

1 **Beyond rest and quiescence (endo- and ecodormancy):**
2 **a novel model for quantifying plant-environment interaction**
3 **in bud dormancy release**

4

5 **Robin Lundell^{1,*} | Heikki Hänninen^{2,*} | Timo Saarinen¹ | Helena Åström¹ | Rui**
6 **Zhang²**

7

8 ¹Organismal and Evolutionary Biology Research Programme, Faculty of Biological
9 and Environmental Sciences, Viikki Plant Science Centre, University of Helsinki,
10 Finland

11

12 ²State Key Laboratory of Subtropical Silviculture, Zhejiang A&F University,
13 Hangzhou, P.R. China

14

15 *Robin Lundell and Heikki Hänninen should be considered as joint first author

16

17 **Correspondence**

18 Heikki Hänninen, Zhejiang A&F University, State Key Laboratory of Subtropical
19 Silviculture, Hangzhou, P.R. China.

20 Email: hhannin@zafu.edu.cn

21

22 **Funding Information**

23 The Academy of Finland (project 122194), the Nessling Foundation (project
24 2006253), the Jenny and Antti Wihuri Foundation, the Swedish Cultural Foundation
25 in Finland, and Societas pro Fauna et Flora Fennica.

26 **Abstract**

27 Bud dormancy of plants has traditionally been explained either by physiological
28 growth arresting conditions in the bud, or by unfavourable environmental conditions,
29 such as non-growth-promoting low air temperatures. This conceptual dichotomy has
30 provided the framework also for developing process-based plant phenology models.
31 Here we propose a novel model which in addition to covering the classical dichotomy
32 as a special case also allows the quantification of an interaction of physiological and
33 environmental factors. According to this plant-environment interaction suggested
34 conceptually decades ago, rather than being unambiguous, the concept of “non-
35 growth-promoting low air temperature” depends on the dormancy status of the plant.
36 We parameterized the model with experimental results of growth onset for seven
37 boreal plant species and found that based on the strength of the interaction the species
38 can be classified into three dormancy types, only one of which represents the
39 traditional dichotomy. We also tested the model with four species in an independent
40 experiment. Our study suggests that interaction of environmental and physiological
41 factors may be involved in many such phenomena which have until now been
42 considered simply as plant traits without any considerations of effects of the
43 environmental factors.

44

45 **KEYWORDS**

46 chilling; dormancy; ecodormancy; endodormancy; forcing; growth onset; phenology
47 models; post-rest; quiescence; rest.

1 | INTRODUCTION

Most perennial northern plants cease growth and enter a state of bud dormancy at the onset of winter, with a concomitant increase in frost hardiness. During spring an opposite sequence of developmental phenomena leading to a loss of frost hardiness and growth onset takes place. At the whole plant level, this annual cycle of growth and dormancy is relatively well-understood in trees and other woody plants (Fuchigami, Weiser, Kobayashi, Timmis, & Gusta, 1982; Hänninen, 2016; Hänninen & Tanino, 2011; Sarvas, 1972, 1974), whereas much less is known about the annual cycle of herbaceous northern perennials (Yoshie & Yoshida, 1989). Furthermore, despite the progress during the last few decades, the molecular and physiological mechanisms of the annual cycle remain still only partially understood in woody plants (Arora, Rowland, & Tanino, 2003; Brunner, Evans, Hsu, & Sheng, 2014; Cooke, Eriksson, & Junttila, 2012; Fan et al., 2010; Horvath, Anderson, Chao, & Foley, 2003; Junttila, 2007; Kudoh, 2016; Lee et al., 2017; Rinne & van der Schoot, 2003; Rohde & Bhalerao, 2007; Tanino, Kalcsits, Silim, Kendall, & Gray, 2010; Tylewicz et al., 2018; Zhang et al., 2018). So, as molecular markers are not yet available for quantifying the dormancy status of the bud, studies on rest break need still to be based on observations of growth onset in chilling-forcing experiments carried out at the whole plant level (Hänninen et al., 2019).

67

Bud dormancy of plants has traditionally been explained either by physiological growth arresting conditions in the bud, or by unfavourable environmental conditions, such as non-growth-promoting low air temperatures. According to the terminology adopted in the present study (Fuchigami et al., 1982; Hänninen, 2016; Hänninen et al., 2019; Hänninen & Kramer, 2007; Weiser, 1970), the former is referred to as rest (=

73 endodormancy in the terminology of Lang , Early, Martin, & Darnell, 1987), and the
74 latter as quiescence (= ecodormancy in Lang et al.'s (1987) terminology). During the
75 last decades this traditional conceptual dichotomy has been also the basis for
76 developing process-based simulation models for boreal and temperate trees (for
77 reviews, see Chuine, de Cortazar-Atauri, Kramer, & Hänninen, 2013; Chuine &
78 Régnière, 2017; Hänninen, 2016; Hänninen & Kramer, 2007). In addition to trees,
79 these models have also been applied to dwarf shrubs (Pop, Oberbauer, & Starr, 2000;
80 van Wijk, Williams, Laundre, & Shaver, 2003) and herbaceous crop cultivars (Tanino
81 & Wang, 2008), but as far as we know, not to native herbaceous plants. Currently,
82 these models are often used for assessing the effects of climatic warming on the
83 springtime phenology of trees (Chen, Wang, & Inouye, 2017; Chuine et al., 2016;
84 Hänninen, 2016).

85

86 In process-based plant phenology models, effects of environmental factors, mainly air
87 temperature, on two processes are quantified (see Chuine et al. 2013 and Hänninen
88 2016, and the references therein): First, exposure to low chilling temperatures drives
89 the process of rest break, that is, the removal of the growth arresting physiological
90 conditions in the buds (chilling requirement of rest completion). Secondly, exposure
91 to high forcing temperatures drives the process of ontogenetic development, that is,
92 the microscopic anatomic changes in the bud leading to visible bud burst (high
93 temperature requirement of growth onset). The state of rest break affects the rate of
94 ontogenetic development in the models but following the conceptual dichotomy of
95 rest and quiescence, there is no interaction between the state of rest break and the
96 prevailing air temperature. In other words, the form of the air temperature response of

97 rate of ontogenetic development is similar in different phases of the rest period, even
98 though the level of the curve varies (Fig. 1a).

99

100 The conceptual dichotomy of rest and quiescence was originally questioned by Vegis
101 (1964) who presented for the dormancy phenomena of higher plants an alternative
102 conceptual model based on interaction of the rest status and prevailing air
103 temperature. According to Vegis' (1964) conceptual model, the growth promoting
104 temperature range gets narrower as rest is induced during a period referred to as pre-
105 rest, and gradually widens again as rest is broken during a period of post-rest. Some
106 plants exhibit a period of true rest between pre- and post-rest, in which growth does
107 not occur at any temperature. Vegis (1964) introduced various types of the narrowing
108 (pre-rest) and widening (post-rest) of the growth promoting temperature range.
109 Accordingly, in northern plants growth is possible during pre- and post-rest in
110 relatively high, but not in low, temperatures. This phenomenon is well-documented in
111 dormant seeds of many northern plants which have been observed to germinate during
112 dormancy in high but not in low temperatures (Junttila, 1970, 1976; Salažs & Ievinsh,
113 2004). Junttila & Hänninen (2012) found support for Vegis's (1964) conceptual
114 model also with buds of *Betula pendula* and *B. pubescens* seedlings. However,
115 compared with the widely used conceptual model based on the dichotomy of rest and
116 quiescence, Vegis's (1964) conceptual model including the interaction has been only
117 rarely addressed with buds of northern plants (Cooke et al., 2012; Hänninen, 1990).
118 Furthermore Vegis' (1964) conceptual model has not been addressed in quantitative
119 terms as it has not been included in process-based tree phenology models (but see
120 Discussion). This is unfortunate, since when revisiting Vegis' (1964) conceptual

121 model Hänninen (2016) found that the model has a high potential for improving our
122 understanding of dormancy phenomena in northern perennial plants.

123

124 Here we introduce a novel model for the quantification of plant-environment
125 interaction in bud dormancy release (Fig. 1b). The model is flexible such that the
126 extent of the interaction can vary depending on the particular experimental results
127 obtained for the examined plant species. The model includes as a special case the
128 classical model based on the dichotomy of rest and quiescence with no interaction of
129 state of rest break and prevailing air temperature (Fig. 1a). We parameterize the
130 model for seven boreal field layer plant species with results from a growth chamber
131 experiment where the timing of growth onset of the plants is observed in particular
132 temperature treatments designed for estimating the model parameter values. No
133 molecular or physiological markers are available for observing directly the
134 quantitative progress of rest break (Hänninen et al., 2019), so our modelling is based
135 on the hypothetico-deductive approach where the rest break processes are examined
136 indirectly based on their implications to the timing of growth onset at the whole plant
137 level (Hänninen, 2016). Based on the estimated parameter values we make inferences
138 about the chilling requirement of rest completion and the extent of the interactive
139 effect in each of the examined seven plant species. Finally, we carry out an
140 independent test of the model by data from an experiment where growth onset of the
141 plants was observed in growth chambers after the plants had overwintered in different
142 climatic conditions in the field at different geographical locations.

143

144 2 | MATERIAL AND METHODS

2.1 | Growth onset experiment

Plants of seven boreal field layer species common in Finland were grown in pots from either seeds, or from plants planted in the pots after collecting them from their natural growing site. The species were chosen to represent various growth forms from either a boreal forest floor, or a meadow habitat (Table 1). The seeds were sown and the plants planted in early spring 2008, and the plants were grown in pots outdoors over the summer and autumn at the Viikki Campus of the University of Helsinki, Finland (60°13.6'N, 25°1.2'E). In the autumn the height of the plants varied between 1-2 cm (*Fragaria vesca*, *Hypericum perforatum*) and 10-15 cm (*Vaccinium myrtillus*, *Vaccinium vitis-idaea*). On 15 October 2008 the potted plants were gently packed in cardboard boxes and transported to a dark freezer storage room at the Finnish Forest Research Institute (currently the Natural Resources Institute Finland) research station in central Finland in Suonenjoki, where they were kept at a constant temperature of -2.5 °C.

On four occasions during the winter (11 November 2008, 30 December 2008, 10 February 2009, and 17 March 2009) a subset of the plants was transported back to the Viikki Campus and transferred to four growth chambers (Sanyo ML-350) at +5 °C, +10 °C, +15 °C, and +20 °C, respectively, with 10 plants per species in each treatment (8 plants for *Oxalis acetosella*). In all temperature treatments the daylength in the chambers for the four transfers was 8 h, 6 h, 8 h, and 10.5 h, respectively, which approximated the natural daylength outdoors at the time of the transfer. The light intensity in the chambers was moderately low. The plants were monitored every day, and the date of growth onset was determined following rules designed separately for each species according to the phenomena typical for its growth onset (Table 1).

