3. Annealing at 700 °C in air atmosphere is thus not enough to remove all the hydroxide species from these films. In contrast, the film deposited at 300 °C was h-La2O3 after the annealing.

The films deposited with O3 or H2O/O3 at the temperatures where the growth rates saturated had the highest carbon contents as deposited and after annealing at 700 °C in air there were some additional peaks that did not fit the La2O3 phases, although the compositional analysis showed low carbon content. These peaks were attributed to lanthanum silicate phases. HTXRD in air from room temperature to 900 °C confirmed that there is no such temperature where the oxide phase would be the only phase. When the peaks from the carbonate phase disappeared, new peaks from the silicate phases appeared. This behavior is very different from the films deposited at higher temperatures. However, annealing in nitrogen atmosphere was successful. Both the
film deposited with ozone and the film deposited with water and ozone at 200 °C crystallized to cubic La2O3 at 500 °C. At 600 °C the first sign of the hexagonal phase was seen and at 750 °C the film deposited with H2O/O3 was pure hexagonal La2O3 whereas the film deposited with O3 was hexagonal La2O3 with one very small peak from the cubic phase. According to the HTXRD measurements, these films can be crystallized to either cubic or hexagonal La2O3 by carefully choosing the annealing temperature (Fig. 6).

Fig. 3. La2O3 film growth rate as a function of La precursor pulse length in a) La(PrCp)2(PrAMD)/O3 and b) La(PrCp)2(PrAMD)/H2O/O3 process. Pulse time for H2O was 0.8 s and for O3 1.0 s.

Fig. 4. XRD patterns of the as-deposited La2O3 films deposited with a) O3, b) H2O, c) H2O/O3 and d) EtOH as the oxygen source. h is hexagonal and c cubic La2O3. * is hexagonal La(OH)3.
Previously, it has been reported that the thickness of the films might affect the appearance of the pure hexagonal phase. For example, in the case of the La(Cp)\textsubscript{3}/H\textsubscript{2}O process, the pure hexagonal phase appeared in films thicker than 100 nm \[1\]. This thickness could be decreased by using ozone instead of water as the oxygen source \[34\]. In our study, a 110 nm thick film deposited with ozone as the oxygen source was a mixture of cubic and hexagonal phases after annealing. With water, the deposition temperature affected the phase: > 100 nm thick film deposited at 200 °C was still a mixture of phases after annealing but > 100 nm thick films deposited at 225 and 250 °C were hexagonal La\textsubscript{2}O\textsubscript{3} after annealing. The La(iPrCp)\textsubscript{2}(iPrAMD)/H\textsubscript{2}O/O\textsubscript{3} process was clearly different since the annealed films showing hexagonal phase at all
deposition temperatures were only 47–72 nm thick. When exposed to air at room temperature, the annealed films started to convert to hydroxide faster than the as-deposited films indicating better film purity as discussed below.

The AFM images of the films deposited at 250 °C (Fig. 7) and 300 °C (Fig. 8) indicate that the films deposited with water are rough (RMS roughness values above 2 nm) compared to the films deposited with O3 or H2O/O3. The high roughness values are likely due to the high growth rates and crystallinity of the films. The films deposited with ozone as the oxygen source were the smoothest with RMS values ranging from 0.61 nm at 200 °C to 0.91 nm at 300 °C. The roughness increases with increasing deposition temperature which can be explained by the increasing crystallinity. For the H2O/O3 process the roughness values were around 1.5 nm for all the measured films. The films deposited with the La('PrCp)_2('PrAMD)/EtOH process at 200 and 250 °C were La(OH)₃ and the roughness values of these films were much higher than the values of the La₂O₃ films from the other processes. A 55 nm thick La(OH)₃ film deposited at 250 °C had RMS value of 3.8 nm. At 300 °C also the films deposited with EtOH were La₂O₃ and the roughness was 1.6 nm which is in the same range with the H₂O/O₃ process.

Elemental compositions of selected films were measured with TOF-ERDA. The main impurities were carbon and hydrogen. However, the hydrogen contents are not completely representative because the films react with moisture to form hydroxide during storage. A general trend
Compositional analysis was performed for selected films also after annealing at 700 °C. To minimize the contamination caused by reactions with ambient air, the films were taken to the TOF-ERDA measurement right after the annealing. The carbon contents of the films annealed in air were in general < 1 at.% while the highest value was 2 at.% in a film deposited at 250 °C with H2O/O3. The hydrogen contents varied between 2 and 5 at.%. The films deposited with EtOH at 200 and 250 °C showed LaO(OH) phase in the XRD. According to the TOF-ERDA depth profiles, the LaO(OH) phase is probably the topmost layer since there is around 10 at.% of hydrogen close to the surface but only around 2 at.% deeper in the bulk of the films. Also chlorine (~0.5 at.%) was found from the annealed samples and it is most likely contamination from the oven used to anneal the samples.

The films deposited with O3 or H2O/O3 at 200 °C and annealed at 700 °C in N2 atmosphere contained only 0.2 at.% of carbon and 6–7 at.% of hydrogen according to the compositional analysis. The La:O ratios (0.53 for O3 and 0.51 for H2O/O3) were closer to the stoichiometric value than after annealing in air atmosphere (0.41 for both processes) but still there was some additional oxygen, apparently in the form of hydroxide.

4. Conclusions

In this work, we studied atomic layer deposition of La2O3 from a heteroleptic La precursor La(iPrCp)2(iPrAMD) with either H2O, O3, EtOH, or both H2O and O3 as the oxygen source. The choice of the oxygen source had a great effect on the growth rates, crystallinity, and impurity levels of the films. With water, the hygroscopicity of La2O3 caused problems and saturation of the growth rate was not achieved even when 20 s purge period after the water pulse was used. Interestingly and importantly, hygroscopicity was not a problem when ozone pulse was added after the water pulse since saturation of the growth rate was achieved with the La(iPrCp)2(iPrAMD)/H2O/O3 process at 200 °C with a growth rate of 0.7 Å/cycle. Also the La(iPrCp)2(iPrAMD)/O3 process was saturative at 225 °C with a growth rate of ~1 Å/cycle. At 250 °C both of these processes were close to saturation. With ethanol, no saturation was achieved at any deposition temperature. Besides La2O3, lanthanum hydroxide La(OH)3 was formed when ethanol was the oxygen source. Evidence of the hydroxide formation was not seen in the diffractograms of the other processes, although elemental analysis revealed that the films deposited with water had high hydrogen content. The La(iPrCp)2(iPrAMD)/H2O/O3 process showed deviating crystallization behavior from the other processes after annealing as pure, high permittivity hexagonal phase was found in all the films regardless of the deposition temperature, whereas the films deposited with the other processes had mostly mixtures of cubic and hexagonal La2O3. The most representative samples from each process are collected in Table 1.

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References
