

Critical-sized cartilage defects in the equine carpus

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29 **Running title:** Critical-sized cartilage defects in the equine carpus

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31 **Funding declaration:** This study was funded by the Academy of Finland (Grant #285909)
32 and the Finnish Funding Agency for Innovation Tekes (Grant 3344/31/03). The funding
33 sources had no role in the study design, collection, analysis and interpretation of data; in the
34 writing of the manuscript; and in the decision to submit the manuscript for publication.

35

36 **Abstract**

37 *Aim:* The horse joint, due to its similarity with the human joint, is the ultimate model for
38 translational articular cartilage repair studies. This study was designed to determine the
39 critical size of cartilage defects in the equine carpus and serve as a benchmark for the
40 evaluation of new cartilage treatment options.

41 *Materials and Methods:* Circular full-thickness cartilage defects with a diameter of 2, 4 and 8
42 mm were created in the left middle carpal joint and similar osteochondral (3.5 mm in depth)
43 defects in the right middle carpal joint of five horses. Spontaneously formed repair tissue was
44 examined macroscopically, with MR and μ CT imaging, polarized light microscopy, standard
45 histology and immunohistochemistry at 12 months.

46 *Results:* Filling of 2 mm chondral defects was good ($77.8\pm 8.5\%$) but proteoglycan depletion
47 was evident in Safranin-O staining and gadolinium-enhanced MRI (T_{1Gd}). Larger chondral
48 defects showed poor filling ($50.6\pm 2.7\%$ in 4 mm and $31.9\pm 7.3\%$ in 8 mm defects). Lesion
49 filling in 2, 4 and 8 mm osteochondral defects was $82.3\pm 3.0\%$, $68.0\pm 4.6\%$ and $70.8\pm 15.4\%$,
50 respectively. Type II collagen staining was seen in 9/15 osteochondral defects but only in
51 1/15 chondral defects. Subchondral bone pathologies were evident in 14/15 osteochondral
52 samples but only in 5/15 chondral samples. Although osteochondral lesions showed better
53 neotissue quality than chondral lesions, the overall repair was deemed unsatisfactory because
54 of the subchondral bone pathologies.

55 *Conclusions:* We recommend classifying 4 mm as critical osteochondral lesion size and 2 mm
56 as critical chondral lesion size for cartilage repair research in the equine carpal joint model.

57 **Keywords:** cartilage repair; animal model; spontaneous repair; preclinical research; critical-
58 sized defect

59 **Introduction**

60 Animal models are used for the evaluation of the efficacy of new surgical techniques. When
61 investigating articular cartilage repair *in vivo*, joint size and cartilage thickness are considered
62 key factors in defining the most appropriate species. (1,2) The joint size, cartilage thickness
63 and gait mechanics of the horse are closest to those of humans. (3,4) Moreover, naturally
64 occurring equine cartilage lesions have similar etiology as human lesions. (1,3,4) These
65 similarities allow for a realistic evaluation of novel methods for cartilage repair. In the equine
66 model, stifle, tarsotibial and carpal joints have been used in translational cartilage repair
67 research. To enable effective use of the equine model in translational cartilage research, the
68 intrinsic repair capacity of equine cartilage in the specific joint must be known.

69 A critical-sized lesion is a lesion of a size beyond which the defect does not heal
70 spontaneously. Knowledge about critical lesion sizes in animal experiments is necessary for
71 cost reduction and minimizing the suffering of animals while still providing reliable data on
72 the effect of the studied technique. Critical lesion size used in previous equine studies has
73 been defined as lesion size beyond which any void made is not filled. (5) However, tissue
74 quality should also be taken into consideration when defining cartilage repair. Aiming at
75 tissue regeneration, *i.e.* restoration of normal tissue architecture and function, instead of
76 merely filling the defects is paramount for achieving durable results. (6) Therefore, this kind
77 of defect filling cannot be considered to be successful healing.