170 Subsequently, the number of days required for growth onset after the transfer to the
171 growth chamber was counted.

172

173 2.2 | A model for rest break and growth onset

174 In the current process-based phenological models, the state of rest break is in general
175 simulated by accumulating chilling temperatures and the state of ontogenetic
176 development by accumulating high (forcing) temperatures (Chuine et al., 2013;
177 Chuine & Régnière, 2017; Hänninen, 2016; Hänninen & Kramer, 2007). The
178 accumulation of the chilling regulates the high temperature accumulation, thus
179 simulating the real-world phenomenon where the rest condition regulates rate of
180 ontogenetic development towards bud burst. Among the different formulations used
181 for describing this phenomenon in the tree phenology models, the one based on the
182 concept and variable of *ontogenetic competence* (Hänninen, 1990, 2016; Hänninen &
183 Kramer, 2007) was applied in the present study. The ontogenetic competence, C_o , is a
184 dimensionless $[0,1]$ multiplier mediating the effect of rest status on the rate of
185 ontogenetic development. When ontogenetic development is fully arrested during rest,
186 the bud has no ontogenetic competence ($C_o = 0$). Ontogenetic competence is restored
187 from $C_o = 0$ to $C_o = 1$ during rest break, either abruptly as in sequential models
188 (Richardson, Seeley, & Walker, 1974; Sarvas, 1972, 1974), or gradually, as in parallel
189 models (Fig. 1a; Campbell, 1978; Campbell & Sugano, 1975; Cannell & Smith, 1983;
190 Landsberg, 1974).

191

192 As the starting point of our novel model, we use the parallel model, as formulated by
193 Hänninen (1990, 2016) and Hänninen & Kramer (2007). That formulation is

structured by means of three sub-models (Fig. 2): one for the progress of rest break, one for the progress of ontogenetic development, and one for the ontogenetic competence. The sub-model for the ontogenetic competence ties the two other sub-models together. In the parallel model the value of the ontogenetic competence is determined by the state of rest break; whereas in the novel model developed in the present study, it is determined by an interaction of the state of rest break and the prevailing temperature (Fig. 2).

The effect of temperature on the rate of ontogenetic development towards growth onset of plants with a fully satisfied chilling requirement, that is, the potential rate of ontogenetic development with respect to temperature, is given by the sigmoid temperature response curve (Fig. 1a, black curve 4):

$$R_{o,pot}(t) = \begin{cases} 0 & T(t) < T_{thr} \\ \left(\frac{100}{H_{crit}}\right) \left(\frac{1}{1 + \exp(-a(T(t) - b))}\right) & T(t) \geq T_{thr} \end{cases} \quad (1)$$

where $R_{o,pot}(t)$ is the potential rate of ontogenetic development at time t , $T(t)$ is temperature, H_{crit} is the high temperature requirement of growth onset, T_{thr} is the low threshold temperature for ontogenetic development (Fig. 1a), a is a parameter defining the steepness of the curve, and b is a parameter indicating the temperature at the inflexion point of the response curve (Hänninen, 1990, 2016; Hänninen & Kramer, 2007; Sarvas, 1972). Parameters a and b determine the shape of the sigmoid curve, whereas the reciprocal of the parameter H_{crit} is a scaling factor: the higher the value of

216 H_{crit} , the lower is the potential rate of ontogenetic development at any given
 217 temperature T (see Hänninen, 2016, p. 64).

218

219 At time t , the actual rate of ontogenetic development $R_o(t)$ is given by (Hänninen,
 220 1990, 2016; Hänninen & Kramer, 2007):

221

$$222 \quad R_o(t) = C_o(t) \times R_{o,pot}(t) \quad (2)$$

223

224 where $C_o(t)$ is the ontogenetic competence, that is, a $[0,1]$ multiplier determining how
 225 large part of the potential rate of ontogenetic development is realised in the actual
 226 rate. In the parallel model, the value of $C_o(t)$ increases from zero (or a minimal value)
 227 to unity when the accumulated chilling increases from zero to the critical amount
 228 required for rest completion. Subsequently, the sigmoidal air temperature response of
 229 rate of ontogenetic development is scaled up as a result of chilling accumulation (Fig.
 230 1a; Hänninen, 1990; Hänninen 2016, p. 84; Hänninen & Kramer, 2007).

231

232 In the present study, the simple formulation of the parallel model for $C_o(t)$ was
 233 replaced by a novel formulation allowing also the interactive effect of state of rest
 234 break and prevailing temperature on $C_o(t)$ (Figs 1b & 2):

$$235 \quad C_{o,prel}(t) = cT(t) - d + \frac{S_r(t)}{100}(d + 1) \quad (3)$$

$$236 \quad C_o(t) = \begin{cases} 0 & C_{o,prel}(t) < 0 \\ C_{o,prel}(t) & 0 \leq C_{o,prel}(t) \leq 1 \\ 0 & C_{o,prel}(t) > 1 \end{cases} \quad (4)$$

237 where $T(t)$ is temperature, $S_r(t)$ is the state of rest break at time t (see below), $C_{o,prel}(t)$
 238 is an auxiliary variable needed for keeping the values of the ontogenetic competence,
 239 $C_o(t)$, in the range $[0,1]$; c and d are parameters. This formulation accounts both for
 240 the period of true rest, that is, no ontogenetic development at any temperatures; and
 241 the post-rest period, where the plant experiences ontogenetic development towards
 242 growth onset at high temperatures but not at low temperatures. When the value of
 243 both parameters c and d are zero, then the model reduces to the parallel model, so that
 244 the growth competence $C_o(t)$ does not depend on temperature.

245

246 The air temperature response of the rate of rest break was modelled as:

247

$$248 \quad R_r(t) = \begin{cases} 0 & T(t) < T_1 \\ \left(\frac{100}{C_{crit}}\right) & T_1 \leq T(t) \leq T_2 \\ 0 & T(t) > T_2 \end{cases} \quad (5)$$

249 where $R_r(t)$ is the rate of rest break at time t , C_{crit} is the chilling requirement for rest
 250 completion, and T_1 and T_2 are the upper and lower thresholds for the rest breaking
 251 temperature range, respectively. In order to approximate the real, probably more
 252 complicated temperature response of the rate of rest break (Hänninen, 2016; Hänninen
 253 et al., 2019; Hänninen & Kramer, 2007; Harrington, Gould, & StClair, 2010; Sarvas,
 254 1974), a simple uniform temperature response was used because our chilling
 255 experiment only included one chilling temperature and thus did not allow the
 256 determination of the real temperature response of rest break.

257

258 The state of rest break at time t is then given by:

259

$$S_r(t) = \sum_{i=t_0}^t R_r(i) \quad (6)$$

261

262 evaluated with a discrete time step of one day starting from t_0 . The chilling
 263 requirement is met and rest is completed when $S_r(t)$ reaches or exceeds 100, but as the
 264 state of ontogenetic competence starts increasing already before this, ontogenetic
 265 development towards growth onset is possible in the plant before rest is completed.

266

267 Growth onset occurs when the state of ontogenetic development, S_o , given by

268

$$S_o(t) = \sum_{i=t_0}^t R_o(i) \quad (7)$$

270

271 evaluated with a discrete time step of one day, reaches or exceeds 100 (Hänninen,
 272 2016; Hänninen & Kramer, 2007).

273

274 **2.3 | Estimation of model parameters**

275 The results from the growth onset experiment were used to parameterize the rest
 276 break and growth onset model (Eqns 1-7). It was assumed that the chilling
 277 requirement was met and rest was completed on 17 March 2009, when the last subset
 278 of plants was transferred from the freezer storage to the growth chambers.
 279 Accordingly, the experimental results of this transfer were taken as representative of
 280 the potential rate of ontogenetic development towards growth onset, $R_{o,pot}$, addressed

281 in Eqn 1; so that for each species the values of the parameters H_{crit} , a , and b were
282 determined by fitting Eqn 1 to the results of that transfer. In order to determine the
283 empirical value of $R_{o,pot}$ for each species and temperature (+5 °C, +10 °C, +15 °C, and
284 +20 °C), the number of days required for growth onset after the transfer to the growth
285 chamber by each individual plant at that particular temperature was first determined.
286 Subsequently, the empirical value of $R_{o,pot}$ was calculated by multiplying by 100 the
287 reciprocal of the average number of days required for growth onset (Campbell, 1978;
288 Hänninen, 2016, p. 54; Sarvas, 1972). Finally, Eqn 1 was fitted to the four empirical
289 data points of $R_{o,pot}$ thus obtained.

290

291 The values of the parameters C_{crit} , c , and d were then determined for each seven
292 species by running the full model (Eqns 1-7) starting from the day the plants were
293 taken to the freezer storage, that is, t_0 = 15 October 2008. The parameter values which
294 minimized the root-mean-square error between the modelled and the observed growth
295 onset dates of the plants in all four temperatures at all four starting times of the
296 growth chamber experiments were determined by using a simulated annealing
297 optimisation algorithm (Bertsimas & Tsitsiklis, 1993; Chuine, Cour, & Rousseau,
298 1998; Kirkpatrick, Gelatt, & Vecchi, 1983) with a slow, exponential annealing
299 schedule (Nourani & Andresen, 1998). When running the model for estimating the
300 three parameters (C_{crit} , c , and d), fixed values of the following parameters were used:
301 In Eqn 1 fixed species-specific estimated values of the parameters H_{crit} , a , and b were
302 used (see above), and the value of T_{thr} was set at 0 °C (Hänninen, 1990, 2016;
303 Hänninen & Kramer, 2007). In Eqn 4, the lower threshold temperature for chilling,
304 T_1 , was set at -3.4 °C, which is the lowest effective chilling temperature according to
305 Sarvas (1974); and the upper threshold temperature for chilling, T_2 , was arbitrarily set

306 at +4.4 °C. This was based on a scrutinization of the experimental results and
307 preliminary test runs with the model which showed that there was no indication of
308 additional chilling taking place at the lower growth chamber temperatures (+5 °C and
309 +10 °C) even in the two earliest plant transfer sets with limited previous chilling in
310 the freezer storage. In other words, if a temperature higher than +4.4 °C would have
311 had a chilling effect, then in the early transfers the time required to growth onset in
312 the +5 °C forcing (and to some extent also in the +10 °C forcing) would have been
313 shorter than without assuming any such chilling effect of the forcing temperature. The
314 effect would have been more prominent at +5 °C than at +10 °C, but no such
315 shortening was found in the preliminary model runs. The optimisation algorithm was
316 restarted from intermediate optima several times, and multiple runs were carried out
317 to ensure the quality of the parameter values found. All simulations and optimisations
318 were carried out with Wolfram Mathematica 8.04 (Wolfram Research, Illinois, USA).

