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A physiologically based pharmacokinetic model of voriconazole integrating time-dependent inhibition of CYP3A4, genetic polymorphisms of CYP2C19 and predictions of drug-drug interactions

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2020-06

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Li, X, Frechen, S, Moj, D, Lehr, T, Taubert, M, Hsin, S, Mikus, G, Neuvonen, P J, Olkkola, K, Saari, T & Fuhr, U 2020, 'A physiologically based pharmacokinetic model of voriconazole integrating time-dependent inhibition of CYP3A4, genetic polymorphisms of CYP2C19 and predictions of drug-drug interactions', *Clinical Pharmacokinetics*, vol. 59, no. 6, pp. 781-808. <https://doi.org/10.1007/s>

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<http://hdl.handle.net/10138/323512>

10.1007/s40262-019-00856-z

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1 **A Physiologically-Based Pharmacokinetic Model of Voriconazole**  
2 **Integrating Mechanism-based Inhibition of CYP3A4, Genetic**  
3 **Polymorphisms of CYP2C19 and Predictions of Drug-Drug Interactions**  
4

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**ABSTRACT**

28 **Background:** Voriconazole, a first-line anti-fungal drug, exhibits nonlinear pharmacokinetics together with  
29 large inter-individual variability but a narrow therapeutic range, and it markedly inhibits CYP3A4 *in vivo*. This  
30 causes difficulties in selecting appropriate dosing regimens of voriconazole and of co-administered CYP3A4  
31 substrates.

32 **Objective:** This study aimed to investigate the metabolism of voriconazole in detail to better understand dose-  
33 and time-dependent alterations in the pharmacokinetics of the drug, to provide the model basis for safe and  
34 effective use according to CYP2C19 genotype, and to assess the potential of voriconazole to cause drug-drug  
35 interactions (DDIs) with CYP3A4 substrates in more detail.

36 **Methods:** *In vitro* assays were carried out to describe mechanism-based inactivation (MBI) of CYP3A4 by  
37 voriconazole. These results were combined with 93 published concentration-time curves of voriconazole from  
38 clinical trials in healthy volunteers to develop a whole-body physiologically-based pharmacokinetic (PBPK)  
39 model in PK-Sim<sup>®</sup>. The model was evaluated quantitatively with the predicted/observed ratio of AUC, C<sub>max</sub>, and  
40 C<sub>trough</sub>, the geometric mean fold error, as well as visually with the comparison of predicted with observed  
41 concentration-time profiles over the full range of recommended intravenous and oral dosage regimens.

42 **Results:** The result of the IC<sub>50</sub> shift assay indicated that voriconazole causes MBI of CYP3A4. The PBPK model  
43 evaluation demonstrated a good performance of the model, with 71% of predicted/observed aggregate AUC  
44 ratios and all aggregate C<sub>max</sub> ratios from 28 evaluation datasets being within a 0.5- to 2-fold range. For those  
45 studies reporting CYP2C19 genotype, 89% of aggregate AUC ratios and all aggregate C<sub>max</sub> ratios were inside a  
46 0.5- to 2-fold range of 44 test profiles. The model suggests that the standard maintenance dose of 200 mg bid is  
47 sufficient for CYP2C19 IMs (intermediate metabolizers: \*1/\*2, \*1/\*3, \*2/\*17, and \*2/\*2/\*17) to reach the  
48 tentative therapeutic range of >1-2 mg/L to <5-6 mg/L for trough values, while 400 mg might be more suitable  
49 for RMs (rapid metabolizers: \*1/\*17, \*17/\*17) and NMs (normal metabolizers, \*1/\*1). When the model was  
50 integrated with independently developed CYP3A4 substrate models (midazolam and alfentanil), the observed  
51 AUC change of substrates by voriconazole was inside the 90% confidence interval of the predicted AUC change,  
52 indicating that CYP3A4 inhibition was appropriately incorporated into the voriconazole model.

53 **Conclusions:** Both the *in vitro* assay and model-based simulations confirmed MBI of CYP3A4 by voriconazole  
54 as a pivotal characteristic of this drug's pharmacokinetics. The PBPK model developed here could support  
55 individual dose adjustment of voriconazole according to genetic polymorphisms of CYP2C19, and DDI risk  
56 management.

57 **Key Points:**

- 58 1. A whole-body physiologically-based pharmacokinetic (PBPK) model of voriconazole incorporating  
59 mechanism-based inhibition of CYP3A4 was successfully developed to accurately capture the time- and  
60 dose-dependent alterations of voriconazole pharmacokinetics for different CYP2C19 genotypes.  
61
- 62 2. Model-based simulations could i) elaborate potential exposure-equivalent dosing regimens for  
63 CYP2C19 genotype groups; ii) assess the dynamic inhibition of CYP3A4 by voriconazole in the liver  
64 and small intestine; iii) predict DDIs between voriconazole and other CYP3A4 substrates.