78 There are no recent studies on spontaneous cartilage repair in the equine carpus, and previous
79 studies have generally used basic methods, such as macroscopic inspection, standard
80 histology and basic biochemistry for the assessment of repair tissue quantity and quality. (5,7)

81 Apart from this, to our knowledge, there are no data on the long-term evolution of artificially
82 made superficial chondral lesions in horses. Given the increasing recognition of the equine
83 model for the evaluation of cartilage repair techniques, (1,3,8) and the equine carpus being the
84 most common site of naturally occurring osteoarthritis after metacarpophalangeal joint, (9)
85 our study focused on characterization of the long-term spontaneous repair of variably sized
86 chondral and osteochondral defects in the equine carpus using state-of-the-art analytical
87 techniques. As small cartilage defects have been thought to heal well, (3,5,8) we hypothesized
88 that the critical defect size would be larger than 2 mm in diameter. The information obtained
89 in this study can be used as a benchmark when evaluating the effect of different techniques
90 aiming at cartilage regeneration in an equine translational model, as it defines to what extent
91 lesions of different sizes will heal spontaneously over a long period (12 months) in the equine
92 carpus.

93

94 **Methods**

95 *Surgical procedure*

96 Five 24-month-old horses (*Equus caballus*) were included in this study. The study was
97 authorized by the Utrecht University Animal Experiments Committee (0412.0601, Utrecht,
98 The Netherlands) in compliance with the Dutch Act on Animal Experimentation. The animal
99 care was in accordance with Utrecht University guidelines. Surgery was performed under
100 general anesthesia following routine clinical procedures. All the horses were assessed
101 clinically and radiologically prior to inclusion in the study and were found to be skeletally
102 mature and to present no abnormalities.

103 The horses received meloxicam pre-operatively (0.6 mg/kg, *i.v.*, Metacam®, Boehringer
104 Ingelheim). The middle carpal joints were approached through a lateral-dorsal and medio-
105 dorsal 1.5–2 cm length arthrotomy to create defects of 2 mm (3 mm²), 4 mm (13 mm²), 6 mm
106 (28 mm²) and 8 mm (50 mm²) in diameter on the 2nd, 3rd and 4th carpal bones as shown in
107 Figure 1. Defects were pre-punched with a 2, 4, 6 or 8 mm skin biopsy punch. For chondral
108 defects, cartilage was carefully removed with ring curettes onto the level of calcified cartilage
109 (approximately 1 mm in depth) in the left carpus. For osteochondral lesions created in the
110 right carpus, drilling was performed under continuous lavage with Ringer’s solution using a
111 hand drill. A 2, 4, 6 or 8 mm pointed drill bit was initially used, followed by a custom-made
112 flattened drill bit of the same size and a custom-made drill sleeve to provide a uniform defect
113 with a flattened bottom and controlled depth of 3.5 mm. Healthy cartilage adjacent to the
114 lesions served as control for all defects.

115 Post-operatively, the animals were confined to individual box-stalls (3.5×3.5m) for two
116 weeks, after which a gradual six-week rehabilitation program consisting of incremental
117 controlled walking started. Thereafter, depending on the season and weather conditions, the
118 animals were turned out to pasture or kept in box stalls with daily exercise of 20-30 minutes
119 in a mechanical horse walker. The exercise regimen was identical for all horses. Synovial
120 fluid and blood samples were collected at weeks 0, 2, 6, 14, 26, 38 and immediately after
121 euthanasia. The total follow-up period was 12 months during which the lesions were allowed
122 to heal spontaneously.

123 The 6 mm lesions created in *os carpale IV* were used in other studies. (10-12) As their
124 processing was different from the other samples, the 6 mm lesions are not included in this
125 study.

126 ***Macroscopic evaluation and sample collection***

127 After sacrificing the animals, the carpal joints were opened and macroscopic photographs
128 were taken. Cylindrical osteochondral samples (14 mm in diameter and approximately 1 cm
129 in depth) were taken using a hollow drill that was centered over the original lesion. The
130 samples were frozen and stored at -20°C until further processing.

131 ***Micro-computed tomography (μCT)***

132 The samples were thawed in PBS supplemented with inhibitors of metalloproteinases [5 mM
133 ethylenediamine tetraacetic acid (EDTA) disodium salt (VWR International, Fontenay,
134 France) and 5 mM benzamidine hydrochloride (Sigma-Aldrich, St. Louis, MO)], and
135 analyzed with a SkyScan-1172 scanner (SkyScan, Aartselaar, Belgium). The volume of
136 interest was a cylinder with the diameter of the defect size and height of 6 mm. In control
137 samples, the diameter was 8 mm. The data was analyzed for the structural bone parameters:
138 bone volume fraction (BV/TV), trabecular thickness ($Tb.Th$), trabecular spacing ($Tb.Sp$), and
139 trabecular number ($Tb.N$).

