

1 **Comparison of umbilical serum copeptin relative to erythropoietin and S100B as asphyxia**  
2 **biomarkers at birth**

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32 **Abstract**

33

34 **Background:** Birth asphyxia, estimated to account for a million neonatal deaths annually, can cause  
35 a wide variety of neurodevelopmental impairments. There is a need to develop new, swift methods to  
36 identify those neonates who would benefit from neuroprotective treatments such as hypothermia.

37 **Objectives:** To examine the utility of cord serum copeptin, a stable byproduct of arginine vasopressin  
38 release, as a biomarker of birth asphyxia based on a comparison with two biomarkers of hypoxia and  
39 brain trauma, erythropoietin and S100B.

40 **Methods:** The study population consisted of 140 singleton, term neonates; 113 controls and 27 with  
41 birth asphyxia (two/three criteria met: umbilical artery pH <7.10, base excess <-12 mmol/l, and 5-  
42 minute Apgar score <7). All deliveries were planned vaginal, but 51 neonates were born by  
43 emergency cesarean section. Copeptin, S100B, and erythropoietin levels in umbilical artery samples  
44 were measured by immunoassays.

45 **Results:** Copeptin correlated in the entire study population more strongly with umbilical artery base  
46 excess than S100B and erythropoietin, and only copeptin correlated with arterial pH. Furthermore,  
47 only copeptin levels were significantly higher in cases of birth asphyxia, and in vaginally born  
48 neonates they were found to increase as a function of labor duration. Copeptin was elevated in  
49 neonates born via vacuum extraction, whereas erythropoietin levels showed a slight increase after  
50 emergency cesarean section.

51 **Conclusions:** In this study population, S100B and erythropoietin were not valid biomarkers of birth  
52 asphyxia. In contrast, our work suggests that copeptin has high potential to become a routinely used  
53 biomarker for acute birth asphyxia and neonatal distress.

54 **Introduction**

55 Birth asphyxia is a severe clinical problem globally, which has been estimated to account for a million  
56 of neonatal deaths annually [1]. The neurodevelopmental impairments in individuals who develop  
57 neonatal hypoxic-ischemic encephalopathy (HIE) range from minor cognitive problems and  
58 sensorimotor defects to cerebral palsy [1, 2]. Methods for identifying those neonates who would  
59 benefit from neuroprotective treatments such as therapeutic hypothermia is a major challenge because  
60 of the restricted time window of only a few hours for decision making. The increasing risk of HIE  
61 associated with enhanced acidemia has been well established. The Apgar scores at 5 minutes are  
62 known to correlate with the risk of neurological disability [3]. However, the sensitivity and specificity  
63 of arterial cord blood pH values and Apgar scores with regard to outcome following HIE are low [2].  
64 Thus, reliable biomarkers for predicting outcome after birth asphyxia are urgently needed.

65  
66 A number of studies on blood-borne protein biomarkers in neonates have been published in recent  
67 years [4-6]. Among these, erythropoietin (EPO) is a biomarker of chronic hypoxia [7], and high levels  
68 of umbilical plasma EPO at birth are associated with an increased risk for adverse outcome [8]. S100B  
69 is considered as a biomarker of brain cell damage [9], and its levels are known to rise at the early  
70 phase of acute asphyxia [6]. In the present study, we examine the utility of cord serum copeptin, a  
71 byproduct of arginine vasopressin (AVP) release, as a biomarker of birth asphyxia based on a  
72 comparison with EPO and S100B. The rationale of this approach lies in the fact that a massive surge  
73 of AVP release takes place during normal vaginal birth in response to activation of the fetal  
74 hypothalamic–pituitary–adrenal (HPA) axis, which is further accentuated by various kinds of stress  
75 factors such as infections, intra-uterine growth restriction, and acidosis/birth asphyxia [4, 5, 10, 11].  
76 In contrast to AVP, copeptin is biochemically stable with a much longer half-life, and it is released  
77 in an equimolar ratio to AVP, making it an ideal surrogate for AVP measurements [11, 12]. One of  
78 the practical advantages of copeptin is that it is widely used as a biomarker of various  
79 pathophysiological states like lower respiratory tract infections, septic shock and stroke in emergency  
80 departments [13].

