Search for High-Mass e+e- Resonances in pp Collisions at $s=1.96$ TeV

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Search for High-Mass $e^+e^-$ Resonances in $p\bar{p}$ Collisions at $\sqrt{s} = 1.96$ TeV

A search for high-mass resonances in the $e^+e^-$ final state is presented based on $2.5 \text{ fb}^{-1}$ of $\sqrt{s} = 1.96 \text{ TeV}$ $p\bar{p}$ collision data from the CDF II detector at the Fermilab Tevatron. The largest excess over the standard model prediction is at an $e^+e^-$ invariant mass of 240 GeV/$c^2$. The probability of observing such an excess arising from fluctuations in the standard model anywhere in the mass range of 150–1,000 GeV/$c^2$ is 0.6% (equivalent to 2.5 $\sigma$). We exclude the standard model coupling $Z'$ and the Randall-Sundrum graviton for $k/M_{Pl} = 0$ with masses below 963 and 848 GeV/$c^2$ at the 95% credibility level, respectively.

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The charged lepton-antilepton pair signature, in particular $e^+e^-$ and $\mu^+\mu^-$, has been a leading discovery channel for new particles such as the $J/\psi$ and $\Upsilon$ mesons and the $Z$ boson since they have cleaner experimental signatures and lower backgrounds than hadronic signatures.

Many models beyond the standard model (SM) predict the existence of new particles decaying to lepton-antilepton pairs. The $E_6$ $Z'$ and the Randall-Sundrum (RS) graviton $[2]$ are examples of specific new particles decaying to a lepton-antilepton final state. The $Z'_\psi$, $Z'_X$, $Z'_Y$, $Z'_1$, $Z'_\text{sec}$, and $Z'_N$ are chosen for testing the $E_6$ model. Assuming one extra dimension, we test the RS model in the $k/\mathcal{M}_{Pl}$ range between 0.01 and 0.1 $[3]$, where $k$ is the curvature of the extra dimension and $\mathcal{M}_{Pl}$ is the reduced effective Planck scale.

In recent publications, the CDF $[4]$ and D0 $[5]$ Collaborations set limits on these models with 1.3 and 1.0 fb$^{-1}$ of integrated luminosity and limits on $Z'$ with SM coupling and RS graviton for $k/\mathcal{M}_{Pl}=0.1$ are 923 and 900 GeV/$c^2$, respectively. Using a data set twice as large ($2.5$ fb$^{-1}$), this Letter describes a search for $e^+e^-$ resonances in the invariant mass range of $150$–$1,000$ GeV/$c^2$, and we set upper limits on $\sigma(pp \rightarrow X) \cdot B(X \rightarrow e^+e^-)$ at the 95\% credibility level (C.L.) where $X$ is a spin 1 or spin 2 particle. We also set lower mass bounds on the $Z'$ with SM coupling, the $Z'$s in the $E_6$ model, and the RS graviton.

This analysis is based on data collected with the CDF II detector $[6]$. The relevant components of the detector for this analysis are the tracking system and the calorimeters. The tracking system consists of a 96 layer drift chamber called the central outer tracker (COT), surrounding an eight-layer silicon tracker. Both are inside a 1.4 T solenoidal magnet. The COT covers the range of pseudorapidity $|\eta| < 1.1$ $[7]$, and the silicon tracker covers $|\eta|$ up to 2.0. The electromagnetic (EM) and hadronic calorimeters, which are sandwiches of lead (EM) or iron (hadronic) absorber and plastic scintillator. They are outside the magnet, and are divided into a central calorimeter ($|\eta| < 1.1$) and two plug calorimeters ($1.1 < |\eta| < 3.6$). Both the central and the plug EM calorimeters have fine-grained shower profile detectors at EM shower maximum.