319

320 2.4 | Independent model testing

321 The rest break and growth onset models parameterized with the growth chamber
322 experiment were tested with data from an independent experiment with potted
323 individual plants of *H. perforatum*, *F. vesca*, *V. vitis-idaea* and *V. myrtillus*. The
324 plants, grown outdoors at the Viikki Campus of the University of Helsinki, were
325 divided in three groups of thirty potted plants per species, and transferred on the 25
326 and 26 October 2011 to overwintering sites at Nåtö biological station in the Åland
327 archipelago (60°2.8'N, 19°58.5'E), at Lammi biological station (Lammi,
328 Hämeenlinna, 61°3.3'N 25°2.6'E), and at the Viikki Campus. At each site the potted
329 plants were located on the ground in baskets (Fig. S1) so that during winter they were
330 covered by snow, if any. The overwintering sites represent three different types of

winter climate, but have approximately similar photoperiods, thus facilitating the independent testing of the model developed in the present study. The climate at Nåtö is slightly maritime with mild winters and an ephemeral snow cover, while the climate at Lammi is more continental with cold winters and a persistent snow cover. The climate at Viikki is intermediate between the two other sites. Subsets of ten plants per species were brought back on three occasions from each of the overwintering sites and placed in growth chambers (Sanyo ML-350) at +10 °C with a daylength corresponding to the natural daylength at the respective times of transfer (6 h, 8 h, and 13,5 h, respectively). The transfers took place on 14-15 December 2011, 21-22 January 2012, and 3-6 April 2012. The plants were monitored daily and the time of growth onset was determined as described above (Table 1).

For each of the four species, an independent model prediction for the timing of growth onset in the growth chamber was calculated. To this end, the model was run with its fixed species-specific parameter values estimated based on the results of the growth chamber experiment documented above, starting from the $t_0 = 15$ October 2011, using as input daily averages of hourly air temperature data gathered at each of the three overwintering sites using iButton temperature loggers positioned next to the pots about 10 cm above the ground and shielded with cylindrical PVC radiation shields. The temperature data was extended with growth chamber temperature data for the period following the transfer to the growth chambers. The results of the model simulations were compared with the independent observations of growth onset recorded in the growth chambers.

355 2.5 | Statistical analyses

356 The effects of the time of transfer to the growth chamber (November, December,
357 February, March) and the air temperature in the growth chamber (+5 °C, +10 °C, +15
358 °C, +20 °C) on the number of days to growth onset was analysed by means of a two-
359 way ANOVA, using SPSS software (version 16.0, SPSS Inc., Chicago, USA).

360

361 3 | Results

362 With the exception of a few outliers, the growth onset percentage was at or near 100
363 % in all treatments in *H. perforatum*, *Leucanthemum vulgare*, *Linnaea borealis*,
364 and *O. acetosella* (Table S1). In *F. vesca* and *V. myrtillus* no growth onset took place
365 at +5 °C in the November transfer, and in *V. myrtillus* growth onset percentage in that
366 transfer was well below 100 % also at the higher temperatures between +10 and +20
367 °C. In *V. vitis-idaea* the growth onset percentage at +5 °C was low in the November
368 and December transfers (Table S1).

369

370 As expected, growth onset required significantly fewer days at high than at low
371 temperatures in all species in the growth chamber experiment (Fig. 3, $p < 0.001$; Table
372 2). However, there were differences among species in how this temperature response
373 changed over the course of the experiment according to the transfer time. In *H.*
374 *perforatum*, there was practically no difference in the response among transfer times
375 so that at each temperature approximately the same number of days was required for
376 growth onset in the four transfers (Fig. 3a; $p = 0.09$; Table 2). This indicates either a
377 low chilling requirement which was met already before the November transfer, or no
378 rest period and chilling requirement at all in this species. In the other six species, the

time required for growth onset decreased from the early transfers to the later ones ($p < 0.001$, Table 2), but depending on the species, the decrease levelled off during the latest transfers (Figs 3b-g).

The fitted temperature responses of the potential rates of ontogenetic development towards growth onset also showed variation among species (Table 3; Fig. 4). *F. vesca* and *L. vulgare*, both rosette plants from meadow habitats, showed generally the highest potential rates (Fig. 4a). They were followed by the rhizomatous herbs *O. acetosella* and *H. perforatum* representing boreal forest floor and meadows, respectively (Fig. 4a). However, in the lowest test temperature of +5 °C this ranking was slightly changed as *O. acetosella* showed second highest potential rate in that temperature (Fig. 4a). The dwarf shrubs *V. vitis-idaea*, *V. myrtillus*, and *L. borealis*, all originating from the boreal forest floor, showed the lowest potential rates of development (Fig. 4b).

The results for the interactive effect of state of rest break and temperature on the ontogenetic competence, $C_o(t)$, are shown in Fig. S1 and the corresponding estimated parameter values for $C_o(t)$ are reported in Table 3. Based on the experimental results, three dormancy types were identified. The final air temperature responses, drawn by all of the parameter values reported in Table 3, are given in Fig. 5 for one representative species of each dormancy type.

In Dormancy type 1, the ontogenetic competence increases as the state of rest break increases as a result of chilling accumulation, but is fairly independent of the

403 temperature. This type of response is exhibited by *V. myrtillus* and *L. vulgare* (Fig. 5a;
404 Fig. S2a,b). In this type there is practically no interaction of previous chilling and
405 temperature on the ontogenetic competence, so that the model developed in the
406 present study (Eqns 3, 4) reduces into the parallel model (Fig. 5a, compare with Fig.
407 1a). In Dormancy type 2, there is a moderate interaction, as indicated by the moderate
408 response of ontogenetic competence to temperature. This type is represented by *O.*
409 *acetosella*, *L. borealis* and *V. vitis-idaea* (Fig. 5b; Fig. S2c,d,e). In Dormancy type 3,
410 represented by *F. vesca*, there is a strong interaction indicated by a steep response of
411 ontogenetic competence to temperature (Fig. 5c; Fig S2f). Accordingly, the full
412 potential rate of ontogenetic development is attained even without any previous
413 chilling in high temperatures, whereas the rate of ontogenetic development is zero at
414 that time in low temperatures ($S_r = 0\%$, red curve in Fig. 5c). With increased
415 accumulation of chilling ontogenetic development becomes possible in successively
416 lower temperatures (Fig. 5c). In all, then, the results obtained for *F. vesca* are a typical
417 case of a strong interaction of state of rest break and the prevailing air temperature in
418 the rate of ontogenetic development towards bud burst (compare Fig. 1b).

419

420 *H. perforatum* may also represent Dormancy type 3, but due to the lack of a growth
421 onset experiment in early autumn before November and the low, if any, chilling
422 requirement of this species, the results are uncertain. The fitting algorithm found
423 numerous parameter sets with equally good fit to the data (results not shown). That is,
424 because the chilling requirement of the species is low, any parameter set that results in
425 an ontogenetic competence close to 1 already in the +5 °C treatment in November
426 will fit the experimental results equally well. This happens with a large number of
427 parameter sets that combine steep temperature responses of $C_o(t)$ with low values for

the C_{crit} parameter. The results for one representative parameter set are shown in Fig. S2g.

In the independent test, the model predicted in most cases the observed growth onset with an accuracy of 3 - 10 days (Fig. 6; Table S2). The model prediction was slightly biased, as the predicted growth onset usually took place before the observed one (Fig. 6). More importantly, the model prediction failed in the following three cases: First, no growth onset was observed in the December transfer with *V. myrtillus* after overwintering at any of the three sites, but a growth onset was predicted for all three of them (Figs. 6d-f). Second, for the December transfer a very late growth onset was predicted for *F. vesca* after overwintering in Nåtö, but an early growth onset was still observed with it (Fig. 6i; Table S2). This inaccuracy is in striking contrast with the results obtained with the same species after overwintering at the two other sites, where the accuracy of the model prediction was close to the average (Figs. 6 g & h; Table S2). Third, the growth onset of *H. perforatum* was predicted to occur at the three overwintering sites already before the third transfer, but it was observed only after the transfer to the growth chamber (Figs. 6j-l). Because of these exceptional failures the RMSE varied in the independent test from 9.2 to 19.2 days, and because no bud burst was observed in *V. myrtillus* in the December transfers it was not possible to calculate the value of RMSE for that species (Table S2).

4 | DISCUSSION

4.1 | Insight into the dormancy phenomena of plants

451 The results of the present study suggest that the effects of temperature on rest break
452 and subsequent growth onset of plants are more complicated than assumed in the
453 classical dichotomy of the rest (endodormancy) and quiescence (ecodormancy)
454 concepts (Doorenbos, 1953; Fuchigami et al., 1982; Hänninen, 2016; Lang et al.,
455 1987; Romberger, 1963; Weiser, 1970). Based on the present results, it was possible
456 to identify three dormancy types of the plants. Plant species following the classical
457 dichotomy concept were classified into Dormancy type 1 (*V. myrtillus*, *L. vulgare*). In
458 the other species the interaction of previous chilling and prevailing temperature was
459 seen. Species with a moderate interaction were classified into Dormancy type 2 (*O.*
460 *acetosella*, *L. borealis*, *V. vitis-idaea*), and those with a strong interaction into
461 Dormancy type 3 (*F. vesca*, possibly also *H. perforatum*, but the latter was uncertain
462 due to problems in the model fitting).

463

464 The strong interaction included in the Dormancy type 3 results in dramatic changes in
465 the shape of the temperature response of rate of ontogenetic development during the
466 rest period (Fig. 5c). Ontogenetic development takes place during early phases of rest
467 only in temperatures above a high threshold of 12 – 14 °C, but this threshold is
468 lowered as rest progresses. Furthermore, the slope of the curve changes so that during
469 the early phases of rest the curve is exceptionally steep, but later during rest the curve
470 resumes a slope more similar to the other dormancy types (Fig. 5). In other words,
471 during early phases of rest the rate of development is close to its maximal rate
472 whenever temperature is high enough to promote any ontogenetic development, but
473 later the rate of development increases with rising temperature on a broad temperature
474 range.

475

The strong interaction found for *F. vesca* belonging to Dormancy type 3 was seen also in the growth onset percentages for that species in the November transfer: 0, 70, 100, and 100 % for the forcing temperatures of +5, +10, +15, and +20 °C, respectively (Table S1). The growth onset percentage was in the November transfer at +5 °C 0 % also in *V. myrtillus*. However, in that species the failure of growth onset at +5 °C in November transfer was not caused by the interaction, because a reduced growth onset percentage was observed in the November transfer in that species also at the higher temperatures (Table S1). These findings are in accordance of *V. myrtillus* being classified in Dormancy type 1. In *V. vitis-idaea* the growth onset percentages were in the November transfer low in the two lowest temperatures (Table S1). This is in accordance with the moderate interaction of that species classified into Dormancy type 2.