140 ***Magnetic resonance imaging (MRI)***

141 Thawed samples were MR imaged with a 9.4 T device (Oxford 400 NMR vertical magnet;
142 Oxford Instruments, Witney, England), equipped with a Varian DirectDrive console (VnmrJ
143 2.3, Varian, Palo Alto, CA, USA) and a 19 mm quadrature volume coil (RAPID Biomedical,
144 Rimpar, Germany). The specimens were placed in a test tube and immersed in saline. T_2
145 relaxation time was measured in a single slice of 1 mm thickness using a single echo spin
146 echo sequence with TEs of 12, 24, 50, 80 and 110 ms, a TR of 2.5 s and in-plane resolution of
147 $70 \times 140 \mu\text{m}$. Native T_1 relaxation time was measured in the same slice with the same

148 resolution, using a progressive saturation recovery sequence with TRs of 0.3, 0.6, 1.2, 2.4 and
149 4.8 s and TE of 11.7 ms. After the first scans, the specimens were immersed in a 1.0 mM Gd-
150 DTPA²⁻ solution for 20 hours at room temperature (RT), after which $T_{1\text{Gd}}$ was measured using
151 the same saturation recovery sequence with the same resolution, but with TRs of 0.1, 0.2, 0.4,
152 0.8 and 1.6 s. Two regions of interest (ROIs) were defined in the MR images as exemplified
153 in Figure 2: ROI 1 covered exclusively any repair tissue at the lesion sites of the samples,
154 regardless of its location (repair tissue only). ROI 2 was spatially aligned with the
155 surrounding healthy cartilage and located where the repair tissue assumingly should be if
156 everything was perfectly healed, and further split into superficial and deep halves (upper and
157 lower part of the cartilage). A control ROI was defined in the adjacent healthy tissue and also
158 split into superficial and deep halves.

159 *Polarized light microscopy*

160 After the imaging studies, the samples were processed for histology. The sample cylinders
161 were fixed in 10% formalin for 48 hours at RT. The samples were decalcified in 10% EDTA
162 and 4% formaldehyde in 0.1 M phosphate buffer at RT, cut in half, dehydrated in ascending
163 alcohol series, and embedded in paraffin. Tissue sections of 5 μm in thickness were cut from
164 the middle of the lesion.

165 Unstained tissue sections were measured using polarized light microscopy (Leitz Ortholux II
166 POL, Leitz Wezlar, Wezlar, Germany). (13) The repair tissue was evaluated using a 300- μm -
167 wide ROI, which was divided into ten layers of equal thicknesses for the analysis. The
168 orientation of collagen fibrils in relation to the cartilage surface (0–90 degrees), and
169 parallelism index (PI), which describes the randomness of fibril orientations within the pixel

170 (0–1, where 0 indicates completely random organization and 1 indicates completely parallel
171 organization), (13) were determined from the most superficial, middle and the deepest
172 section.

173 ***Histological and immunohistological evaluation***

174 Tissue sections were stained with Safranin-O using standard protocols. (14) Mosaic images of
175 the histological sections were generated with the Zeiss AxioImager Z1 microscope system
176 equipped with an AxioCam MRc5 camera and Zen blue edition software (Carl Zeiss
177 Microscopy GmbH).

178 Lesion filling was calculated from the Safranin-O stained sections using color thresholding in
179 the Fiji program. (15) The ROI from which the lesion filling was calculated covered the entire
180 lesion, with the width being the defect diameter and the depth being 1 mm for chondral
181 lesions and 3.5 mm for osteochondral lesions. As the ROI for the osteochondral defects
182 extended into the subchondral bone, the natural trabecular spaces in the sections resulted in
183 empty spaces and thus in a smaller filling degree in the healthy osteochondral control samples
184 than in the chondral samples.

185 The Safranin-O stained tissue sections in study III were evaluated using the OARSI
186 histopathology score validated for equine cartilage, in which each parameter is evaluated 0–4
187 where 0 represents healthy cartilage tissue.(16) The sections were scored by three
188 independent, blinded observers and an average of the scores was used. The defects that lacked
189 any repair tissue were given the worst score of 4 for each parameter.