81

82 **Methods**

83 *Study design and patients*

84 The serum samples for this retrospective study were collected in the Department of Obstetrics and  
85 Gynecology, Helsinki University Hospital, Finland between May 2012 and April 2013. The study,  
86 approved by the local Ethics Committee of the Department of Obstetrics and Gynecology, Helsinki  
87 University Hospital, Finland (105/13/13/03/2012), consisted of 151 singleton births at or beyond  
88 37+0 gestational weeks. Umbilical artery blood samples were collected immediately after birth from  
89 72 neonates with suspected asphyxia, based on a 1-minute Apgar score <4. The 1-minute Apgar score  
90 was used only for patient recruitment, to allow midwives to identify neonates suspected of having  
91 suffered from birth asphyxia. Umbilical artery cord blood samples from 79 control neonates with a  
92 1-minute Apgar score  $\geq 4$  were collected during the five-day work week. Pregnancies complicated by  
93 maternal type 1 diabetes (n=1), preeclampsia (n=3), fetal growth restriction (n=4) and Rh  
94 immunization (n=1) were excluded. In addition, one neonate was excluded because of chromosomal  
95 anomaly and one had mitochondrial disease, leaving a total of 140 neonates for the final analysis. The  
96 neonates were divided into two groups, asphyxia and control, for data analysis. The neonate was  
97 considered to fulfill the diagnostic criteria for birth asphyxia if two of three criteria were met:  
98 umbilical artery pH <7.1, umbilical artery base excess <-12 mmol/l, and a 5-minute Apgar score <7  
99 [14, 15].

100

101 Gestational age was defined by fetal crown-rump length measurement at the first trimester ultrasound  
102 screening. Deliveries were all planned vaginal. Indications for emergency cesarean sections (ECS)  
103 were: fetal distress (n=29), labor dystocia (n=10), prolonged second stage of delivery (n=2),  
104 chorionamnionitis (n=1), fetal malpresentation (n=3), unsuccessful vacuum extraction (n=4), and  
105 umbilical cord prolapse (n=2). Data on maternal pregnancy characteristics and short-term perinatal  
106 outcome were collected from the hospital charts (table 1). Birth weight z-score was defined according  
107 to the Finnish population standardized for sex and gestational age [16].

108

109 Blood samples from the umbilical artery were used for measurements of pH, base excess (BE), pO<sub>2</sub>  
110 and pCO<sub>2</sub> (Radiometer ABL800 Flex blood gas analyzer, Copenhagen, Denmark). Serum samples  
111 from the umbilical artery were used for biomarker measurements.

112

### 113 *Copeptin measurements*

114 We used a sandwich enzyme-linked immunosorbent assay (ELISA, methodological details in the  
115 Supplementary material). The inter-assay variability was 5.8 %, which was estimated by calculating

116 the CV from eight duplicate samples run on two plates on two separate days. Fifteen serum samples  
117 were analyzed with both the ELISA and the BRAHMS copeptin Kryptor assay used in previous  
118 publications [4, 5], and a highly significant linear correlation (Pearson  $r = 0.9793$ ,  $p < 0.0001$ ) was  
119 found, covering the full range of values obtained using the Kryptor, 6.0 to 4637 pmol/l (median 381.2  
120 pmol/l). This excellent linear correlation, with an  $R^2$  of 0.9591 indicating a congruence of 96 %  
121 between the two methods, was used to convert the copeptin concentrations obtained with the ELISA  
122 to Kryptor concentrations. This allows for a direct comparison of our data with the already published  
123 copeptin results in neonates.

124

#### 125 *S100B measurements*

126 Serum S100B was measured with electrochemiluminometric immunoassay using Modular e170  
127 analyzer (Roche Diagnostics). The detection range for the S100B assay is 0.005  $\mu\text{g/l}$  and functional  
128 sensitivity less than 0.02  $\mu\text{g/l}$ . The intra-assay coefficient of variation (CV) was less than 2.1 % and  
129 inter-assay variation better than 6.4 %.

130

#### 131 *EPO measurements*

132 EPO was measured using a solid-phase chemiluminescent enzyme immunometric assay Immulite  
133 2000 XPI analyser (Siemens Healthcare Diagnostics). The intra-assay CV was 3.6-6.8 %, while the  
134 total CV was 6.4-10.3 %. Detection limit was 1.0 IU/l, and functional sensitivity (CV 20 %) was 1.5  
135 IU/l.