One can only speculate on the ecological and physiological background of the dormancy types found in the present study. *F. vesca* and less conclusively *H. perforatum* were classified into Dormancy type 3. They are both meadow plants, but so is also *L. vulgare*, which was classified into Dormancy type 1. Accordingly, the dormancy types do not appear to have any relation to the habitat of the species. However, the change of the air temperature response involved in Dormancy type 3 (Fig. 5c) prevents a premature growth onset during mild spells (0 – 10 °C) in winter, thus avoiding damage during subsequent frost periods (Hänninen, 2016). Based on this reasoning, two hypotheses can be presented. Ecologically it can be hypothesised that species belonging to Dormancy type 3 are common in locations with relatively maritime climates, where the winters are in general mild, but intermittent frost periods still occur. However, the only species which was in the present study clearly found to

501 belong to Dormancy type 3, *F. vesca*, is native to a large part of the Northern
502 hemisphere, so our limited findings do not support the hypothesis. Physiologically it
503 can be hypothesised that as the plants belonging to Dormancy type 3 avoid a
504 premature growth onset during mild spells in winter, they maybe just before and at the
505 time of growth onset less frost hardy than the plants belonging to the other two
506 dormancy types.

507 Our findings show that the concept of “unfavourable environmental factor” included
508 in the classical quiescent (ecodormancy) concept is not unambiguous (Hänninen,
509 2016). This notion has an important implication: Whenever the interaction of
510 physiological and environmental factors suggested by Vegis’ (1964) conceptual
511 model is present, it is not possible to divide the dormancy status categorically into the
512 two types of rest and quiescence. This notion is in accordance with the current
513 understanding of the physiological and molecular basis of plant dormancy, which
514 emphasises the dynamic and quantitative nature of the dormancy mechanisms, rather
515 than strict categories and limits between dormancy phases (Cooke et al., 2012).

516

517 Our finding has also an important methodological implication: Whenever the
518 interaction examined in the present study is present, the results of any chilling-forcing
519 experiment addressing the chilling requirement depend on the forcing temperature
520 applied. Studies applying high forcing temperatures will imply lower chilling
521 requirements than those applying low forcing temperatures. For this reason, Vegis’
522 (1964) conceptual model is important also for practical forestry and horticulture.

523

Recently, Hochberg, Rockwell, Holbrook, & Cochard, (2018) introduced for studies of plant water relations a plant-environment interaction basically similar to the one we are now suggesting for bud dormancy release. These two novel approaches may be manifestations of an upcoming general paradigm change in plant biology. The interaction of environmental and physiological factors may be involved in many such phenomena which have until now been considered simply as plant traits without any considerable effects of environmental factors.

4.2 | Process-based plant phenology modelling

Hänninen (1990) made the first attempt to introduce the interactive conceptual model of Vegis (1964) into the process-based phenology models. More recently, Hänninen (2016) provided a new model formulation including Vegis' (1964) conceptual model. However, in both of these studies the models were used only for theoretical simulations illustrating the implications of Vegis' (1964) conceptual model, without parameterizing the model for any plant species. In the DORMPHOT model of Caffarra, Donnelly, & Chuine (2011) developed for *Betula pubescens*, a complicated interaction of photoperiod, chilling, and prevailing air temperature is assumed. In their model, long photoperiods shift the air temperature response of rate of ontogenetic development into lower temperatures, and chilling affects the extent of this shift. This interaction is in broad accordance with Vegis' (1964) conceptual model, but no reference to his study was given by Caffarra et al. (2011). Thus, to our knowledge, our study is the first one where Vegis' (1964) conceptual model is addressed explicitly and operationally in quantitative plant phenology modelling.

548 The model development in the present study was based on one growth chamber
549 experiment. It goes without saying that the present results should be interpreted with
550 care, and further experiments are needed to test the conclusions. However, in most
551 cases the model predicted the observed bud burst with an accuracy of 3-10 days in an
552 independent test whose results were not used for formulating the model. This suggests
553 that our model roughly describes real physiological phenomena of the plants. In a few
554 cases the model failed, however, rather than completely rejecting the model, these
555 deviations may indicate the need to adjust the model based on additional experimental
556 work (Hänninen, 2016).

557

558 For instance, the model predicted for *F. vesca* that attaining the state of rest of $S_r(t) =$
559 20.0 % at the time of the first transfer from Nåtö would not facilitate a regular rapid
560 ontogenetic development towards growth onset at +10 °C in the growth chamber,
561 however, in contrast to that prediction, growth onset was observed in the growth
562 chamber after the transfer (Fig. 6i). In the corresponding transfer from Viikki with
563 $S_r(t) = 24.2$ % the development was accurately predicted (Fig. 6h). Thus, a difference
564 of 4.2 % in the value of $S_r(t)$ had a major effect to the model performance. This
565 comparison suggests that the parameters of the model for rest break (Eqn 5) and/or
566 those of the model for ontogenetic competence (Eqns 3 & 4) should be reconsidered
567 with further experimental work.

568

569 The model for rest break (Eqn 5) would be the first one to be addressed. It was not
570 possible to determine the air temperature response of rate of rest break as our
571 experiment only included one chilling temperature. Accordingly, we needed to use a

rough approximation of that response. Uncertainties in the rest model were also probably causing the failure of the model with *V. myrtillus*: growth onset was predicted to occur for all three overwintering locations in the growth chamber also after the shortest chilling in the first transfer, but unlike in the later transfers, no growth onset took place after the first transfer for any of the three overwintering locations (Figs. 6d-f).

We used a low chilling temperature (-2 °C) in order to make sure that no ontogenetic development towards growth onset takes place already in the chilling conditions before transfer to the forcing conditions. Sometimes only temperatures above zero are considered as effective in chilling (Hänninen, 2016). However, in our study the BDD values decreased from the early to the late transfers, and the differences in the DBB values between the last two transfers were small (Fig. 3). These findings show that in our study -2 °C was effective in rest break, and that the chilling requirement was met at the time of the last transfer in March.

Vegis' (1964) interactive conceptual model provides a potential explanation to many earlier paradoxical results concerning the timing of rest completion in boreal and temperate trees, which have hampered the modelling of tree seasonality and also caused a major uncertainty to the projections of the ecological effects of climate change (Hänninen, 2016). These considerations, together with the support for Vegis' (1964) conceptual model obtained in the present study and earlier in studies with *Betula* species (Caffarra et al., 2011; Junttila & Hänninen, 2012) suggest that the present paradigm of the dichotomy of the physiological and environmental effects on

plant dormancy needs to be replaced in plant phenology modelling by a new one allowing also the interaction of these two. This conclusion addressing the whole plant modelling is in accordance with the current understanding of the molecular mechanisms of plant dormancy which emphasises the dynamic and quantitative nature of the dormancy mechanisms, rather than strict categories and limits between dormancy phases (Cooke et al., 2012).

The plant phenology models addressing both rest break and ontogenetic development have been applied mainly with trees (Chuine et al., 2013; Hänninen, 2016) and in a few cases with dwarf shrubs (Pop et al., 2000; van Wijk et al., 2003) and herbaceous crop cultivars (Tanino & Wang, 2008). In the present study we broaden the scope of the modelling to native herbaceous plants. The results suggest that the studied herbaceous plants have similar dormancy phenomena, such as chilling requirement of rest completion, as the woody plants studied earlier. The simulations from the independent model test of the present study further suggest that the chilling requirement of the herbaceous plants may be so large that it is not met in natural conditions until quite late in the spring. This is seen in the simulations for the *F. vesca*, as the value of the variable state of rest break remained below 50 % in the December and January transfers (Fig. 6 g-i).

4.3 | Conclusions

We introduced into process-based quantitative plant phenology modelling a novel aspect which is based on an old, largely neglected conceptual model. The novel aspect caused only a minor change in the mathematical model formulation, but biologically

it introduced a major change in the conceptualization and quantification of the dormancy phenomena of the plants. The earlier models were mainly based on a conceptual dichotomy of the dormancy being caused either by the physiological condition of the bud, or the prevailing environmental conditions. While allowing this old concept as one special case, our new formulation introduces the idea of dormancy being regulated as an interaction of the physiological and environmental factors. The model was parameterized with growth chamber experiments for seven boreal field layer plant species and tested with independent experiment with four species. We also broadened the scope of the modelling into native herbaceous plants. Based on the occurrence and the strength of the interactive effect, the results facilitated the identification of three novel dormancy types of the plants. Together with a recent study addressing plant water relations, our study suggests that interaction of environmental and physiological factors may be involved in many such phenomena which have until now been considered simply as plant traits without any considerable effects of environmental factors.

ACKNOWLEDGEMENTS

This study was funded by the Academy of Finland (project 122194), the Nessling Foundation (project 2006253), the Jenny and Antti Wihuri Foundation, the Swedish Cultural Foundation in Finland, and Societas pro Fauna et Flora Fennica. The staff at the Finnish Forest Research Institute (currently the Natural Resources Institute Finland) Suonenjoki research station is acknowledged for providing freezer storage.

AUTHOR CONTRIBUTIONS

RL had the main responsibility in all phases of the study. He invented and formulated the novel model. HH had a major contribution in designing the experiment and in writing the manuscript. TS and HÅ contributed in performing the experiment and commented on the manuscript. RZ carried out the statistical analyses and commented on the manuscript.