190 A previously published protocol (14) was used to evaluate the staining for type I and type II
191 collagen. Briefly, the sections were digested with hyaluronidase (2 mg/ml, Sigma-Aldrich)
192 and pronase (2 mg/ml, Calbiochem, Merck KGaA), and immersed in hydrogen peroxide
193 (EnVision®+ System-HRP (AEC), Dako North America Inc.) to block endogenous
194 peroxidase activity. Non-specific staining was blocked with 10% normal goat serum (Dako
195 Denmark A/S, Glostrup, Denmark). The sections were then incubated overnight at 4°C with
196 primary antibodies against collagen type II (ab34712, Abcam) and collagen type I (ab34710),
197 and diluted to 4 µg/ml with PBS supplemented with 1% bovine serum albumin (Sigma-
198 Aldrich). Horseradish peroxidase (HRP)-conjugated goat anti-rabbit secondary antibody
199 (Dako) was then applied. Antibody binding was visualized with AEC substrate chromogen
200 (Dako). The staining of each sample was evaluated under light microscopy.

201 *Statistical analysis*

202 Confidence intervals and standard errors were calculated with the IBM SPSS Statistics 22
203 software. Osteochondral and chondral lesions of the same diameter were compared to each
204 other. The significances of differences in the µCT, MRI and polarized light microscopy
205 parameters were evaluated with a pairwise *t* test, and Sidak adjustment was made for multiple
206 testing. Significances of difference in lesion filling was calculated with permutation type
207 ANOVA testing and Sidak adjustment. Comparisons of lesions and control tissue were made
208 with permutation type ANOVA testing with Dunnett method. A *p* value under 0.05 was used
209 as the threshold to indicate a statistically significant difference.

210

211 **Results**

212 ***Post-operative animal wellbeing***

213 The surgeries were uneventful and all animals recovered well. All the horses demonstrated a
214 pattern of decreasing joint effusion and lameness after surgery that can be expected during the
215 normal healing of an arthrotomy in clinical cases. Joint effusion and lameness were minimal
216 at postoperative day 10 during suture removal and all the horses were fully recovered by 3-4
217 weeks post-surgery. No clinical abnormalities were noticed in any of the horses during the
218 remainder of the experiment.

219 ***Gross appearance of the repair tissue***

220 Most of the 2 mm lesions showed good macroscopic filling (5 of 5 osteochondral and 3 of 5
221 chondral defects) (Figure 3). The 4 mm lesions were clearly distinguishable from the
222 surrounding healthy cartilage and were incompletely filled. One chondral 4 mm lesion
223 (animal D) showed rather good filling. Each of the 8 mm lesions was incompletely filled. No
224 degenerative changes were detected.

225 ***Micro-computed tomography***

226 Visually, the bone structure was normal under the chondral lesions of 2 mm and 4 mm in
227 diameter (Figure 4). Bone compactness was visually observed to be decreased in 4 of the 5
228 chondral lesions with a diameter of 8 mm, and one of these samples showed a small
229 subchondral bone erosion. There were subchondral bone changes in all but one of the
230 osteochondral defects (the exception being horse E, 2 mm lesion). Only two osteochondral
231 samples with a diameter of 2 mm presented without cyst-like bone changes. All osteochondral
232 lesions of 4 mm and 8 mm in diameter had unhealed bone or a cyst-like bone lesion. There
233 were no clear trends in the numeral μ CT data and the individual variation between the

234 samples was large. No statistically significant differences were found between the chondral
235 and osteochondral defects but the trabeculae were thinner in osteochondral defects of all sizes
236 than in healthy control tissue ($p=0.003$).

237 ***Magnetic resonance imaging***

238 The relaxation times in ROI 1 (repair tissue only) showed no clear trends with respect to the
239 increasing lesion diameter (Figure 5a). However, T_{1Gd} relaxation times were shorter ($p=0.014$
240 and $p<0.001$ for osteochondral and chondral lesions, respectively) and T_1 relaxation times
241 slightly longer ($p=0.156$ and $p=0.037$ for osteochondral and chondral lesions, respectively) in
242 the repair tissues than in the control samples (Figure 5).