136

#### 137 *Statistical analysis*

138 All three biomarkers were measured from each of the 140 samples included in this study. The  
139 following statistical analyses were performed with GraphPad Prism 6 or SPSS 22. Prior to calculating  
140 correlations and significances, statistical outliers ( $p < 0.01$ ) for copeptin and S100B ( $n=1$  for each)  
141 were excluded from the study population. Correlations between the pH, BE, S100B, EPO and  
142 copeptin values from all remaining samples were calculated with the Spearman correlation  
143 coefficient. Copeptin, S100B and EPO values between the study groups were compared using the  
144 Mann-Whitney U test or the Kruskal-Wallis test, and a receiver operating characteristic (ROC)-curve  
145 was drawn to determine the diagnostic accuracy of copeptin to birth asphyxia. The Chi-squared test  
146 or Fisher's exact test were used to determine significant differences in the study population.

147

## 148 **Results**

### 149 *Maternal and fetal/delivery characteristics*

150 The maternal and fetal/delivery characteristics are shown in table 1. There were no statistically  
151 significant differences in any of the maternal or delivery characteristics between the two study groups.

152

### 153 *Dependence of biomarker levels on umbilical artery pH and BE*

154 The neonates' median pH, BE, pO<sub>2</sub> and pCO<sub>2</sub> values, which are routinely measured from umbilical  
155 arterial cord blood at birth, are shown in table 1. The dependence of the three biomarkers on the blood  
156 acid-base parameters are given in figure 1. Only copeptin levels showed a significant correlation with  
157 umbilical artery pH ( $r = -0.6219$ ,  $p < 0.0001$ , fig. 1a). All three biomarkers correlated with umbilical  
158 artery BE (fig. 1d-f), with copeptin showing by far the highest correlation coefficient ( $r = -0.6372$ ,  $p$   
159  $< 0.0001$ ).

160

### 161 *Biomarker levels and asphyxia*

162 Twenty-seven neonates in the study population belonged to the birth asphyxia group (see Methods  
163 for present criteria). Copeptin levels were significantly higher among the neonates in the birth  
164 asphyxia group compared to unaffected controls (mean 2450 pmol/l vs 1226 pmol/l,  $p < 0.0001$ , fig.  
165 2c), whereas no differences in S100B (fig. 2a) or EPO (fig. 2b) levels were found. ROC-curve analysis  
166 showed that copeptin concentrations discriminated with moderate accuracy between asphyxia, as  
167 defined in this study, and controls: the area under the curve was 0.76 (95%-CI 0.69-0.86, fig. 2d). A  
168 cut-off of 1522 pmol/l had a sensitivity of 77 % and a specificity of 70%.

169

### 170 *Biomarker levels and delivery mode*

171 All 140 deliveries were planned vaginal, but 51 neonates were ultimately born by ECS. Of the 89  
172 vaginal deliveries, 33 were assisted with vacuum extraction. There were no differences in the delivery  
173 mode between the asphyxia and control study groups (table 1). Copeptin levels were higher among  
174 the neonates born via vacuum extraction as compared to ECS (mean 2021 pmol/l vs 1190 pmol/l,  $p$   
175  $= 0.003$ , fig. 3a) or normal vaginal delivery, although the latter difference did not reach statistical  
176 significance (mean 2021 pmol/l vs 1362 pmol/l,  $p = 0.0522$ , fig. 3a). No differences in S100B levels  
177 were found between the groups (fig. 3b), whereas EPO levels were higher among neonates born via

178 ECS compared to normal vaginal delivery or vacuum extraction (means 713.7 U/l, 78.41 U/l, and  
179 61.15 U/l, respectively;  $p = 0.0002$  and  $p = 0.001$ , fig. 3c), which might reflect prenatal conditions  
180 [7].