ORCID

Heikki Hänninen <https://orcid.org/0000-0003-3555-2297>

REFERENCES

- Arora, R., Rowland, L.J., & Tanino, K. (2003). Induction and release of bud dormancy in woody perennials: A science comes of age. *HortScience*, 38, 911–921.
- Bertsimas, D., & Tsitsiklis, J. (1993). Simulated annealing. *Statistical Science* 8, 10–15.
- Brunner, A., Evans, L.M., Hsu, C-Y., & Sheng, X. (2014). Vernalization and the chilling requirement to exit bud dormancy: shared or separate regulation? *Frontiers in Plant Science*, 5, Article 732, doi: 10.3389/fpls.2014.00732.
- Caffarra, A., Donnelly, A., & Chuine, I. (2011). Modelling the timing of *Betula pubescens* budburst. II. Integrating complex effects of photoperiod into process-based models. *Climate Research*, 46, 159–170.
- Campbell, R.K. (1978). Regulation of bud-burst timing by temperature and photoregime during dormancy. In Proceedings: Fifth North American Forest

- 667 Biology Workshop (eds C.A. Hollis, & A.E. Squillace), pp. 19–33. Forestry
668 Department, University of Florida, Gainesville, USA.
- 669 Campbell, R.K., & Sugano, A.I. (1975). Phenology of bud burst in douglas-fir related
670 to provenance, photoperiod, chilling, and flushing temperature. *Botanical*
671 *Gazette*, 136, 290–298.
- 672 Cannell, M. G. R., & Smith, R. I. (1983). Thermal time, chill days and prediction of
673 budburst in *Picea sitchensis*. *Journal of Applied Ecology*, 20, 951–963.
- 674 Chen, X., Wang, L., & Inouye, D. (2017). Delayed response of spring phenology to
675 global warming in subtropics and tropics. *Agricultural and Forest*
676 *Meteorology*, 234, 222–235.
- 677 Chuine, I., & Régnière, J. (2017). Process-based models of phenology for plants and
678 animals. *Annual Review of Ecology, Evolution and Systematics*, 48, 159–182.
- 679 Chuine, I., Bonhomme, M., Legave, J.-M., de Cortazar-Atauri, I.G., Charrier, G.,
680 Lacointe, A., & Améglio, T. (2016). Can phenological models predict tree
681 phenology accurately in the future? The unrevealed hurdle of endodormancy
682 break. *Global Change Biology*, 22, 3444–3460.
- 683 Chuine, I., Cour, P., & Rousseau, D.D. (1998). Fitting models predicting dates of
684 flowering of temperate-zone trees using simulated annealing. *Plant, Cell and*
685 *Environment*, 21, 455–466.
- 686 Chuine, I., de Cortazar-Atauri, I. G., Kramer, K., & Hänninen, H. (2013). Plant
687 development models. In *Phenology: An Integrative Environmental Science*,
688 Second Edition (ed M. D. Schwartz), pp. 275–293. Springer Science+Business
689 Media B.V., Dordrecht.

- 690 Cooke, J.E.K., Eriksson, M.E., & Junttila, O. (2012). The dynamic nature of bud
691 dormancy in trees: environmental control and molecular mechanisms. *Plant,*
692 *Cell and Environment*, 35, 1707–1728.
- 693 Doorenbos, J. (1953). Review of the literature on dormancy in buds of woody plants.
694 *Mededelingen van de Landbouwhogeschool te Wageningen/Nederland*, 53, 1-
695 23.
- 696 Fan, S., Bielenberg, D.G., Zhebentyayeva, T.N., Reighard, G.L., Okie, W.R., Holland,
697 D., & Abbott, A.G. (2010). Mapping quantitative trait loci associated with
698 chilling requirement, heat requirement and bloom date in peach (*Prunus*
699 *persica*). *New Phytologist*, 185, 917-930.
- 700 Fuchigami, L. H., Weiser, C. J., Kobayashi, K., Timmis, R., & Gusta, L. V. (1982). A
701 degree growth stage (°GS) model and cold acclimation in temperate woody
702 plants. In *Plant cold hardiness and freezing stress. Mechanisms and crop*
703 *implications. Volume 2* (eds P. H. Li, & A. Sakai), pp. 93-116. Academic
704 Press, New York.
- 705 Hänninen, H. (1990). Modelling bud dormancy release in trees from cool and
706 temperate regions. *Acta Forestalia Fennica*, 213, 1-47.
- 707 Hänninen, H. (2016). Boreal and temperate trees in a changing climate: Modelling the
708 ecophysiology of seasonality. Dordrecht: Springer Science+Business Media.
- 709 Hänninen, H., & Kramer, K. (2007). A framework for modelling the annual cycle of
710 trees in boreal and temperate regions. *Silva Fennica*, 41, 167–205.
- 711 Hänninen, H., & Tanino, K. (2011). Tree seasonality in a warming climate. *Trends in*
712 *Plant Science*, 16, 412-416.

- 713 Hänninen, H., Kramer, K., Tanino, K., Zhang, R., Wu, J., & Fu, Y.H. (2019).
714 Experiments are necessary in process-based tree phenology modelling. *Trends*
715 *in Plant Science*, 24, 199-209. doi:
716 <https://doi.org/10.1016/j.tpants.2018.11.006>
- 717 Harrington, C.A., Gould, P.J., StClair, J.B. (2010). Modeling the effects of winter
718 environment on dormancy release of Douglas-fir. *Forest Ecology and*
719 *Management*, 259, 798–808.
- 720 Hochberg, U., Rockwell, F.E., Holbrook, N.M., & Cochard, H. (2018).
721 Iso/Anisohydry: A plant-environment interaction rather than a simple
722 hydraulic trait. *Trends in Plant Science*, 23, 112-120.
- 723 Horvath, D.P., Anderson, J.V., Chao, W.S., & Foley, M.E. (2003). Knowing when to
724 grow: signals regulating bud dormancy. *Trends in Plant Science*, 8, 534-540.
- 725 Junttila, O. (1970). Effects of stratification, gibberellic acid and germination
726 temperature on the germination of *Betula nana*. *Physiologia Plantarum*, 23,
727 425–433.
- 728 Junttila, O. (1976). Seed germination and viability in five *Salix* species. *Astarte*, 9,
729 19-24.
- 730 Junttila, O. (2007). Regulation of annual shoot growth cycle in northern tree species.
731 In *Physiology of northern plants under changing environment* (eds. E.
732 Taulavuori, & K. Taulavuori), pp. 177-210. Research Signpost, Kerala, India.
- 733 Junttila, O., & Hänninen, H. (2012). The minimum temperature for budburst in *Betula*
734 depends on the state of dormancy. *Tree Physiology*, 32, 337-345.
- 735 Kirkpatrick, S., Gelatt C.D. Jr, & Vecchi, M.P. (1983). Optimization by simulated
736 annealing. *Science*, 220, 671–680.

- 737 Kudoh, H. (2016). Molecular phenology in plants: *in natura* systems biology for the
738 comprehensive understanding of seasonal responses under natural
739 environments. *New Phytologist*, 210, 399-412.
- 740 Landsberg, J.J. (1974). Apple fruit bud development and growth; analysis and an
741 empirical model. *Annals of Botany*, 38, 1013-1023.
- 742 Lang, G.A., Early, J.D., Martin, G.C., & Darnell, R.L. (1987). Endo-, para-, and
743 ecodormancy: physiological terminology and classification for dormancy
744 research. *HortScience*, 22, 371-377.
- 745 Lee, Y., Karunakaran, C., Lahlali, R., Liu, X., Tanino, K.K., & Olsen, J.E. (2017).
746 Photoperiodic regulation of growth-dormancy cycling through induction of
747 multiple bud–shoot barriers preventing water transport into the winter buds of
748 Norway spruce. *Frontiers in Plant Science*, 8, 2109. doi:
749 10.3389/fpls.2017.02109.
- 750 Nourani, Y., & Andresen, B. (1998). A comparison of simulated annealing cooling
751 strategies. *Journal of Physics A: Mathematical and General*, 31, 8378–8385.
- 752 Pop, E.W., Oberbauer, S.F., & Starr, G. (2000). Predicting vegetative bud break in
753 two arctic deciduous shrub species, *Salix pulchra* and *Betula nana*. *Oecologia*,
754 124, 176-184.
- 755 Richardson, E.A., Seeley, S.D., & Walker, D.R. (1974). A model for estimating the
756 completion of rest for 'Redhaven' and 'Elberta' peach trees. *HortScience*, 9,
757 331-332.
- 758 Rinne, P.L.H., & van der Schoot, C. (2003). Plasmodesmata at the crossroads between
759 development, dormancy, and defence. *Canadian Journal of Botany*, 81, 1182-
760 1197.

- 761 Rohde, A., & Bhalerao, R.P. (2007). Plant dormancy in the perennial context. *Trends*
762 *in Plant Science*, 12, 217-223.
- 763 Romberger, J.A. (1963). Meristems, growth, and development in woody plants. An
764 analytical review of anatomical, physiological, and morphogenetic aspects.
765 USDA Technical Bulletin 1292. U.S. Government Printing Office.
- 766 Salažs, A., & Ievinsh, G. (2004). The impact of the Latvian plant physiologist
767 Auseklis Veģis (1903 - 1973) in modern natural sciences. *Acta Universitatis*
768 *Latviensis, Biology*, 676, 7–15.
- 769 Sarvas, R. (1972). Investigations on the annual cycle of development of forest trees.
770 Active period. *Communicationes Instituti Forestalis Fenniae*, 76(3), 1-110.
- 771 Sarvas, R. (1974). Investigations on the annual cycle of development of forest trees.
772 II. Autumn dormancy and winter dormancy. *Communicationes Instituti*
773 *Forestalis Fenniae*, 84(1), 1-101.
- 774 Tanino, K.K., & Wang, R. (2008). Modeling chilling requirement and diurnal
775 temperature differences on flowering and yield performance in strawberry
776 crown production. *HortScience*, 43, 2060-2065.
- 777 Tanino, K., Kalcsits, L., Silim, S., Kendall, E., Gray, G.R. (2010). Temperature-
778 driven plasticity in growth cessation and dormancy development in deciduous
779 woody plants: a working hypothesis suggesting how molecular and cellular
780 function is affected by temperature during dormancy induction. *Plant*
781 *Molecular Biology*, 73, 49–65.
- 782 Tylewicz, S., Petterle, A., Marttila, S., Miskolczi, P., Azeez, A., Singh, R.K.,
783 Immanen, J., Mähler, N., Hvidsten, T.R., Eklund, D.M., Bowman, J.L.,
784 Helariutta, Y., & Bhalerao, R.P. (2018). Photoperiodic control of seasonal

- 785 growth is mediated by ABA acting on cell-cell communication. *Science*, 360,
786 212-215.
- 787 Van Wijk, M.T., Williams, M., Laundre, J.A., Shaver, G.R. (2003). Interannual
788 variability of plant phenology in tussock tundra: modelling interactions of
789 plant productivity, plant phenology, snowmelt and soil thaw. *Global Change*
790 *Biology*, 9, 743-758.
- 791 Vegis, A. (1964). Dormancy in higher plants. *Annual Review of Plant Physiology*, 15,
792 185–224.
- 793 Weiser, C.J. (1970). Cold resistance and injury in woody plants. *Science*, 169, 1269-
794 1278.
- 795 Yoshie, F., & Yoshida, S. (1989). Wintering forms of perennial herbs in the cool
796 temperate regions of Japan. *Canadian Journal of Botany*, 67, 3563-3569.
- 797 Zhang, Z., Zhuo, X., Zhao, K., Zheng, T., Han, Y., Yuan, C., & Zhang, Q. (2018).
798 Transcriptome profiles reveal the crucial roles of hormone and sugar in the
799 bud dormancy of *Prunus mume*. *Scientific Reports*, 8, 5090.

800

801 SUPPORTING INFORMATION

802 Additional supporting information may be found online in the Supporting Information
803 section at the end of the article.