243 In all ROIs, the osteochondral lesions had longer T_{1Gd} relaxation times than the chondral
244 lesions, indicative of a higher proteoglycan content. However, no statistically significant
245 differences were observed. In ROI 1, the T_2 relaxation time was shorter in the 8 mm wide
246 osteochondral defects than in the chondral defects (33.8 ± 0.8 ms for osteochondral and
247 41.0 ± 1.3 ms for chondral lesions, $p=0.020$). ROI 2 (lesion area aligned to adjacent healthy
248 cartilage) showed increasing T_2 s with larger lesion diameters and towards the cartilage
249 surface. Osteochondral lesions with a diameter of 8 mm deviated from this trend and showed
250 lower T_2 values (48.9 ± 8.4 ms) than the 4 mm lesions (59.2 ± 10.3 ms). The 8 mm lesions also
251 demonstrated a significant difference between the osteochondral and chondral samples in the
252 deep part of ROI 2 (103.9 ± 12.2 ms for chondral and 48.9 ± 8.4 ms for osteochondral defects,
253 respectively, $p=0.020$).

254 The T_1 relaxation time of the chondral samples in ROI 2 showed a trend of increasing with
255 lesion diameter and from the deep part of the tissue towards the cartilage surface (Figure 5b

256 and c). The T_1 relaxation times of all chondral lesions were higher than those of the control
257 tissue ($p<0.001$). The largest variation in T_1 relaxation time was noted for osteochondral
258 lesions with a diameter of 8 mm. T_1 relaxation time did not show significant differences
259 between the groups in either of the ROIs.

260 Changes in the relaxation times were visually observed, especially in the T_{IGd} relaxation time
261 between the lesion sites and adjacent tissue, exemplified here in the cases of the 2 mm lesions
262 (Figure 2). While the relaxation times of the subchondral bone are not shown, also differences
263 in the MRI signal of the subchondral bone immediately below the lesion site were observed
264 between the lesion types: a uniform appearance of the signal is seen below the chondral
265 lesions, while an area of increased signal was present in the vicinity of the osteochondral
266 lesions, indicating an increased water content in the area (Figure 2).

267 ***Polarized light microscopy***

268 Polarized light microscopy showed high parallelism indexes (PI) in all samples (Figure 6).
269 Chondral lesions with a diameter of 2 mm showed a higher parallelism of collagen fibrils than
270 osteochondral lesions in the deep part of the repair tissue (0.891 ± 0.02 for chondral and
271 0.787 ± 0.03 for osteochondral lesions, $p=0.042$). Otherwise, no statistically significant
272 differences in the PI between the lesion diameters or the lesion depths were detected.

273 Collagen orientation showed large variations between the groups and between individual
274 samples. Collagen orientation changed toward the typical tangential orientation in the
275 superficial part of the 2 mm lesions (Figure 7a) and deviated from what was expected in the
276 larger lesions. The osteochondral samples showed a higher level of fibril organization than the
277 chondral samples in the deep part of the tissue, the collagen orientation being $61.6\pm 4.3^\circ$ for 4

278 mm and $69.5 \pm 2.7^\circ$ for 8 mm osteochondral defects, and $35.4 \pm 7.0^\circ$ for 4 mm and $33.6 \pm 2.2^\circ$
279 for 8 mm chondral defects ($p=0.047$ and $p=0.004$ for 4 mm and 8 mm lesions, respectively).

280 ***Histological repair quality***

281 Lesion filling was analyzed from the Safranin-O stained sections. Filling of the osteochondral
282 control samples was $81.7 \pm 0.2\%$ and filling of chondral controls was $99.4 \pm 4.7\%$. Lesion
283 filling was most complete ($82.3 \pm 3.0\%$) in the osteochondral lesions in which the repair tissue
284 reached the level of the surrounding cartilage surface in all of the 2 mm lesions (Figure 7,
285 Figure 8). On the other hand, 4 of 5 of the 4 mm lesions and 1 of 5 of the 8 mm lesions
286 presented with repair tissue non-aligned with the surrounding cartilage with filling of
287 $68.0 \pm 4.6\%$ for 4 mm osteochondral defects and $70.8 \pm 15.4\%$ for 8 mm defects, respectively.
288 All of the 2 mm chondral lesions showed good lesion filling ($77.8 \pm 8.5\%$) whereas filling of
289 the 4 mm chondral defects was $50.6 \pm 2.7\%$ and filling of the 8 mm defects was $31.9 \pm 7.3\%$. 9
290 of 10 of the 4 mm and 8 mm lesions showed only small islands of unstained repair tissue or
291 even a complete absence of repair cartilage in the histological sections. Islands of repair tissue
292 occurred at sites where the subchondral bone plate was disrupted (Figure 8). None of the
293 defects showed lateral expansion. The filling of osteochondral samples did not differ from
294 healthy control cartilage ($p=0.085$) whereas the filling of the chondral samples differed from
295 the controls ($p<0.001$).