181

#### 182 *Biomarker levels in relation to other variables*

183 S100B levels were higher in male neonates ( $p = 0.0378$ ), but copeptin and EPO levels did not differ  
184 based on the sex of the neonate. All biomarkers showed a correlation with the 5-minute Apgar score,  
185 and copeptin and S100B correlated also with the 10-minute Apgar score (table 2). Copeptin and  
186 S100B levels did not correlate with gestational age at birth, whereas EPO levels did ( $r = 0.4513$ ,  $p <$   
187  $0.0001$ ). Only copeptin levels correlated significantly with birth weight ( $r = -0.1713$ ,  $p = 0.0438$ ).  
188 Among the 89 neonates born vaginally, copeptin levels increased as a function of the total duration  
189 of labor ( $r = 0.3267$ ,  $p = 0.0019$ ) and the duration of the second stage of labor ( $r = 0.2787$ ,  $p = 0.0086$ ;  
190 table 2). EPO and S100B levels did not correlate with either of these variables.

191

#### 192 **Discussion**

193 A wide spectrum of adaptive processes in respiratory, cardiovascular, and metabolic functions are  
194 triggered at birth [4, 10]. Changes in biomarker concentrations during birth reflect, at least in part,  
195 physiological fetal adaptive reactions, such as enhanced activation of the HPA-axis (see below), in  
196 response to normal or complicated delivery. Distinct biomarkers have different profiles during fetal  
197 and neonatal asphyxia [4, 7, 18]. The S100B level in plasma has been shown to rise at the early phase  
198 of acute asphyxia [6, 18] and significantly higher S100B levels have been reported in asphyxiated  
199 term neonates with intraventricular hemorrhage (IVH) or with HIE, compared to asphyxiated  
200 neonates without IVH/HIE or to apparently healthy neonates [19]. EPO is a biomarker of chronic  
201 hypoxia, and increased levels can be detected in fetal plasma and amniotic fluid in various  
202 pathological pregnancies [7]. An association of high EPO levels during pregnancy and adverse acute  
203 neonatal outcome, such as decreased umbilical cord pH,  $pO_2$  and BE, and increased intensive care  
204 unit admission, has also been reported [7, 20]. Furthermore, high levels of umbilical plasma EPO at  
205 birth are associated with an increased risk for death or abnormal neurological outcome at two years  
206 of age [8].

207

208 There are many *a priori* reasons why copeptin might turn out to be a highly useful biomarker of birth  
209 asphyxia, and a number of previous observations point in this direction [4, 5, 21]. During birth, the  
210 HPA axis shows massive activation, which results in the release of AVP, and this response is further  
211 enhanced by various types of stressors. Since AVP is highly unstable with a short half-life of 4-20  
212 minutes [22], assays of this hypothalamic hormone itself are not suitable for clinical use. However,  
213 AVP is derived from a larger precursor peptide which contains copeptin, a stable C-terminal fragment  
214 with 39 amino acids. Copeptin is released in an equimolar ratio to AVP [23]. In line with the  
215 underlying HPA-based mechanisms, copeptin levels in cord blood increase in different stress  
216 situations, such as infections and hypoxia, both in term and preterm pregnancies [4, 5, 21]. High  
217 copeptin levels at birth are related to acute adverse neonatal outcomes such as IVH [21].

218

219 The single most important criterion for diagnosis of birth asphyxia is profound metabolic acidosis  
220 [14, 15]. Notably, copeptin levels increase along with decreasing umbilical cord blood pH and BE  
221 during normal birth [4], and they are even higher following birth asphyxia [5]. This means that the  
222 dynamics of enhanced copeptin plasma concentrations cover a wide range of levels of acidemia from  
223 normal to severely abnormal, i.e. those prevailing in birth asphyxia.

224

225 In line with the above considerations and data, we demonstrate here that copeptin levels are highly  
226 correlated with both arterial cord blood pH and BE (fig. 1). The strong and highly significant  
227 dependence of high copeptin levels on negative BE shown presently is of particular interest. In  
228 excellent agreement with the above data, copeptin levels turned out to be significantly higher in  
229 asphyxiated neonates vs controls in the present study. The sensitivity and specificity parameters in  
230 the ROC analysis were not as high as previously shown [5], which is attributable to the present  
231 inclusion criteria of the control group based on a 1-minute Apgar score of 4 or higher. In contrast, no  
232 difference was seen in S100B and EPO levels (fig. 2), most likely because of the relatively mild  
233 asphyxia criteria in the present work.