## 65 1 INTRODUCTION

66 Voriconazole is an essential drug in the treatment of severe fungal infections due to its activity against a wide  
67 range of clinically relevant fungal pathogens, including the most commonly occurring species of the genera  
68 *Aspergillus* and *Candida*, and some emerging fungi, such as *Scedosporium* and *Fusarium* species [1]. Moreover,  
69 voriconazole is well established as first-line therapy for patients with invasive aspergillosis [2–4]. However, the  
70 drug exhibits nonlinear pharmacokinetics with large inter-individual and intra-individual variability [5,6], which  
71 causes difficulties for clinicians to choose appropriate dosing regimens to target its narrow therapeutic range,  
72 especially in the case of high doses in severe infections, or for chronic treatments [7].

73 While underexposure of voriconazole may decrease the efficacy, overexposure increases the risk primarily for  
74 neural and hepatic toxicity [8,9]. Until now, no universally applicable therapeutic range has been established.  
75 Two Japanese societies in 2013 recommended voriconazole trough concentrations of >1-2 mg/L for clinical  
76 efficacy and of <4-5 mg/L for hepatic tolerability [10], while the British Society for Medical Mycology in 2014  
77 recommended trough concentrations of >1mg/L for efficacy and of <4-6 mg/L for safety [11]. The Chinese  
78 Pharmacological Society recommended 0.5 mg/L as the lower limit and 5 mg/L as the upper limit of the  
79 voricoazole trough concentration range [12]. In 2017, the Third Fungal Diagnosis and Management of  
80 *Aspergillus* diseases Clinical Guideline recommended a trough concentration range of 1-5.5 mg/L for most  
81 patients with voriconazole prophylaxis or treatment while the range for patients with severe infections be 2 to 6  
82 mg/L [4]. In the present project, we selected lower and upper trough concentrations of >1-2 mg/L and <5-6  
83 mg/L, respectively.

84 Voriconazole is extensively metabolized via the cytochrome P450 enzymes CYP2C19 and CYP3A4 [13],  
85 slightly by CYP2C9 and flavin-containing monooxygenase (FMO) [14], while less than 2% is excreted renally  
86 as the parent drug [15–17]. The main metabolite in plasma was reported as voriconazole N-oxide, accounting for  
87 72% of circulating metabolites [1]. However, Geist et al. found that voriconazole N-oxide and its conjugates  
88 excreted in urine within 12 h during steady-state only accounted for 1% of the administered dose, while other  
89 metabolites, i.e., dihydroxy fluoropyrimidine-voriconazole and hydroxy fluoropyrimidine-voriconazole together  
90 with their conjugates accounted for 14% and 3%, respectively [17]. This was in agreement with another study  
91 where the major metabolite excreted in urine over 96 h was dihydroxy fluoropyrimidine-voriconazole,  
92 accounting for 13% of the administered dose of voriconazole [18]. Therefore, it seems reasonable to also  
93 consider dihydroxy-fluoropyrimidine voriconazole and hydroxy-fluoropyrimidine voriconazole as major  
94 metabolites of voriconazole, although both have low plasma concentrations due to their high renal clearances,  
95 which was reported to be approximately 150-fold and 55-fold higher, respectively, than that of voriconazole N-  
96 oxide [17]. However, two other groups found that the the main metabolite of voriconazole excreted in urine  
97 within 48 h after administration was voriconazole N-oxide with 10 to 21 % the administered dose [15,16]. The  
98 discrepancies between the studies may be explained by the length of urine collection periods together with a  
99 different elimination half-life of the metabolites and the mechanism-based inhibition (MBI) of CYP3A4. Thus,  
100 both fluoropyrimidine hydroxylation and N-oxidation pathways were considered as the main metabolic  
101 pathways, mainly mediated by CYP3A4 and CYP2C19, as shown in **Figure 1**.

102 Genetic polymorphisms of CYP2C19 are a major source for inter-individual variability, as reflected by 3-fold  
103 higher  $C_{max}$  values and 2- to 5-fold higher AUC values in CYP2C19 poor metabolizers (PMs) compared to those  
104 in normal metabolizers (NMs) or rapid metabolizers (RMs) [7,19,20].

105 Furthermore, voriconazole is also an inhibitor of CYP3A4 and 2C19 [21]. *In vitro*, voriconazole  $K_i$  for the  
106 competitive inhibition of CYP3A4-mediated metabolism of midazolam was reported to range from 0.15 to 0.66  
107  $\mu\text{M}$  [21,22]. *In vivo*, oral administration of therapeutic dosages of voriconazole increased the AUC of midazolam  
108 to 940% and 353% by oral and intravenous co-administration, respectively [23]. Also, voriconazole was reported  
109 to exhibit “autoinhibition” on CYP3A4 *in vivo* [15,24]. In addition, to properly describe the respective processes  
110 concerning enzyme inhibition by voriconazole *in vivo*, “time-dependent inhibition” and “autoinhibition” of  
111 voriconazole were integrated into the models reported by Friberg et al. and Kim et al., respectively [25,26].