296 More than half of the osteochondral lesions in each diameter category showed repair tissue
297 with good Safranin-O stain uptake whereas only one of the chondral lesions showed Safranin-
298 O positive tissue at the repair site (Table 2). As the repair tissue was absent from two 4 mm
299 chondral lesion and four 8 mm chondral lesion samples, those samples were perceived

300 negative for Safranin-O uptake. Typically, the osteochondral samples showed hyaline-like
301 cartilage in the deep or middle part of the repair tissue and fibrous tissue on the surface. The
302 best and the worst repairs in each group are shown in Figure 8.

303 Typically, osteochondral defects showed lower values of OARSI score than chondral defects
304 (Table 1). This is indicative of better tissue quality in the osteochondral samples. Loss of
305 Safranin-O uptake was common in all of the defect sizes. No degenerative changes were
306 detected in the control cartilage adjacent to the lesions.

307 ***Immunohistochemistry***

308 Almost all of the 2 mm osteochondral samples (4 of 5) showed positive type II collagen
309 staining and only one of these samples showed positive type I collagen staining (Figure 7,
310 Table 2). In the 2 mm chondral samples, positive staining for type II and type I collagen was
311 shown in 1 of 5 and 4 of 5 samples, respectively. Fibrocartilage formation was evident in the
312 larger chondral and osteochondral lesions where a mixture of type I and type II collagen
313 positive tissue was present. Since the repair tissue was detached from two of the 4 mm
314 chondral lesions and four of the 8 mm chondral lesions, these were perceived negative for
315 both type I and II collagen.

316

317 **Discussion**

318 The purpose of this study was to determine the intrinsic repair capacity of equine carpal
319 articular cartilage to set a benchmark for studies evaluating articular cartilage repair strategies
320 using the equine carpus as a model. Knowledge on spontaneous repair capacity and critical

321 lesion size improves cost-effectiveness and minimizes animal suffering in animal
322 experiments. The quality and quantity of the repair tissue in both chondral and osteochondral
323 defects were evaluated in this study. Complete tissue regeneration was not achieved as the
324 repair tissue structure differed from healthy cartilage in all the defects. Only the osteochondral
325 lesions with 2 mm diameter showed good Safranin-O staining indicating a good quality of the
326 repair tissue, while equally sized chondral defects failed to spontaneously repair to hyaline
327 cartilage. Chondral defects and osteochondral defects with the diameter of 4 mm and 8 mm
328 showed depletion of proteoglycans and structural disorganization.

329 The healing of equine carpal cartilage defects was first described by Riddle (17) who created
330 superficial and full-thickness defects in the carpus of four horses (150 mm²) and six ponies
331 (100 mm²). He concluded that the superficial defects did not heal past the 8-month time point
332 and that in order for the defects to heal, they should reach the subchondral bone. The
333 importance of the connection to the bone marrow spaces has since been confirmed by others.
334 (18,19) Mean filling of both untreated and microfracture-treated chondral defects of 100 mm²
335 in equine carpus in the study by Frisbie was, however, only 65% or less. (18)

336 In a study evaluating spontaneous healing of full-thickness cartilage defects in the equine
337 carpus by Hurtig et al., (5) lesions with a surface area of 5 mm² were filled with
338 fibrocartilaginous repair tissue but lesions of 15 mm² deteriorated to dense fibrous tissue. This
339 is corroborated by our study, where nearly all full-thickness chondral defects of 3 mm² (2 mm
340 in diameter) showed fibrocartilaginous repair, and larger defects presented with incomplete
341 fibrocartilage covering or no repair tissue at all.