804

805 **Figure S1** Experimental field set-up used in the independent test of the model of rest
806 break and growth onset. At each of the three overwintering sites, 30 potted plants
807 were located in baskets directly on the ground so that during winter they were covered

808 by snow, if any. The baskets inhabiting also other plants are seen on the right, the
809 boxes on the left belong to another experiment

810

811 **Figure S2** Effects of prevailing temperature and state of rest break (previous chilling)
812 on the ontogenetic competence as calculated by the equations 3 and 4 for the seven
813 examined plant species with the parameter values reported in Table 3. Based on the
814 strength of the interaction between temperature and the state of rest break in
815 determining the ontogenetic competence the species are grouped into three dormancy
816 types. In each panel the strength is visualized by the slope of the curve with increasing
817 temperature values to the left at relatively small values of state of rest break

818

819 **Table S1** Growth onset percentages observed for the seven examined plant species in
820 the treatments of the study. The months indicate the transfer time from chilling to
821 forcing conditions and the temperatures the forcing temperature applied

822

823 **Table S2** Predicted and observed timing of growth onset in an independent test of the
824 model of rest break and growth onset developed in the present study. The column for
825 location indicates the location where the plants were overwintering before the transfer
826 to the growth chamber at 10 °C. The column for transfer indicates the time of the
827 transfer to the growth chamber: December = 14 December 2011 (Nåtö), 15 December
828 2011 (Viikki, Lammi); January = 21 January 2012 (Nåtö) 22 January 2012 (Viikki,
829 Lammi); April = 3 April 2012 (Nåtö), 6 April 2012 (Lammi and Viikki). In the columns
830 for growth onset, Dec indicates December 2011 and the other symbols the indicated
831 month for 2012. In the error column, the minus (–) and plus (+) signs indicate too early

832 and too late model predictions, respectively, as compared with the observation. *
833 indicates that no growth onset was predicted by the model. The RMSE of the model
834 prediction was 19.2, 13.1, and 9.2 days for *F. vesca*, *H. perforatum*, and *V. vitis-idaea*,
835 respectively. For *V. myrtillus* the RMSE could not be calculated since in the December
836 transfers no growth onset was observed in it

837

838

839 **TABLE 1** The growth forms, typical habitats, and the growth onset criteria of the
 840 species studied

841

Species	Growth form	Habitat	Growth onset criterion
<i>Fragaria vesca</i>	Rosette plant, winter leaves overwintering	Meadows	New leaf pushing out from “bud” cover (stipules)
<i>Hypericum perforatum</i>	Rhizomatous herb	Meadows	New leaves forming at the shoot apex
<i>Leucanthemum vulgare</i>	Overwintering rosette	Meadows	New leaves emerging
<i>Linnaea borealis</i>	Dwarf shrub with prostrate (creeping) stems and overwintering leaves	Boreal forest floor	New leaves emerging from bud
<i>Oxalis acetosella</i>	Rhizomatous herb with overwintering leaves	Boreal forest floor	New leaves emerging
<i>Vaccinium myrtillus</i>	Deciduous dwarf shrub	Boreal forest floor	Green leaf visible in breaking bud
<i>Vaccinium vitis-idaea</i>	Dwarf shrub, wintergreen leaves	Boreal forest floor	Bud opening

842

TABLE 2 Two-way ANOVA of the effects of transfer time from a freezer storage to the growth chamber and the growth chamber temperature on the days required for growth onset in the chamber in seven field layer plant species

	Temperature		Transfer time		Temperature x transfer time	
Species	F	P	F	P	F	P
<i>Fragaria vesca</i>	111.47	<0.001	73.61	<0.001	43.30	<0.001
<i>Hypericum perforatum</i>	426.51	<0.001	2.21	0.09	1.19	0.30
<i>Leucanthemum vulgare</i>	992.87	<0.001	125.73	<0.001	18.82	<0.001
<i>Linnaea borealis</i>	276.34	<0.001	33.31	<0.001	7.95	<0.001
<i>Oxalis acetosella</i>	370.08	<0.001	245.92	<0.001	34.21	<0.001
<i>Vaccinium myrtillus</i>	234.76	<0.001	254.03	<0.001	17.56	<0.001
<i>Vaccinium vitis-idaea</i>	299.36	<0.001	166.29	<0.001	11.93	<0.001

TABLE 3 Parameter values of the model of rest break and growth onset estimated by fitting the model (Eqns 1 – 7) to data from the growth chamber experiment¹. Parameters of the air temperature response of the potential rate of ontogenetic development, $R_{o,pot}$: H_{crit} is the high temperature requirement of growth onset, and a and b are parameters determining the shape of the sigmoidal temperature response (Eqn 1). Parameters of the model for ontogenetic competence C_o : C_{crit} is the chilling requirement of rest completion, and c and d are parameters determining the interactive effect of state of rest break and the prevailing air temperature on the ontogenetic competence (see Eqns 3 and 4 and Fig. S2). The goodness-of-fit of the model fitting is indicated by the RMSE value

Species	Parameters of $R_{o,pot}$			Parameters of C_o			RMSE (days)
	H_{crit}	a	b	C_{crit}	c	d	
<i>Fragaria vesca</i>	1.74	0.21	11.11	119.9	0.2396	3.216	1.03
<i>Hypericum perforatum</i>	2.30	0.17	14.19	32.2	3.4058	105.112	1.43
<i>Leucanthemum vulgare</i>	2.06	0.30	11.01	33.2	0.0002	0.694	1.61
<i>Linnaea borealis</i>	3.89	0.25	10.76	49.4	0.0113	0.026	1.26
<i>Oxalis acetosella</i>	2.84	0.23	8.89	94.6	0.0142	0.001	0.75
<i>Vaccinium myrtillus</i>	2.81	0.18	14.68	112.0	0.0006	0.032	1.45
<i>Vaccinium vitis-idaea</i>	4.38	0.19	12.71	121.5	0.0133	0.000	1.75

¹Note: Units of the parameters (the parameters c and d were introduced in the present study, for others see Hänninen & Kramer 2007, Hänninen 2016): H_{crit} (arbitrary high temperature unit), a ($^{\circ}\text{C}^{-1}$), b ($^{\circ}\text{C}$), C_{crit} (arbitrary chilling unit), c ($^{\circ}\text{C}^{-1}$), d (dimensionless).

865 **FIGURE LEGENDS**

866 **FIGURE 1** Two models of bud dormancy release and growth onset in plants
867 (Hänninen et al. 2019). In both figures, R_o denotes the rate of ontogenetic
868 development towards growth onset. (a) The classical model based on a dichotomy of
869 rest (endodormancy) and quiescence (ecodormancy). During quiescence development
870 is arrested only in low temperatures below the threshold of the growth-promoting
871 temperature range, T_{thr} , above the threshold development occurs at the maximal rate
872 allowed by the prevailing temperature (black curve 4). At the beginning of rest the
873 development is arrested in all temperatures (red curve 1). As a result of rest break
874 caused by chilling, the ontogenetic competence in temperatures above the threshold
875 T_{thr} is gradually increased (blue curve 2 and orange curve 3) until chilling requirement
876 of rest completion is met and quiescence is attained (black curve 4). (b) The novel
877 model based on the interaction of state of rest break and prevailing air temperature.
878 The threshold T_{thr} is not constant but shifts to lower temperatures as a result of
879 chilling. The form of the response curve also changes during rest break. The curves
880 with different colors and numbers indicate similar phases as in (a)

881

882 **FIGURE 2** Principle of the rest break and growth onset model developed in the
883 present study by introducing the interaction of state of rest break and prevailing air
884 temperature (red arrow) into the parallel model (black boxes, lines, and arrows), the
885 latter as formulated by Hänninen (1990, 2016) and Hänninen & Kramer (2007). In the
886 parallel model, the ontogenetic competence is determined by previous chilling alone,
887 whereas the novel model allows also the option of determining the ontogenetic
888 competence by an interaction of state of rest break and prevailing temperature

889

890 **FIGURE 3** The days to growth onset in the seven studied plant species, following
891 transfer from freezer storage at -2.5 °C to growth chambers at four different
892 temperatures at four times during the winter. The error bars show the standard error of
893 the means, but they may be obscured by the symbols in some cases

894

895 **FIGURE 4** The temperature response of the potential rate of ontogenetic development
896 towards growth onset, $R_{o,pot}$, in (a) the four herbaceous and (b) the three dwarf shrub
897 species studied. The responses were determined by fitting Eqn 1 to the data from the
898 March transfer in the growth chamber experiment. Note the difference in scale of the
899 vertical axis in the two figures

900

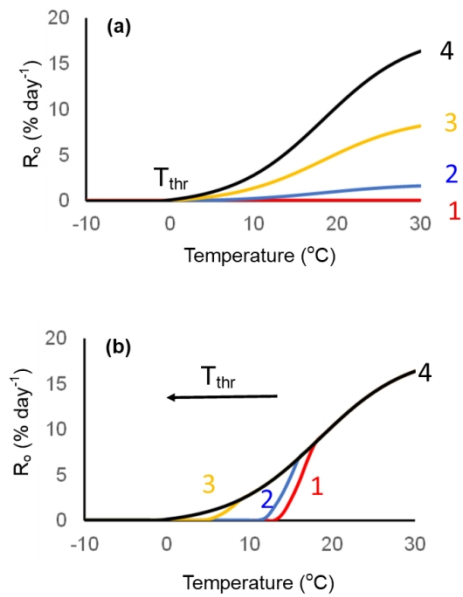
901 **FIGURE 5** The temperature responses of the rate of ontogenetic development
902 towards growth onset of three of the studied plant species, exemplifying the three
903 corresponding dormancy types identified in the study. The responses are shown for
904 four phases of rest break indicated by the value of state of rest break, $S_r(t)$. (a)
905 Dormancy type 1, as exemplified by *Vaccinium myrtillus*. (b) Dormancy type 2, as
906 exemplified by *Oxalis acetocella*. (c) Dormancy type 3, as exemplified by *Fragaria*
907 *vesca*. Note the different scale of the vertical axis in the different figures

908

909 **FIGURE 6** A test of the rest break and growth onset model developed in the present
910 study, using independent data of growth onset collected with four plant species. The
911 experimental plants overwintered first in natural conditions at three geographical
912 locations with different climatic conditions (Lammi, Viikki, Nätö) and were then

913 transferred to growth chambers at +10 °C at three occasions indicated by the crossbars
914 on the horizontal axis. The predicted state of rest break, $S_r(t)$, is indicated by the
915 dashed curves. The end of the curve at $S_r(t) = 100\%$ indicates the predicted rest
916 completion (meeting of the chilling requirement), but in many cases the chilling
917 requirement was not met and the curve of $S_r(t)$ reached a plateau at the time of the
918 respective transfer to the growth chamber, where no further chilling took place. The
919 predicted state of ontogenetic development, $S_o(t)$, is indicated by the continuous
920 curves. The end of the curve at $S_o(t) = 100\%$ indicates the predicted growth onset; the
921 observed growth onset is marked by a diamond. Black, blue, and red symbols indicate
922 the three transfers in December, January, and April, respectively. Note that the blue
923 and red curves are used only after the corresponding transfer, so that until the first
924 transfer the black curve is used for all transfers, and between the first and second
925 transfer the blue curve is used also for the third transfer

A novel process-based plant phenology model is introduced. Unlike the previous models the model facilitates studying the interaction of physiological and environmental factors in bud dormancy release.