112 Therefore, we investigated the inhibition of voriconazole and its metabolite voriconazole N-oxide on CYP3A4  
113 and CYP2C19 *in vitro*. Based on the *in vitro* assay results, a whole-body physiologically-based pharmacokinetic  
114 (PBPK) model of voriconazole incorporating CYP3A4 MBI was then developed to describe dose- and time-  
115 dependent pharmacokinetics in the different CYP2C19 genotypes. Finally, model-based simulations were carried  
116 out to i) elaborate potentially exposure-equivalent dosing regimens for CYP2C19 genotype groups; ii) assess the  
117 dynamic inhibition of CYP3A4 by voriconazole in the liver and small intestine; iii) further evaluate drug-drug  
118 interactions (DDIs) between voriconazole and other CYP3A4 probe substrates. An early stage of this work has  
119 been presented in the Population Approach Group in Europe conference [27].

## 120 2 MATERIALS AND METHODS

### 121 2.1 *In vitro* assay for inhibition of CYP2C19 and CYP3A4

122 The *in vitro* assay for inhibition of human CYP2C19 and CYP3A4 by voriconazole and its metabolite  
123 voriconazole N-oxide, together with the respective measurements and data analysis were carried out according to  
124 the methods reported in the supplementary materials.

### 125 2.2 Model development

126 The PBPK model for voriconazole was developed by combining bottom-up and top-down approaches. An  
127 extensive literature search was performed to obtain (a) drug physio-chemical properties, (b) pharmacokinetic  
128 parameters describing absorption, distribution, metabolism and excretion processes and (c) clinical studies of  
129 intravenous and oral administration of voriconazole to healthy subjects with different dosing regimens. The  
130 clinical studies were screened and selected according to the following criteria: (i) intravenous or oral  
131 administration of voriconazole, (ii) healthy volunteers, (iii) plasma concentration-time profiles of voriconazole  
132 were available, and (iv) articles published in English. The training dataset for model development was selected  
133 based on (i) the information required for each step of model development, (ii) the optimized parameters, (iii) the  
134 number of studies available and (iv) the informative property of profiles for individual studies (genotype groups,  
135 dosing regimens, and routes of administration), as shown in **Figure 2**. Except datasets required and used for  
136 model development, all the remaining clinical trials datasets were utilized for model evaluation.

137 The modeling software PK-Sim<sup>®</sup> (version 7.3.0, part of the Open Systems Pharmacology suite) was used for  
138 model development, which consists of a system- and a drug-dependent component. System-dependent

139 physiological parameters (organ volumes, blood flow rates, hematocrit, etc.) were provided in PK-Sim® with the  
140 small molecule model [28–30]. Demographic characteristics of subjects were taken from each clinical study.  
141 Drug-specific physicochemical properties were obtained from the literature. Organ-plasma partition coefficients  
142 were determined by the Poulin and Theil method based on both the literature [31] and the best overlap between  
143 observed and predicted concentration-time profiles.

144 The workflow of model development is presented in **Figure 2**. For model development, the simplifying  
145 assumption was made that the metabolism of voriconazole is mediated exclusively by CYP3A4 and CYP2C19;  
146 the minor contributions of CYP2C9, FMOs and unchanged renal elimination of voriconazole were neglected  
147 [13,16]. Tissue expression distribution of enzymes was provided by the PK-Sim® expression database based on  
148 reverse transcription-polymerase chain reaction (RT-PCR) profiles [32] together with the reference value of 4.32  
149  $\mu\text{mol}$  CYP3A4 and 0.76  $\mu\text{mol}$  CYP2C19 per liter liver tissue [33]. The relative CYP2C19 expression for  
150 different genotypes was obtained based on the CYP2C19 protein content ratio in genotype-defined pooled  
151 human liver microsomes [34]. The metabolism process of voriconazole was described by Michaelis-Menten  
152 kinetics [35]. As reported by Damle et al. [31],  $K_m$  for CYP3A4 and CYP2C19 were set to 15 and 3.5  $\mu\text{M}$ ,  
153 respectively, and  $V_{max}$  for CYP2C19 was fixed 1.19 pmol/min/pmol.  $V_{max}$  for CYP3A4 was optimized based on  
154 the concentration-time profile in CYP2C19 PMs [18] with the assumption that only CYP3A4 contributes to the  
155 metabolism of voriconazole in PMs. MBI was integrated into the model with **Eq. S4** in the supplementary  
156 materials based on the *in vitro* inactivity assay results of  $K_I$ . The other parameter  $k_{inact}$  was optimized based on  
157 concentration-time curves after multiple intravenous administrations [36], since the *in vitro* derived  
158  $k_{inact}$  parameter value led to an overprediction of midazolam AUCs when evaluating the voriconazole-  
159 midazolam DDI studies.