342 Spontaneous defect healing reported in previous equine studies is mainly described as filling
343 of the lesions or formation of fibrous tissue and fibrocartilage. (5,20) Fibrocartilage, however,
344 has lower mechanical strength than hyaline cartilage and as such, it is more prone to wearing
345 out. (21) Durable, long-lasting results can only be achieved by restoration of fully functional
346 hyaline cartilage. (6) The focus of interventions aiming at cartilage repair has shifted from
347 simply filling the lesions to restoring mature hyaline cartilage. In order to reliably determine
348 the critical lesion size, it is paramount to evaluate both the quantity and quality of repair
349 tissue. In the present study, only the osteochondral defects showed hyaline-like repair tissue
350 with higher proteoglycan content and better filling after a 12-month follow-up (Figure 4,
351 Figure 8). Even though small full-thickness chondral defects have been thought to heal
352 spontaneously (3,5,8), the results of this study suggest otherwise. Although the filling in the 2
353 mm defects was good macroscopically, depletion of proteoglycans was evident both in
354 Safranin-O staining and gadolinium-enhanced MR imaging (T_{1Gd}). Structural disorganization
355 and fibrocartilage formation were seen in polarized light microscopy and as a mixture of type
356 I and II collagen staining in immunohistochemistry, and low T_2 and T_{1Gd} values in MRI.
357 (22,23) The deep part of the repair tissue in the osteochondral defects showed a structure
358 closely resembling that of the healthy control tissue in polarized light microscopy, whereas
359 the chondral defects showed poorly organized tissue in each layer, implying a mechanically
360 weaker tissue structure. These findings substantiate the previous studies that show that the
361 repair tissue originates from the bone marrow (24) and explain the poor outcome of the
362 chondral defects.

363 Although the osteochondral defects showed better repair than chondral defects, the bone voids
364 of the deep osteochondral defects did not heal or even became larger, extending up to 9 mm

365 into the bone, during the 12-month follow-up. Even the smallest 2 mm osteochondral lesions
366 showed bone pathologies at the time of the post mortem analysis (4 of 5 specimens). Frequent
367 cyst formation after a disruption of subchondral bone has been reported in previous equine
368 studies. (18,25) The present study makes no exception: chondral lesions presented with no
369 cysts whereas bone defects were detected in osteochondral lesions of all sizes (2, 4 and 8
370 mm).

371 The long-term follow-up time of 12 months in this study gives a better understanding of the
372 spontaneous repair capacity of equine carpal joint cartilage than the shorter time periods of
373 previous studies on spontaneous repair. (8,26) Additionally, this study has several
374 methodological benefits, as current state-of-art methods were used in assessing the repair
375 tissue quality. The tissue was evaluated prior to any processing macroscopically and with
376 μ CT and MRI. The outcome of polarized light microscopy reflects the mechanical strength of
377 the repair tissue. (27,28) Finally, the overall quality of the repair tissue was assessed with
378 histological and immunohistochemical techniques. The findings of different methods support
379 each other.

380 There were some limitations in this study. Since defects were created in different sites of the
381 joint, they were subjected to different weight-bearing conditions. (7,8) All defects with the
382 same diameter were, however, located on the same site and thus the comparison between
383 chondral and osteochondral defects is justified. The third carpal bone, where the 4 mm and 8
384 mm defects were located, bears most weight and is the site in the equine carpus that is most
385 frequently affected by cartilage pathologies. (29) Nonetheless, not even the 2 mm lesions
386 located on the less weight-bearing second carpal bone healed well.

387 Altogether four defects were created in the middle carpal joint of the horses. The combined
388 area of these defects was 94 mm², which might possibly have affected the repair of the
389 individual lesions, although degenerative changes were absent around the lesions or on the
390 articulating surfaces. Further, it is not uncommon to create more defects per joint when using
391 the equine model (30,31). In our study in the carpus, none of the lesions with a diameter of 4
392 mm (13 mm²) or 8 mm (50 mm²) healed with mature hyaline cartilage. Even the smallest 2
393 mm in diameter (3 mm²) lesions, which were initially thought to serve as the control lesions
394 with good spontaneous healing showed repair tissue of questionable quality at 12 months.

395

396 **Conclusion**

397 The horse is a good animal model for cartilage research and, like humans, it has a very limited
398 spontaneous healing capacity. Based on this study, we recommend using 4 mm diameter as
399 the critical size for osteochondral lesions and 2 mm diameter lesion as the critical size for
400 chondral lesions in articular cartilage repair research using the equine carpal joint model.