234

235 A further important finding in the present study is that copeptin levels increased as a function of the  
236 total duration of labor and on the duration of the second stage of labor, while EPO and S100B levels  
237 did not correlate with either variable. This result with copeptin is most likely explained by very recent  
238 observations that just a few contractions (most likely acting via transient periods of minor hypoxia

239 on the fetus) are sufficient to trigger detectable AVP/copeptin [24]. Given the cumulative nature of  
240 the copeptin levels with a half-life of 30 minutes [25], the above dependence on labor duration is  
241 readily explained. Thus, future work on sequential measurements of copeptin may turn out to be  
242 valuable in enhancing the prognostic power of this biomarker.

243

244 It has been previously shown that significantly higher copeptin concentrations are observed after  
245 vaginal delivery compared to delivery by primary cesarean section [4]. In the present study, the  
246 patient cohort included only neonates born by ECS preceded by periods of labor contractions with  
247 variable duration. Thus, it is not surprising that no significant difference in copeptin levels was  
248 observed between neonates born vaginally or via ECS. However, copeptin levels were higher after  
249 vaginal delivery assisted by vacuum extraction when compared to copeptin levels after ECS or normal  
250 vaginal delivery, as reported before [4].

251

252 To summarize, our study indicates that copeptin has a high potential to become a routine biomarker  
253 for neonatal distress and asphyxia. From a (patho)physiological point of view, its advantages are  
254 based on the key role of AVP in the adaptations of the fetus to birth. From a practical point of view,  
255 it is of much importance that serum copeptin is widely used as a biomarker in adults in emergency  
256 departments [13], and therefore this approach can be readily extended to neonatal intensive care units.  
257 Future studies are needed to determine whether copeptin concentrations at birth correlate with the  
258 severity of HIE, and, more importantly, with long-term neurological outcome following birth  
259 asphyxia.

260

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264

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330 **Figure legends**

331

332 **Fig. 1** Dependence of copeptin (a, d), S100B (b, e) and EPO levels (c, f) on pH (a-c) and base excess  
333 (BE; d-f). P - and r - values for each pair are shown in the respective panels, regression lines were  
334 drawn when the correlation was significant.

335

336 **Fig. 2** Comparisons between the birth asphyxia and control groups. There were no significant  
337 differences in S100B (a) or EPO (b) levels between the groups. Copeptin (c) levels were significantly  
338 higher in the asphyxia group compared to the control group. The medians and p-values of Mann-  
339 Whitney U-test are shown (a-c). (d) ROC-curve for cord serum copeptin concentrations in relation to  
340 birth asphyxia. The dotted lines indicate the optimal discriminative cut-off of 1522 pmol/l, resulting  
341 in a sensitivity of 77 % and a specificity of 70 %.

342

343 **Fig. 3** Comparisons between biomarkers and delivery mode. Copeptin (a) levels were significantly  
344 higher in neonates born via vacuum extraction compared to emergency cesarean section, whereas no  
345 differences in S100B (b) levels were found between groups. EPO (c) levels were significantly higher  
346 in neonates born via emergency cesarean section compared to the two other groups. The medians are  
347 shown in each panel, and p - values of Kruskal-Wallis test are shown when the difference was  
348 significant.