160 The specific intestinal permeability was optimized based on the studies, including both intravenous and oral  
161 administration of voriconazole [6,37,38]. The dissolution of the formulation was assumed to follow a Weibull  
162 function and was estimated based on the concentration-time datasets after oral administration [18].

### 163 2.3 Model evaluation

164 Model-based simulations were created for visual comparison with the observed concentration-time profiles of  
165 voriconazole in different CYP2C19 genotype groups. For clinical trials not reporting CYP2C19 genotype  
166 information, the population was assumed to be NM as this genotype is the most common 2C19 polymorphism  
167 prevalent in more than 64% of “white”, African American, Hispanic, and Ashkenazi populations [39]. The visual  
168 criteria for a good model performance were that 95% population prediction intervals should cover the observed  
169 individual plasma concentration-time profiles from original datasets, or that the observed aggregate plasma  
170 concentration-time profiles should be inside the 68% population prediction intervals. Predicted AUC,  $C_{max}$ , and  
171  $C_{trough}$  values were compared to observed values via the goodness-of-fit plots.

172 The quantitative evaluation criterion for a good model performance was that the ratios of predicted to observed  
173 AUC,  $C_{max}$ , and  $C_{trough}$  (trough concentration for multiple doses) values should be within 0.5- to 2.0-fold limits,  
174 as shown in **Tables 1, 2** and **S4**. As a quantitative summary of the predictive performance of the model, the  
175 geometric mean fold error (GMFE) was calculated with **Eq. 1** [40].

176 **Eq. 1** 
$$\text{GMFE} = 10^{(\sum |\log_{10}(\text{pred P}/\text{obs P})|)/n}$$

177 GMFE: geometric mean fold error of all AUC,  $C_{\max}$  or  $C_{\text{trough}}$  predictions from the respective model, pred P:  
178 predicted parameter (AUC,  $C_{\max}$  or  $C_{\text{trough}}$ ), obs P: observed parameter (AUC,  $C_{\max}$  or  $C_{\text{trough}}$ ), n: number of  
179 studies.

## 180 2.4 Drug-drug interactions with other CYP3A4 substrates

181 Published PBPK models of the CYP3A4 probe substrates midazolam or alfentanil were integrated with the  
182 model of voriconazole to assess the inhibitory effects of voriconazole on CYP3A4 *in vivo* and to verify the  
183 inhibition model of voriconazole meanwhile [40]. The DDI modeling performance was evaluated by both visual  
184 comparison of predicted versus observed probe substrates pharmacokinetic profiles, and by calculation of DDI  
185 AUC ratios and  $C_{\max}$  ratios according to **Eq. 2-3**.

$$186 \text{ Eq. 2 DDI AUC ratio} = \frac{AUC_{\text{treatment}}}{AUC_{\text{reference}}}$$

$$187 \text{ Eq. 3 DDI } C_{\max} \text{ ratio} = \frac{C_{\max\text{treatment}}}{C_{\max\text{reference}}}$$

188 AUC (or  $C_{\max}$ ) treatment: AUC (or  $C_{\max}$ ) of victim drug with voriconazole co-treatment; AUC (or  $C_{\max}$ )  
189 reference: AUC (or  $C_{\max}$ ) for victim drug administration alone.

## 190 2.5 Sensitivity Analysis

191 According to **Eq. 4**, the ratio of the relative change of  $AUC_T$  (area under the plasma concentration-time curve  
192 during a dosage interval ( $\tau$ )) versus the relative alteration of the evaluated parameter was calculated at steady-  
193 state after the standard therapeutic multiple dosages of voriconazole by oral administration. The sensitivity  
194 analysis was also conducted for the DDI between voriconazole and midazolam. Parameters selected for the  
195 sensitivity analysis fulfilled one of the following criteria [40]: i) optimized; ii) related to optimized parameters;  
196 iii) a strong influence on calculation methods used in the model; iv) significant impact in the model.

$$197 \text{ Eq. 4 } S = \frac{\Delta AUC}{AUC} \div \frac{\Delta p}{p}$$

198 S: sensitivity of AUC to the evaluated parameter;  $\Delta AUC$ : change of AUC; AUC: AUC with the initial value;  $\Delta p$ :  
199 change of the assessed parameter value; p: parameter with the initial value. A sensitivity value of +1.0 means  
200 that a 10% change of the examined parameter causes a 10% alteration of the predicted  $AUC_T$ .