We use the same on-line event selection criteria (triggers) used in our previous report $[4]$. Off-line events are required to have two isolated electrons $[8]$, one in the central EM calorimeter and the other one in either the central (CC) or the plug (CP) EM calorimeters. Only electrons with $E_T$ $[9]$ greater than 25 GeV and $|\eta| < 2$ are used in order to ensure 100\% trigger efficiency and coverage by the the silicon tracker. Electrons in the central EM calorimeter are required to have a well-measured track in the COT system pointing at an energy deposit in the calorimeter. For electrons in the plug EM calorimeter, the track association uses a calorimeter-seeded silicon-tracking algorithm $[10]$. An opposite-charge requirement is applied to electron-objects pairs detected in the central EM calorimeter. No such requirement is applied when one electron is detected in the plug, where $\eta$-dependent charge misidentification occurs. Events with both electrons in the plug EM calorimeter are not considered in this Letter since adding them gains little sensitivity.

The PYTHIA $[10]$ Tune A $[11]$ Monte Carlo event generator is used to model the expected signals and backgrounds unless otherwise stated. For spin 1 $Z'$, SM-like couplings are assumed, and for spin 2 resonances, the RS graviton model with $k/\mathcal{M}_{Pl}=0.1$ is used. The total selection efficiencies of spin 1 particles vary from 27 to 38\% and those of spin 2 particles vary from 28 to 32\% as functions of the particle mass in the search range.

There are three sources of background. One is Drell-Yan production of $e^+e^-$ pairs (DY), which is the dominant source of background and is irreducible. Another is dijets and $W^+J$ets production (referred to as “QCD” background) where one or more jets is misidentified as electron. Other contributions include $Z/\gamma^* \rightarrow \tau^+\tau^-$, $t\bar{t}$, and diboson ($WW, WZ, ZZ, \gamma\gamma$) production that collectively are referred to as “other SM” backgrounds.

The simulated DY prediction is normalized to the data after subtracting other SM and QCD backgrounds in an invariant mass window from 76 to 106 GeV/$c^2$ for CC events and from 81 to 101 GeV/$c^2$ for CP events to estimate the DY background. Different mass windows are used because the QCD background rate in CP events is higher than in CC events. We assign a 3.6\% systematic uncertainty in the DY prediction to take into account the invariant-mass dependence of the $k$-factor $[12]$ that is the difference between the leading and the next-to-leading order DY cross sections. The uncertainty in the DY prediction due to the choice of the parton distribution function set CTEQ6M $[14]$ using the Hessian method $[13]$ is 3.7–6.4–13\% (200–600–1,000 GeV/$c^2$) depending on the invariant mass.

The QCD background estimation is determined from the experimental data. The estimate is obtained using the probability for a jet to be misidentified as an electron $[10]$. We measure this probability with a jet-triggered data sample. We then apply the misidentification probability to each jet in events with one good
electron candidate and one or more jets. To estimate the dijet background contribution, events with W or Z candidates are removed from the sample before applying the jet misidentification probability (MP). Events with W candidates are identified with one good electron and a large missing transverse energy \( E_T \) and events with Z candidates are identified with two “loose electrons”. To estimate the W+jets background, events with Z candidates are removed and events with W candidates are retained. The dominant systematic uncertainty in the predicted QCD background is due to the 20% uncertainty in the jet MP, which is obtained from the variation in the MP measured in the different jet data samples.

Other SM contributions to the background are estimated with simulation samples \[12\]. These simulated samples are normalized to the product of the theoretical cross sections \[19\]. Other systematic sources are the uncertainty on the scale factor of electron identification efficiency that comes from the difference between data and simulated events (1.3% for CC and 2.3% for CP events), the energy scale (1.0%), and the energy resolution (0.6% for CC and 0.3% for CP events), which affects the shape of the \( e^+ e^- \) invariant mass distribution. The uncertainty on the acceptance due to parton-distribution-function uncertainties is evaluated using the same method that was used for the DY prediction, and found to be 1.9% for CC and 0.6% for CP events.