	Asphyxia (n = 27)		Control (n = 113)		p
<b>Maternal characteristics</b>					
Maternal age, years (mean)	30.9	<i>SD (5.9)</i>	31.1	<i>SD (5.5)</i>	0.815
Primiparity	15	(55.6)	62	(54.9)	1.000
<i>In vitro</i> fertilization	2	(7.4)	5	(4.4)	0.620
Smoking	4	(14.8)	9	(8.0)	0.277
Obesity (body mass index $\geq 30\text{kg/m}^2$ )	2	(7.4)	17	(15.0)	0.530
Gestational diabetes	4	(14.8)	14	(12.4)	0.751
Chronic hypertension	1	(3.7)	3	(2.7)	0.580
<b>Fetal/delivery characteristics</b>					
Spontaneous vaginal delivery	7	(25.9)	49	(43.4)	0.257
Vacuum extraction	9	(33.3)	24	(21.2)	0.309
Emergency cesarean section	11	(40.7)	40	(35.4)	0.727
Gestational weeks at birth	41.1	(40.0 - 41.6)	40.7	(39.7 - 41.7)	0.372
Post-term births ( $\geq\text{H42}^{+0}$ )	5	(18.5)	22	(19.5)	1.000
Male	17	(63.0)	66	(58.4)	0.828
Birth weight (g)	3520	(3260 - 3945)	3656	(3282 - 3991)	0.499
Relative birth weight (SD)	-0.13	(-1.02 - 0.62)	0.02	(-0.67 - 0.81)	0.385
5 min Apgar score	6	(4-7)	8	(6-9)	<0.0001
10 min Apgar score	8	(6-9)	9	(8-9.5)	0.0009
Umbilical artery pH	7.03	(6.97 - 7.08)	7.21	(7.14 - 7.30)	<0.0001
Umbilical artery base excess	-12.7	(-14.4 - -11.1)	-5.80	(-7.85 - -2.85)	<0.0001
Umbilical artery pO <sub>2</sub> (kPa)	1.9	(1.1 - 2.8)	2.4	(1.7 - 3.3)	0.029
Umbilical artery pCO <sub>2</sub> (kPa)	10.1	(9.2 - 12.7)	7.8	(6.7 - 9.2)	<0.0001
Umbilical serum erythropoietin (U/l)	71.7	(22.2 - 116.0)	46.1	(22.2 - 124.5)	0.683
Umbilical serum S100B ( $\mu\text{g/l}$ )	0.33	(0.19 - 0.63)	0.31	(0.24 - 0.45)	0.712
Umbilical serum copeptin (pmol/l)	2279	(1476-3144)	973.7	(320.9-1961)	<0.0001
<i>Median (interquartile range) or number (percentage) are shown</i>					

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	Copeptin			S100B			EPO		
	n	r	p	n	r	p	n	r	p
5 min Apgar score	139	-0.2998	0.0004*	139	-0.2041	0.0159*	140	-0.2914	0.0005*
10 min Apgar score	139	-0.1678	0.0484*	139	-0.2048	0.0156*	140	-0.1380	0.1040
Gestational age	139	0.0644	0.4510	139	-0.0018	0.9836	140	0.4513	<0.0001*
Birth weight	139	-0.1713	0.0438*	139	-0.0235	0.7838	140	-0.0367	0.6672
Total labor duration	88	0.3267	0.0019*	89	0.1247	0.2444	89	0.0656	0.5414
Second stage labor duration	88	0.2787	0.0086*	89	0.1038	0.3332	89	0.0162	0.8802
<i>Significant correlations indicated by an asterisk.</i>									