## 201 2.6 Virtual population characteristics

202 Based on the demographic characteristics from each clinical trial, virtual populations of 100 individuals were  
203 generated to assess the variability of the predicted concentration-time profiles quantitatively from the respective  
204 clinical trials. Information on age, body weight, body height and proportions of female participants was entered  
205 into the software for each clinical trial. The default population variabilities for enzyme expression in PK-Sim<sup>®</sup>  
206 were used. To compare the variability of observed and simulated pharmacokinetic profiles, 68% population  
207 prediction intervals (approx. mean $\pm$ SD in case of assumed normal distribution) were plotted if the observed  
208 concentration-time profiles were reported as mean ( $\pm$ SD); while 95% population prediction intervals were  
209 described when all individual concentration-time profiles were available [41].

## 210 2.7 Model Applications

211 First, model-based simulations were performed according to the dosing regimens of the clinical trials in **Table 1**  
212 to compare the predicted versus observed data, capturing the nonlinear pharmacokinetics of voriconazole  
213 including dose- and time-dependence. Second, different CYP2C19 genotype groups, i.e., RMs, NMs, IMs  
214 (intermediate metabolizers) and PMs were simulated respectively to depict the effect of genetic polymorphisms  
215 of CYP2C19 on the metabolism of voriconazole in **Table 2**. Then, based on the PBPK model we explored the  
216 performance of various maintenance doses in different CYP2C19 genotype groups (RMs, NMs, and IMs).  
217 Virtual populations of 1000 individuals were generated based on the summary demographic characteristics from  
218 all clinical trials. The simulated dosing regimens were 400 mg b.i.d on the first day followed by 100-400 mg  
219 b.i.d on the following days for two weeks, which was considered to be sufficient to achieve steady-state. The  
220 probability of target attainment and potentially toxic trough concentrations was calculated based on two different  
221 definitions of therapeutic ranges to reflect the heterogeneity of guidelines. Thus, a therapeutic target of at least  
222 1 or 2 mg/L and at most 5 or 6 mg/L was defined. Third, the time course of active CYP3A4 content in both liver  
223 and small intestine during voriconazole treatment was simulated based on the most frequent oral therapeutic  
224 dosing regimen of voriconazole, i.e., 400 mg b.i.d on the first day and then 200 mg b.i.d on the following days.  
225 Fourth, by connecting the PBPK models of midazolam (or alfentanil) and voriconazole, DDIs models between  
226 voriconazole and the victim drugs was set up (see **Table 3**).

## 227 3 RESULTS

### 228 3.1 *In vitro* assays

229 The result of the IC<sub>50</sub> shift assays indicated that voriconazole caused MBI on CYP3A4, with a 16-fold difference  
230 in the absence and presence of NADPH (see **Table 4**), supporting MBI to be introduced into the PBPK model. In  
231 contrast, inhibition of CYP2C19 was only within a 2-/3-fold range of IC<sub>50</sub> shift and therefore was considered as  
232 negligible during model development. The inactivation kinetic assay gave a  $K_I$  of 9.33 (95% CIs: 2.56-34.0)  $\mu\text{M}$   
233 and a  $k_{inact}$  of 0.0428 (95% CIs: 0.0171-0.107)  $\text{min}^{-1}$  for CYP3A4, which were used for the parametrization in  
234 the PBPK model (see **Table 5**).

### 235 3.2 Model development and evaluation

#### 236 3.2.1 Clinical studies

237 Among all 93 concentration-time profiles of voriconazole from clinical trials, 21 were used for the model  
238 development and 72 for model evaluation (see **Tables 1** and **2**). The participants were all healthy volunteers,  
239 with an age range from 18 to 53 years and a body weight from 47 to 103 kg. CYP2C19 genotypes included 62  
240 RMs (\*1/\*17, \*17/\*17), 101 NMs (\*1/\*1), 77 IMs (\*1/\*2, \*1/\*3, \*2/\*17, \*2/\*2/\*17), and 65 PMs (\*2/\*2, \*2/\*3, \*3/\*3)  
241 (see **Table 2**). Administration protocols included both oral and intravenous routes, both single and multiple  
242 doses, and individual doses ranging from 1.5 to 6 mg/kg and from 50 to 400 mg.