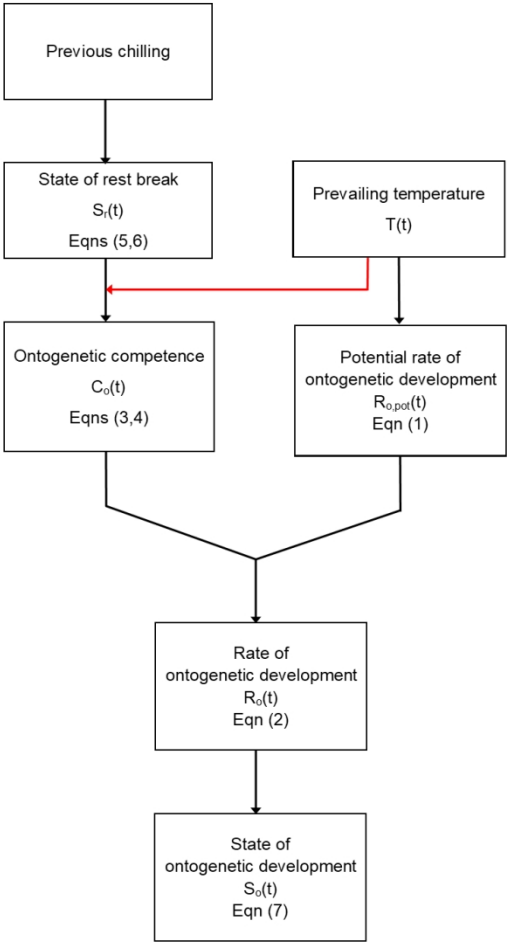


Lundell, Hänninen, Saarinen, Åström & Zhang

Figure 1

Two models of bud dormancy release and growth onset in plants (Hänninen et al. 2019). In both figures, R_o denotes the rate of ontogenetic development towards growth onset. (a) The classical model based on a dichotomy of rest (endodormancy) and quiescence (ecodormancy). During quiescence development is arrested only in low temperatures below the threshold of the growth-promoting temperature range, T_{thr} , above the threshold development occurs at the maximal rate allowed by the prevailing temperature (black curve 4). At the beginning of rest the development is arrested in all temperatures (red curve 1). As a result of rest break caused by chilling, the ontogenetic competence in temperatures above the threshold T_{thr} is gradually increased (blue curve 2 and orange curve 3) until chilling requirement of rest completion is met and quiescence is attained (black curve 4). (b) The novel model based on the interaction of state of rest break and prevailing air temperature. The threshold T_{thr} is not constant but shifts to lower temperatures as a result of chilling. The form of the response curve also changes during rest break. The curves with different colors and numbers indicate similar phases as in (a)

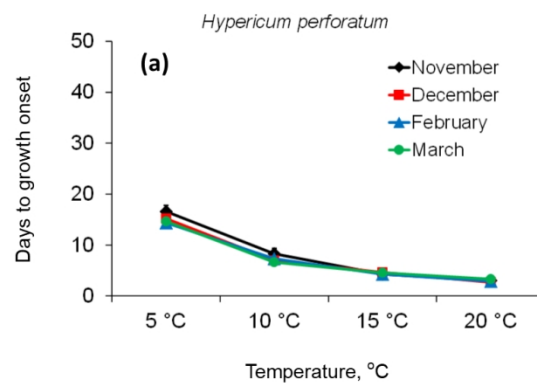
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang
Figure 2

Principle of the rest break and growth onset model developed in the present study by introducing the interaction of state of rest break and prevailing air temperature (red arrow) into the parallel model (black boxes, lines, and arrows), the latter as formulated by Hänninen (1990, 2016) and Hänninen & Kramer (2007). In the parallel model, the ontogenetic competence is determined by previous chilling alone, whereas the novel model allows also the option of determining the ontogenetic competence by an interaction of state of rest break and prevailing temperature

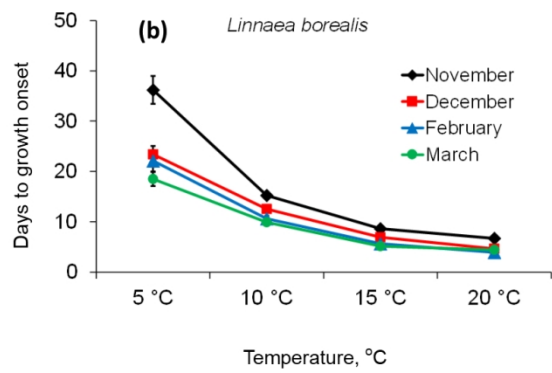
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3a

The days to growth onset in the seven studied plant species, following transfer from freezer storage at -2.5 °C to growth chambers at four different temperatures at four times during the winter. The error bars show the standard error of the means, but they may be obscured by the symbols in some cases

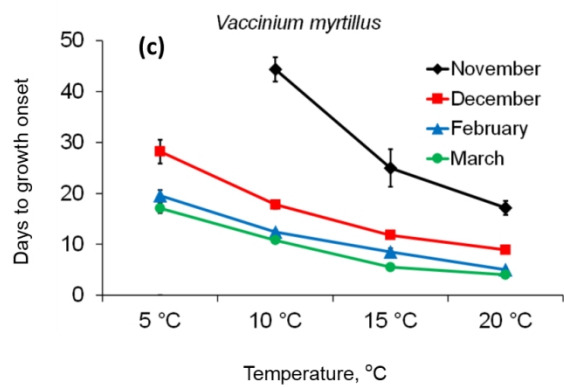
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3b

See legend of Figure 3a

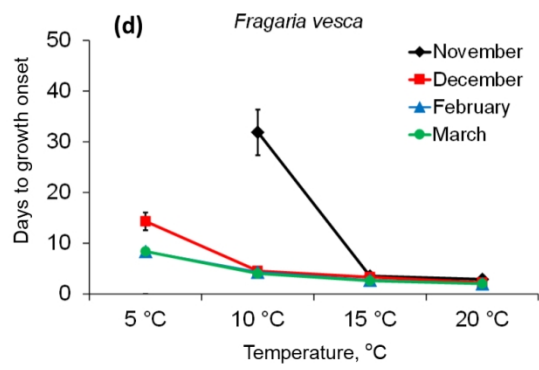
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3c

See legend of Figure 3a

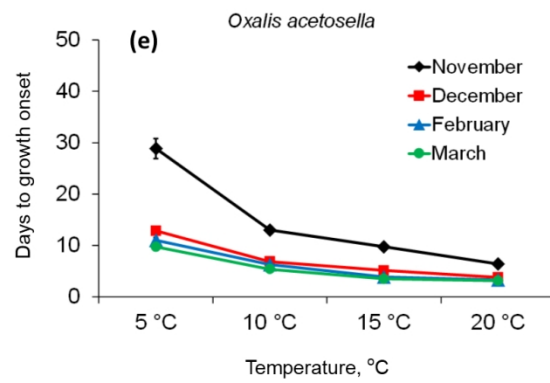
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3d

See legend of Figure 3a

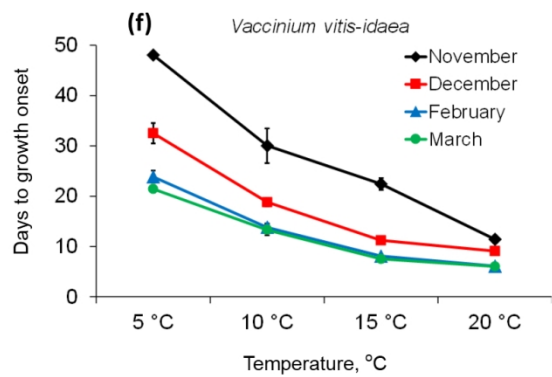
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3e

See legend of Figure 3a

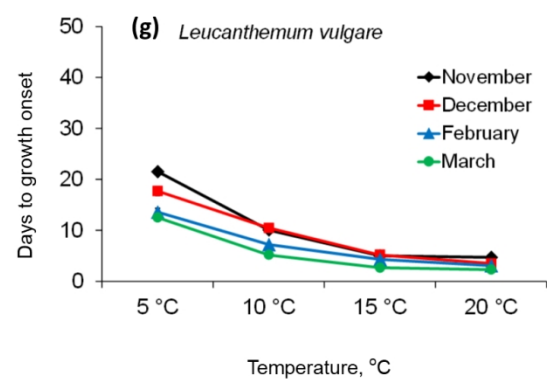
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3f

See legend of Figure 3a

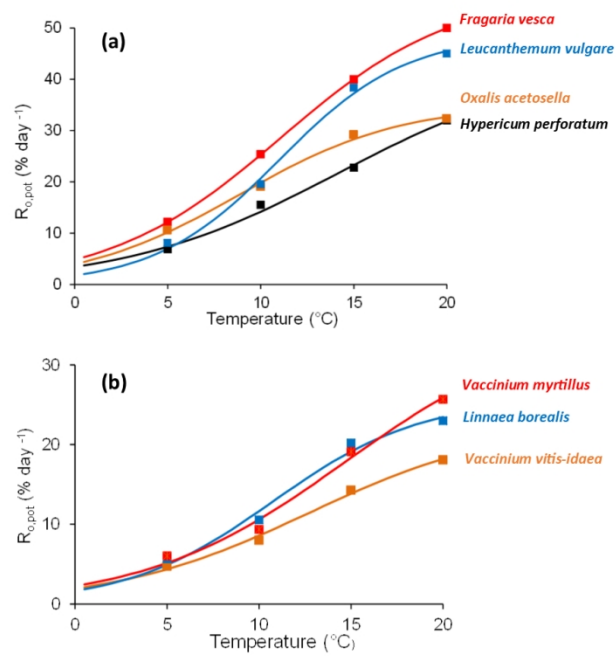
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 3g