The search for \( e^+ e^- \) resonances in the high-mass range of 150–1,000 GeV/c\(^2\) uses an unbinned likelihood ratio statistic, \( \lambda \), defined in Eqs. (1–3)\[20\]:

\[
\lambda = \frac{\max_{n_b \geq 0} \max_{n_s \geq 0} \mathcal{L}_{s+b}}{\max_{n_b \geq 0, n_s \geq 0} \mathcal{L}_{s+b}}, \quad 0 \leq \lambda \leq 1, \quad 0 \leq -2 \ln \lambda \leq \infty \tag{1}
\]

\[
\mathcal{L}_{s+b} = \frac{(n_s + n_b)^N e^{-(n_s + n_b)} \prod_{i=1}^{N} n_s S(x_i|\mu) + n_b B(x_i)}{N!} n_s + n_b \tag{2}
\]

\[
\mathcal{L}_b = \frac{n_b^N e^{-n_b} \prod_{i=1}^{N} B(x_i)}{N!} \tag{3}
\]

where \( \mathcal{L}_b \) is the likelihood for a null hypothesis that is described by the SM only, \( \mathcal{L}_{s+b} \) is the likelihood for a test hypothesis that is described by physics beyond the SM together with the SM. The quantities \( n_s \) and \( n_b \) are the number of signal and background candidates which are determined by the fit and \( N \) is the number of candidates observed in data, each represented by a vector \( \{x_i\} \) of observables. The signal probability density function (PDF), \( S(x|\mu) \), is a Gaussian with a floating mean \( \mu \) and a fixed width, and \( B(x) \) is a background PDF obtained from the total background template. The widths of the signal PDF are determined from simulation (\( \sigma_{M_{ee}} = 0.8565 \) GeV/c\(^2\) +0.0192 \( \cdot M_{ee} \) for \( M_{ee} > 150 \) GeV/c\(^2\)) with the assumption that the decay widths of resonances are much less than the experimental resolution. The quantities \( \mathcal{L}_{s+b} \) and the \( \mathcal{L}_b \) are maximized separately without external background constraints. The function \(-2 \ln \lambda \) is calculated over the search range of 150–1,000 GeV/c\(^2\) and the most prominent local maxima are listed in Table I.

The most significant deviation between data and the SM prediction occurs at an invariant mass of 241.3 GeV/c\(^2\) where \(-2 \ln \lambda = 14.4\). The (data – background)/\( \sigma_B \) corresponding to the region of maximum \(-2 \ln \lambda \) is calculated by counting the number of observed events and estimated backgrounds within \( \pm 2 \sigma_{M_{ee}} \) of the maximum, and it is 3.8.

![FIG. 1: Invariant mass distribution of \( e^+ e^- \) events compared to the expected backgrounds. Dots with error bars are data. The dark shaded region represents “other SM” background, the light shaded region shows “QCD” background, and the white region corresponds to \( Z/\gamma^* \rightarrow e^+ e^- \) background. The inset shows the same for the 240 GeV/c\(^2\) region. The hatched histogram shows the shape of the expected signal from a 240 GeV/c\(^2\) spin 1 particle (of negligible intrinsic width) on top of the total background. The hatched region is normalized to the number of excess events seen in the data.](image)

<p>| TABLE I: The prominent local maxima in the search range of 150–1,000 GeV/c(^2). |
|-----------------|-----------------|</p>
<table>
<thead>
<tr>
<th>( M_X ) (GeV/c(^2))</th>
<th>( -2 \ln \lambda )</th>
</tr>
</thead>
<tbody>
<tr>
<td>241.3</td>
<td>14.4</td>
</tr>
<tr>
<td>272.7</td>
<td>3.7</td>
</tr>
<tr>
<td>478.9</td>
<td>2.6</td>
</tr>
<tr>
<td>725.2</td>
<td>4.1</td>
</tr>
</tbody>
</table>

To estimate the probability of observing an excess equal to or greater than the maximum observed excess anywhere in the search range of 150–1,000 GeV/c\(^2\),
we simulated 100,000 experiments assuming background only. The distribution of maximum $-2 \ln \lambda$ on these simulated experiments is shown in Fig. 2. Assuming only SM physics, the probability of observing a number of events equal to or greater than the observed excess is defined as the fraction of simulated experiments with maximum $-2 \ln \lambda$ equal to or greater than 14.4, and is 0.6% which corresponds to the 2.5 $\sigma$ level of excess over the background.