#### 243 3.2.2 Model development

244  $V_{max}$  for CYP3A4 was originally fixed to 0.31 according to the reported value by Damle et al. [31]. However,  
245 simulations resulted in a more than two-fold over-prediction for AUC for low doses of voriconazole. The reasons  
246 for over-prediction of AUC were explored. Simultaneous and separate optimization of  $V_{max}$  for CYP3A4 and  
247 CYP2C19 showed that the optimized value for CYP2C19 was approaching to the reported one, while for  
248 CYP3A4, the optimized value was far higher than the reported one. A possible reason was that the reported  
249 value for CYP3A4 was obtained without consideration of MBI on CYP3A4, which might lead to  
250 underestimation of  $V_{max}$ . Furthermore, the subjects in the clinical studies belonged to different CYP2C19  
251 genotypes, which provided the possibility to optimize  $V_{max}$  of CYP3A4. Therefore, this parameter was  
252 optimized as 2.12 pmol/min/pmol based on the concentration-time datasets of CYP2C19 PMs with intravenous  
253 administration [18], assuming that only CYP3A4 mediated the metabolism of voriconazole in PMs due to the  
254 deficiency of CYP2C19. For other genotypes, both CYP2C19 and CYP3A4 contributed in the metabolism of  
255 voriconazole. The different CYP2C19 genotypes were integrated into the model for RMs, NMs, IM or PM with  
256 the reference CYP2C19 expression values of 0.79, 0.76, 0.40, and 0.01  $\mu\text{mol/L}$ , respectively [34]. Therefore, in  
257 the absence of evidence for another root cause of AUC over-prediction,

258 MBI of CYP3A4 by voriconazole was introduced into the model with **Eq. S4** based on the *in vitro* inactivation  
259 kinetic parameter  $K_I$  of 9.33  $\mu\text{M}$ . When the *in vitro*  $k_{inact}$  of 0.0428  $\text{min}^{-1}$  served as model input, the predicted  
260 concentration-time profiles of midazolam in DDI with co-treatment of voriconazole were overestimated.  
261 Therefore,  $k_{inact}$  was finally optimized as 0.015  $\text{min}^{-1}$  based on the concentration-time profiles with multiple  
262 intravenous dosing of voriconazole [36].

### 263 3.2.3 Model evaluation

264 The input parameters describing the PBPK model of voriconazole are listed in **Table 6**. The predicted  
265 pharmacokinetic results for the respective clinical trials in comparison with the observed aggregate values are  
266 presented in **Tables 1** and **2**, together with administration protocols and subjects' details. Prediction performance  
267 of the model was quantitatively evaluated by the ratios of predicted versus observed aggregate AUC and  $C_{\max}$   
268 with calculated GMFEs shown in **Tables 1** and **2**. Among the 28 test datasets for subjects with unspecified  
269 genotype, 71% of predicted/observed aggregate AUC ratios and all aggregate  $C_{\max}$  ratios were within 0.5- to 2.0-  
270 fold limits (**Table 1**). Taking genotype of CYP2C19 into consideration, from 44 test profiles, 89% of aggregate  
271 AUC ratios and all aggregate  $C_{\max}$  ratios were within 0.5- to 2.0-fold (**Table 2**). Also, 85% of predicted/observed  
272 aggregate  $C_{\text{trough}}$  ratios from clinical trials after multiple administration were within the 0.5- to 2.0-fold range  
273 (**Table S4**). The performance of the model was visualized by comparing predicted and observed concentration-  
274 time profiles as shown in **Figures 3-4** and **S1-2, S4-7**. The model-based simulations for multiple doses captured  
275 the dose- and time-dependent non-linear pharmacokinetics of voriconazole well (**Figure 3** and **S1, S4, S7**).  
276 Although the population predictions for low doses (i.e., 50 mg) reflected over-estimation compared to the  
277 observed individual data, for the therapeutic dose of 400 mg the 95% prediction interval covered the variability  
278 of the observed individual data sufficiently (**Figures 4** and **S5**), indicating that simulations grouped by different  
279 CYP2C19 genotype were suitable to describe the effect of genetic polymorphisms of CYP2C19 on the  
280 metabolism of voriconazole. This was further confirmed by the good population prediction for the observed  
281 aggregate concentration-time profiles for both a single and multiple doses in different CYP2C19 genotype  
282 groups, despite an over-prediction of exposure for multiple doses in PMs (**Figure S2** and **S7**). Also, plotting  
283 predicted versus observed AUC,  $C_{\max}$  and  $C_{\text{trough}}$  from all the clinical studies confirmed a good fit of the final  
284 PBPK model of voriconazole for most clinical trials (**Figure 5**), despite an over-prediction of AUC for low  
285 doses.

### 286 3.3 Sensitivity analysis

287 A sensitivity analysis was performed based on the simulation of the therapeutic multiple oral dosing regimen  
288 (400 mg b.i.d on the first day and then 200 mg b.i.d on the following days until steady-state) to assess the impact  
289 of the parameters on the model. It was shown that the voriconazole model was most sensitive to CYP2C19  $k_{\text{cat}}$ ,  
290  $K_m$ , and fraction unbound values (all taken from the literature) with sensitivity values ranging from -1.08 to 0.75  
291 (**Figure S3A**).