401

402 **Declaration of Interests**

403 The authors report no conflict of interest. The authors alone are responsible for the content
404 and writing of the article.

405

406 **Funding**

407 This work was supported by the Academy of Finland (Grant #285909) and the Finnish
408 Funding Agency for Innovation Tekes (Grant 3344/31/03).

409

410 **Acknowledgements**

411 The authors wish to thank Outi Kiekara (Department of Anatomy, University of Eastern
412 Finland, Kuopio, Finland) for μ CT and MR imaging. We thank Nora Rauhala (Department of
413 Applied Physics, University of Eastern Finland, Kuopio, Finland) for conducting the ROI
414 analyses on the MRI data and Eija Rahunen (Department of Anatomy, University of Eastern
415 Finland, Kuopio, Finland) for technical assistance with histological sample preparation. The
416 Biomedicum Imaging Unit (Faculty of Medicine, University of Helsinki) is acknowledged for
417 microscopy services and Hannu Kautiainen (Medcare Oy, Äänekoski, Finland) for the
418 statistical analyses.

419

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498 patellofemoral joint model. Osteoarthritis Cartilage 2015 Apr;23(4):648-660.
- 499

500 **Figure legends**

501 Figure 1. Schematic drawing of the left equine carpal bones II-IV with the four different
502 lesion sizes marked with dashed lines.

503 Figure 2. Representative MRI relaxation time maps of the chondral (top row) and
504 osteochondral (bottom row) lesions of 2 mm diameter. Lesion site is immediately below the
505 scale bar (2 mm). Shorter T_{1Gd} relaxation times were observed in both lesions, but more
506 prominently so in the chondral lesion (a). Slight differences as compared to the adjacent tissue
507 were also evident in the T_2 and T_1 relaxation time maps (a, b). The ROI for control tissue is
508 exemplified in the magnification of b. In the magnification of c, the ROI 1 for the repair
509 tissue is marked with red, and the ROI 2 aligned to adjacent healthy cartilage is marked with
510 green.

511 Figure 3. Photographs of the joints taken directly after sacrificing the animals. Chondral
512 lesions are in the left column and osteochondral in the right column. Each horse is represented
513 in its own row. Macroscopically, 5 of 5 osteochondral and 3 of 5 chondral lesions with the
514 diameter of 2 mm were filled with repair tissue. Of the 4 mm lesions, only one chondral
515 lesion (horse D) was well filled, the other lesions were easily distinguishable and not filled to
516 the level of the surrounding cartilage. Each 8 mm defect was clearly visible but one
517 osteochondral defect (horse E) showed good filling in the middle of the lesion.

518 Figure 4. Micro-computational tomographic image of the middle part of each specimen. The
519 osteochondral lesions (b, d, f) presented with subchondral bone resorption, whereas the bone
520 structure in the chondral lesions (a, c, e) was either undisturbed or slightly decreased in
521 density. Arrowheads show the site of the original defect.

522 Figure 5. Mean relaxation time values (ms) of the magnetic resonance imaging in the different
523 cartilage ROIs. Results for the repair tissue only (ROI 1) in each group are shown in (a), and
524 the area aligned to the adjacent healthy cartilage (ROI 2) was divided into a superficial half
525 (b) and deep half (c). Chondral lesions are colored white and osteochondral ones dark gray.
526 The whiskers represent 95% confidence interval. Statistically significant p values are marked
527 in the images.

528 Figure 6. Bar diagrams showing the mean parallelism index (PI, top row) of the collagen
529 fibrils and the mean orientation (bottom row) of the fibrils. Chondral lesions are colored white
530 and osteochondral lesions dark gray. The whiskers represent 95% confidence interval.
531 Statistically significant p values are marked in the images.

532 Figure 7. Representative osteochondral defect with a diameter of 2 mm. a) Polarized light
533 microscopy showed the change in collagen orientation toward the typical tangential
534 orientation in the superficial layer. b) Safranin-O staining showed good filling and abundant
535 proteoglycans in the deep part of the repaired cartilage tissue. c) Immunohistochemical
536 staining for type II collagen. Scale bar: 500 μ m.

537 Figure 8. The best and worst Safranin-O stained histological section in each study group.
538 Repair tissue seemed to originate partly from the subchondral bone at the sites where the
539 calcified cartilage was disrupted (arrows). Scale bars: 1 mm.