FIG. 2: Distribution of maximum $-2 \ln \lambda$ in simulated experiments that assume only background. The arrow indicates the value observed in data: $-2 \ln \lambda=14.4$.

Upper limits on $\sigma(p\bar{p} \rightarrow X) \cdot B(X \rightarrow e^+e^-)$ at the 95% C.L. are calculated as a function of mass using a Bayesian binned likelihood method with a full consideration of uncertainties on the total signal efficiency and the background estimation [22]. Fig. 3 (a) shows the observed upper limits from data and the expected limits from background-only simulated events for spin 1 particles as a function of the $e^+e^-$ invariant mass, together with the expected cross sections for Z’s [22]. Fig. 3 (b) shows the same but for spin 2 particles, together with the expected cross sections for RS gravitons. The cross sections for Z’s and RS gravitons are calculated at leading order with PYTHIA and then multiplied by a factor of 1.3 in order to approximate a next-to-leading-order prediction as done in reports of earlier results. Table II shows the lower mass limits of the SM coupling and $E_6$ Z’s and Fig. 4 shows the excluded RS graviton mass region with respect to $k/M_{Pl}$.

<table>
<thead>
<tr>
<th>Z’ Model</th>
<th>$Z_{SM}$</th>
<th>$Z_{\psi}$</th>
<th>$Z_{\eta}$</th>
<th>$Z_1$</th>
<th>$Z_{\text{sec}}$</th>
<th>$Z_{\text{N}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expected Limit (GeV/c$^2$)</td>
<td>961</td>
<td>846</td>
<td>857</td>
<td>873</td>
<td>755</td>
<td>788</td>
</tr>
<tr>
<td>Observed Limit (GeV/c$^2$)</td>
<td>961</td>
<td>851</td>
<td>862</td>
<td>877</td>
<td>735</td>
<td>792</td>
</tr>
</tbody>
</table>

The largest excess over the standard model prediction is at an $e^+e^-$ invariant mass of 240 GeV/c$^2$. The probability of observing such an excess arising from fluctuation in the standard model anywhere in the mass range of 150–1,000 GeV/c$^2$ is 0.6%. We also set upper limits on $\sigma(p\bar{p} \rightarrow X) \cdot B(X \rightarrow e^+e^-)$ at the 95% C.L. for spin 1 and spin 2 particles. The SM coupling $Z$’ with mass below 963 GeV/c$^2$ and the $E_6$ Z’s with masses below 735/877 (lightest/heaviest) GeV/c$^2$ are excluded at the 95% C.L. RS gravitons with masses below 848 GeV/c$^2$ are excluded at the 95% C.L. for $k/M_{Pl} = 0.1$.

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[7] We use a cylindrical coordinate system where θ is the polar angle with respect to the proton beam axis (positive z direction) and φ is the azimuthal angle. The pseudorapidity is η = −ln[tan(θ/2)]. We define transverse energy as $E_T = E \sin \theta$ and transverse momentum as $p_T = p \sin \theta$, where $E$ is the energy measured in the calorimeter and $p$ is the magnitude of the momentum measured by the spectrometer.

[8] Throughout this Letter the charge conjugate state is implied.


[17] Missing transverse energy ($E_T = |\vec{E}_T|$) is defined as $\vec{E}_T = \sum E_{T,i} \hat{n}_i$, where $\hat{n}_i$ is a unit vector in the transverse plane that points from the beam-line to the $i^{th}$ calorimeter tower.


[21] $\sigma_B = \sqrt{N_B + \sigma_{N_B}^2}$, where $N_B$ is the number of expected backgrounds and $\sigma_{N_B}$ is the systematic uncertainty of the $N_B$.


[23] C. Ciobanu et al., Fermilab, Report No. FERMILAB-FN-0773-E, (2008). We note that the 2005 version of this report had a typo in the $Z^0-\nu-\nu$ coupling that affected our previous publication [3].