292 The sensitivity analysis of the parameters for DDI models between voriconazole and midazolam on the  $\text{AUC}_{0-t}$  of  
293 [23] exhibited that sensitivity was most pronounced for midazolam lipophilicity, CYP3A4  $k_{\text{inact}}$  and  $K_I$  with the  
294 sensitivity values beyond -1.0 or 1.0 (**Figure S3B**).

### 295 3.4 Model application

#### 296 3.4.1 Suitable maintenance doses in CYP2C19 genotype groups

297 A separate simulation of specific CYP2C19 genotype groups could accurately describe both observed individual  
298 and aggregate concentration-time profiles for either a single dose or for multiple doses, as assessed by the  
299 respective criteria (Table 2, Figure 3 and S2, S5, S7). Therefore, model-based simulations were carried out to

300 explore the performance of voriconazole maintenance doses for different CYP2C19 genotypes (Figure 8). The  
301 standard dosage (oral 400 mg twice daily on the first day and 200 mg twice daily for the following days) was  
302 confirmed to be appropriate for IMs; while for RMs and NMs, the 200 mg maintenance dose seems to be  
303 insufficient. The model-based simulation suggests to double the maintenance dose for RMs and NMs to increase  
304 the probability of target attainment two-fold while maintaining a probability of toxic concentrations below 20%.  
305 The less reliable prediction for multiple doses in PMs precludes the suggestion of an appropriate maintenance  
306 dose regimen in PMs, although it clearly shows that the 200 mg bid dose is too high.

### 307 **3.4.2 Inhibition of CYP3A4 by voriconazole**

308 The time courses of CYP3A4 activity in both liver and small intestine were assessed during chronic voriconazole  
309 treatment. The maximum inhibition was reached at 51.2 h in the liver and 52.5 h in the small intestine (**Figure**  
310 **6**), resulting from the combination of the physiological CYP3A4 turnover and MBI of CYP3A4 (**Eq. S4**). The  
311 CYP3A activity was predicted to recover 90% of its baseline 5 days after the last voriconazole dose.

### 312 **3.4.3 DDI modeling**

313 The CYP3A4 inhibition model of voriconazole was further applied to the DDI between CYP3A4 probe  
314 substrates as victims (midazolam and alfentanil) and voriconazole as the perpetrator. **Figure 7** and **S8**  
315 demonstrate the good performance of DDI PBPK models for voriconazole and the two probe substrates. The  
316 observed AUC change of substrates during treatment with voriconazole versus control was inside the 90%  
317 confidence interval of the predicted AUC change, and the predicted/observed DDI AUC ratio of alfentanil was  
318 0.86, indicating that this inhibition model was appropriate. The inhibition model was further confirmed to be  
319 suitable by the predicted/observed midazolam DDI AUC ratios of 1.09 and 0.76, respectively, for intravenous  
320 and oral administration.

321 **4 DISCUSSION**

322 A whole-body PBPK model of voriconazole integrating MBI of CYP3A4 has been successfully developed.  
323 Model-based simulations of voriconazole plasma concentrations were in good agreement with observations from  
324 clinical studies with both intravenous and oral administration of a wide range of single and multiple doses. The  
325 model was also appropriate to predict voriconazole plasma concentrations for individual CYP2C19 genotype  
326 groups and the extent of DDIs with the CYP3A4 probe substrates midazolam and alfentanil caused by  
327 voriconazole.

328 An MBI effect of voriconazole on its metabolism *in vivo* was reported previously [15,25]. Several lines of  
329 evidence supported that the incorporation of MBI should be considered to describe the pharmacokinetics of  
330 voriconazole accurately. First, Mikus et al. proposed that “autoinhibition” of CYP3A was the key to explain the  
331 observed dose nonlinearity of voriconazole elimination after administration of 50 and 400 mg in healthy  
332 volunteers [15,24]. Second, time-dependent disproportionately increasing exposure of voriconazole was found *in*  
333 *vivo* after multiple doses; e.g., AUC for multiple intravenous administration (3 mg kg<sup>-1</sup> over 1 hour once on the  
334 first day and b.i.d. on the following days) on the 5<sup>th</sup> day of treatment was more than 2-fold higher than the  
335 predicted value based on the results for the first dose under the assumption of dose-linearity and continued to  
336 increase until the 12<sup>th</sup> day doses [36]. Third, both Friberg et al. and Kim et al. integrated “time-dependent  
337 inhibition” and “autoinhibition” models of voriconazole to describe the respective processes concerning enzyme  
338 inhibition by voriconazole *in vivo*, respectively [25,26]. Fourth, our *in vitro* assays clearly showed a pronounced  
339 IC<sub>50</sub> shift from 48.7 to 3 μM, verifying MBI of CYP3A4 by voriconazole. Indeed, incorporation of MBI into the  
340 PBPK model turned out to be essential to predict the dose- and time-dependent pharmacokinetic nonlinearity of  
341 voriconazole.