See legend of Figure 3a

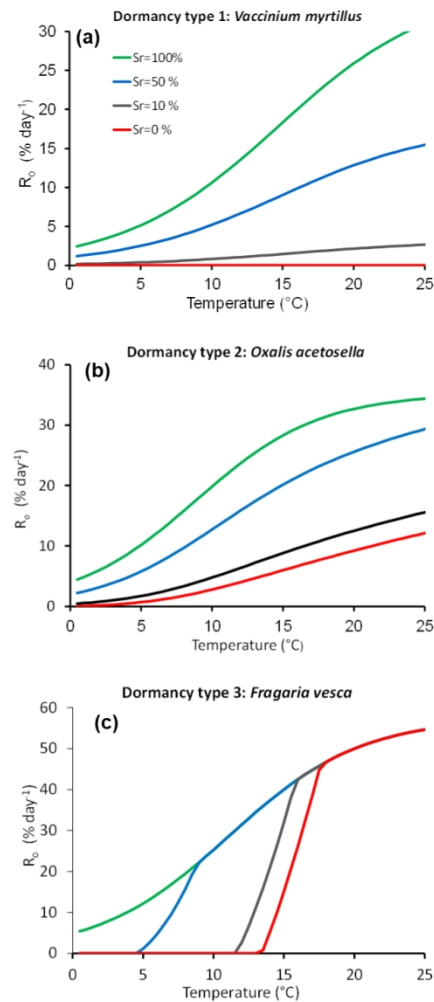
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang
Figure 4

The temperature response of the potential rate of ontogenetic development towards growth onset, $R_{o,pot}$, in (a) the four herbaceous and (b) the three dwarf shrub species studied. The responses were determined by fitting Eqn 1 to the data from the March transfer in the growth chamber experiment. Note the difference in scale of the vertical axis in the two figures

209x297mm (150 x 150 DPI)

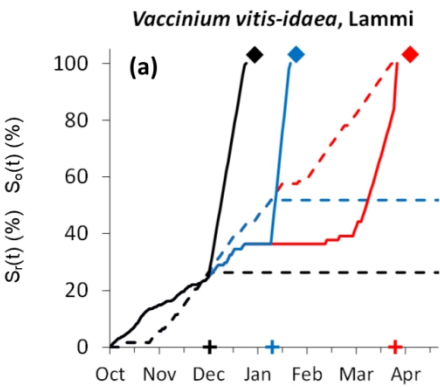


Lundell, Hänninen, Saarinen, Åström & Zhang

Figure 5

The temperature responses of the rate of ontogenetic development towards growth onset of three of the studied plant species, exemplifying the three corresponding dormancy types identified in the study. The responses are shown for four phases of rest break indicated by the value of state of rest break, $S_r(t)$. (a) Dormancy type 1, as exemplified by *Vaccinium myrtillus*. (b) Dormancy type 2, as exemplified by *Oxalis acetosella*. (c) Dormancy type 3, as exemplified by *Fragaria vesca*. Note the different scale of the vertical axis in the different figures

209x297mm (150 x 150 DPI)

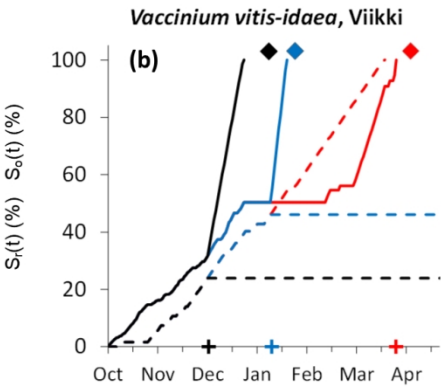


Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6a

A test of the rest break and growth onset model developed in the present study, using independent data of growth onset collected with four plant species. The experimental plants overwintered first in natural conditions at three geographical locations with different climatic conditions (Lammi, Viikki, Nåtö) and were then transferred to growth chambers at +10 °C at three occasions indicated by the crossbars on the horizontal axis. The predicted state of rest break, $S_r(t)$, is indicated by the dashed curves. The end of the curve at $S_r(t) = 100\%$ indicates the predicted rest completion (meeting of the chilling requirement), but in many cases the chilling requirement was not met and the curve of $S_r(t)$ reached a plateau at the time of the respective transfer to the growth chamber, where no further chilling took place. The predicted state of ontogenetic development, $S_o(t)$, is indicated by the continuous curves. The end of the curve at $S_o(t) = 100\%$ indicates the predicted growth onset; the observed growth onset is marked by a diamond. Black, blue, and red symbols indicate the three transfers in December, January, and April, respectively. Note that the blue and red curves are used only after the corresponding transfer, so that until the first transfer the black curve is used for all transfers, and between the first and second transfer the blue curve is used also for the

third transfer

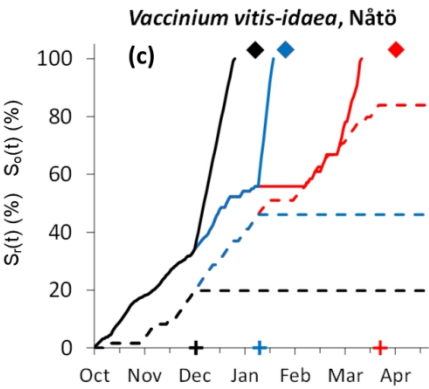
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6b

See legend of Figure 6a

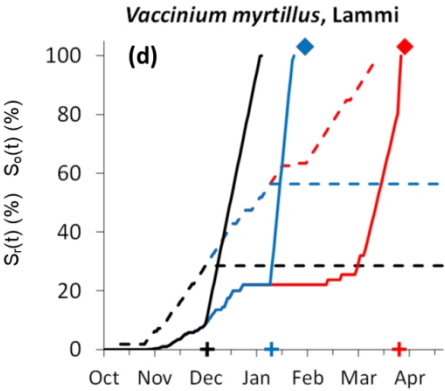
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6c

See legend of Figure 6a

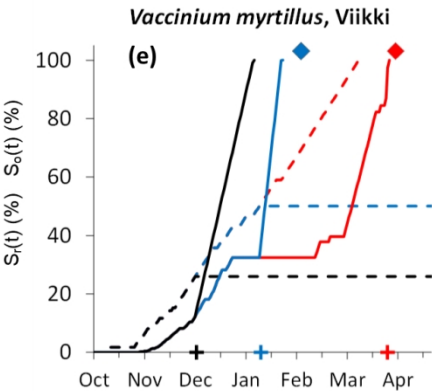
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6d

See legend of Figure 6a

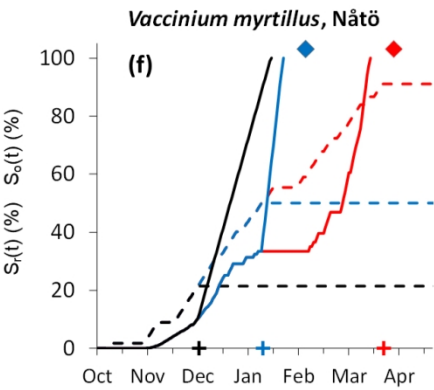
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6e

See legend of Figure 6a

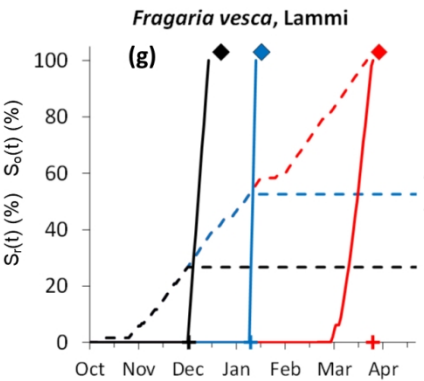
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6f

See legend of Figure 6a

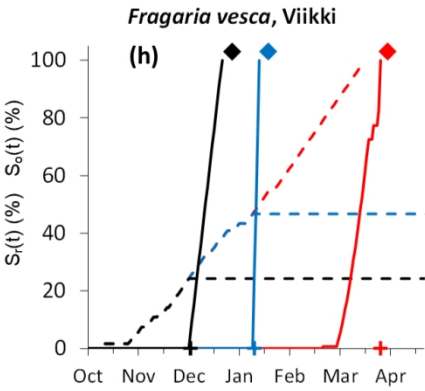
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6g

See legend of Figure 6a

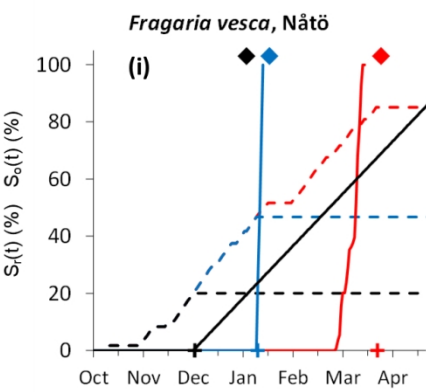
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6h

See legend of Figure 6a

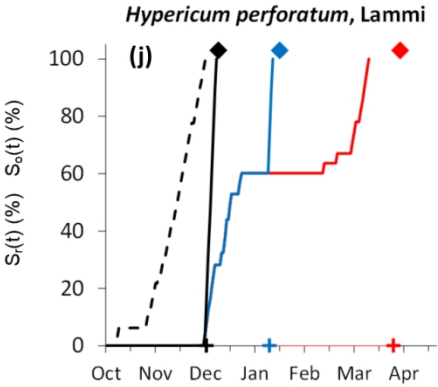
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6i

See legend of Figure 6a

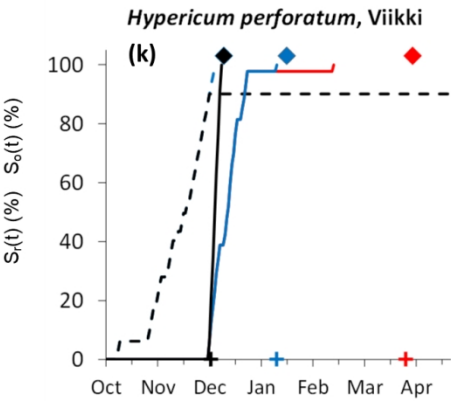
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6j

See gegend of Figure 6a

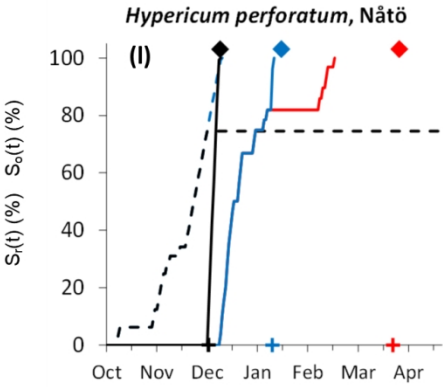
209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6k

See legend of Figure 6a

209x297mm (150 x 150 DPI)



Lundell, Hänninen, Saarinen, Åström & Zhang: Figure 6I

See legend of Figure 6a

209x297mm (150 x 150 DPI)