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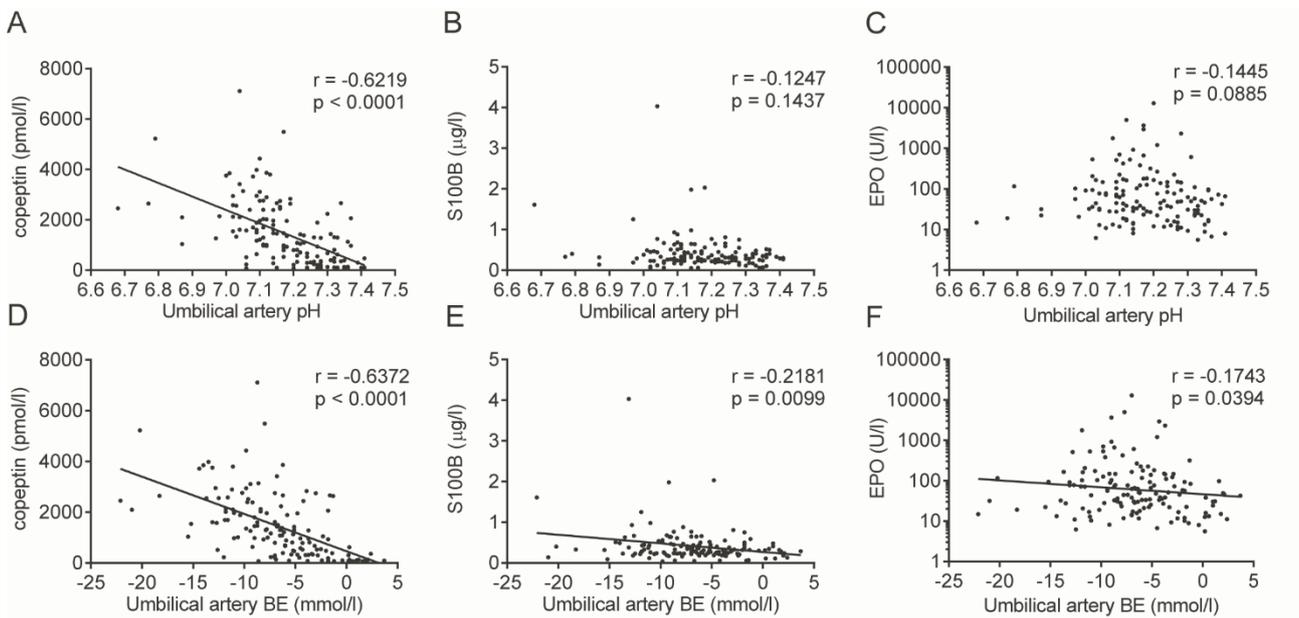
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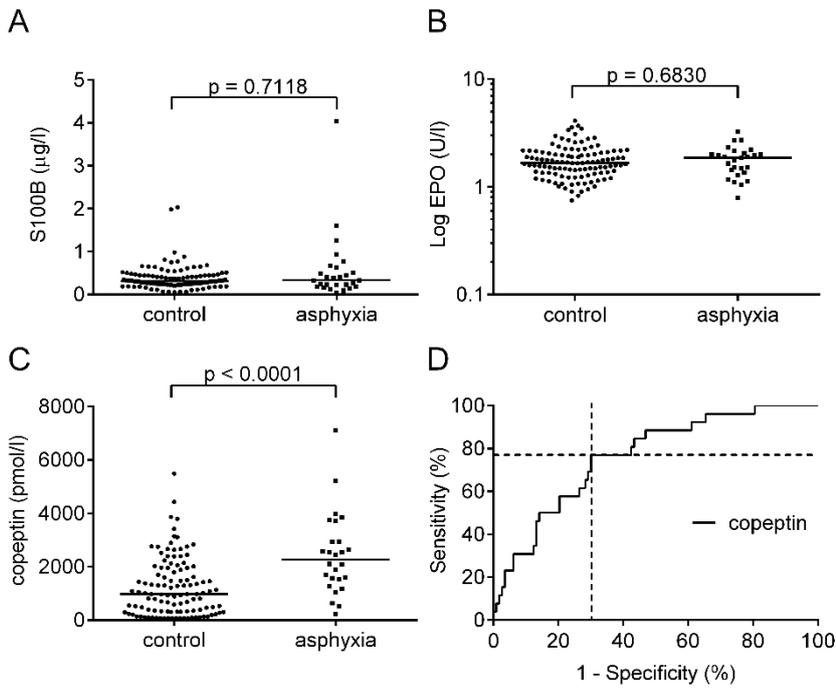
359 Fig. 1



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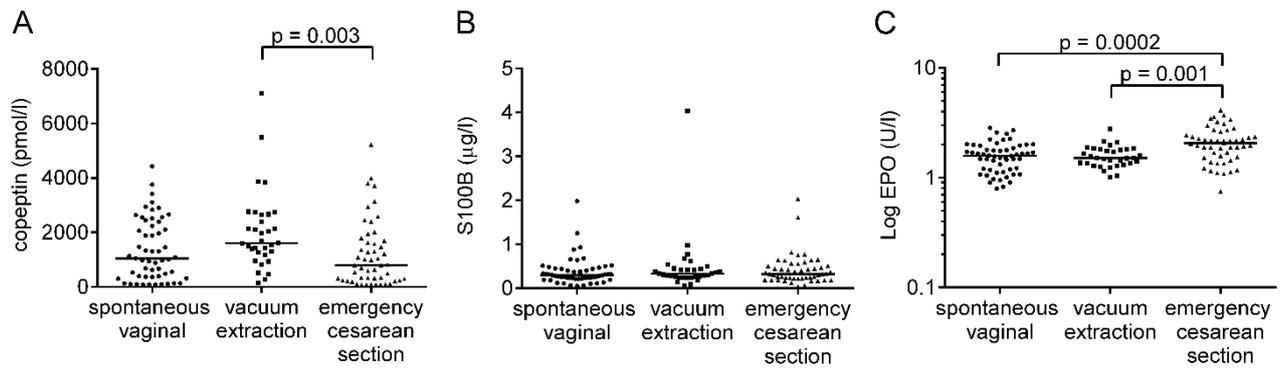
362 Fig. 2



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365 Fig. 3



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