342 Beyond MBI, reversible inhibition of CYP3A4 and CYP2C19 by voriconazole was also explored. Our *in vitro*  
343 assay resulted in a competitive inhibition of CYP3A4  $K_i$  of 0.47 (95% CIs: 0.344-0.636) μM, which is in  
344 agreement with results from other studies, e.g., competitive ( $K_i = 0.66$  μM) and noncompetitive inhibition ( $K_i =$   
345 2.97 μM) in one study [21]; and solely competitive inhibition ( $K_i = 0.15$  μM) in another study [22]. But *in vivo*  
346 evaluation of DDIs between voriconazole and midazolam indicated that assumption of a simple competitive  
347 inhibition only was explicitly not sufficient *in vivo* [42]. An MBI model of CYP3A was discussed in the previous  
348 research but not incorporated due to lack of *in vitro* data to support it. At that time, a hypothetical extra effect  
349 compartment was introduced to describe a time delay. Thus, we conducted an *in vitro* assay to explore MBI of  
350 voriconazole on CYP3A4 to fully understand the metabolism of voriconazole.

351 Also, our *in vitro* assay resulted in the competitive inhibition of voriconazole on CYP2C19 with  $K_i$  values of  
352 1.08 (95% CIs: 0.815-1.43) μM and 1.26 (95% CIs: 0.839-1.82) μM with omeprazole and mephenytoin as  
353 substrates, respectively (in **Table 4**), which could provide some evidence for DDI between voriconazole and  
354 CYP2C19 probe substrates (e.g., omeprazole and mephenytoin). *In vivo*, voriconazole was reported to increase  
355 the C<sub>max</sub> and AUC<sub>T</sub> of omeprazole by 116% and 280% [43], respectively. However, detailed *in vivo* data were  
356 not available, which limited the evaluation of the PBPK DDI models between voriconazole and CYP2C19  
357 substrates, which is one of the limitations of our PBPK model.

358 Beyond the effects of the parent drug, the inhibition of voriconazole N-oxide on CYP3A4 and CYP2C19 was  
359 also investigated. Although voriconazole N-oxide exhibited reversible inhibition on both enzymes, the effects  
360 were weaker with  $K_i$  0.894 (95% CIs: 0.650-1.22) and 9.00 (95% CIs: 6.94-11.7)  $\mu\text{M}$ , respectively (see **Table 4**).  
361 Additionally, at therapeutic voriconazole doses, plasma concentrations of voriconazole N-oxide typically reach  
362 only about a third compared to that of its parent drug [17]. Thus, the inhibition by voriconazole N-oxide would  
363 be much less than that of the parent drug and was considered negligible during PBPK model development.

364 The advantages of the PBPK model approach presented here become evident compared to an empirical  
365 population pharmacokinetic model. PBPK models can depict a more precise mechanistic picture of inhibition  
366 processes. Based on the developed PBPK model, it was feasible to describe the time course of inhibition of  
367 CYP3A4 during and after voriconazole treatment by taking into account the dynamic nature of the inhibition  
368 process with a clear differentiation between liver and small intestinal enzyme activity (**Figure 6**). Furthermore,  
369 this PBPK model could be applied to predict the effect of voriconazole dosing schemes on several other  
370 CYP3A4 substrate drugs and thus to manage respective clinical DDIs. It was verified by the observation that the  
371 predicted DDI was mostly suitable for oral and intravenous midazolam as well as for alfentanil (**Figure 7** and  
372 **S8**), both being established CYP3A4 probe substrates [44].

373 For a thorough understanding of voriconazole pharmacokinetics, CYP2C19 genotype groups were another  
374 important factor during model development, since the wide inter-individual variability mainly resulted from the  
375 genotypes of CYP2C19. Therefore, suitable maintenance doses for CYP2C19 genotype groups (RMs, NMs, and  
376 IMs) were suggested based on simulations. For PMs, the search for a dose to provide an appropriate exposure  
377 was less reliable due to the limited performance of the model for multiple doses in PMs. With MBI on CYP3A4  
378 and deficiency of CYP2C19, voriconazole would accumulate in PMs and might reach extremely high  
379 concentrations after multiple administrations. Yet, the observations from one study showed that the increase of  
380 voriconazole concentrations in PMs after multiple doses was not as high as the prediction (**Figure S2 f**) [19],  
381 indicating that other elimination pathway may compensate to prevent drug accumulation in the body. However,  
382 for PMs, the experimental data to quantitatively describe voriconazole pharmacokinetics in individuals were  
383 sparse, limiting the integration of more complex pathways.

384 Although the presented model performed well in several ways, it has several limitations. The first one is the  
385 assumption that only CYP3A4 and CYP2C19 mediate primary metabolism and elimination of voriconazole. This  
386 assumption may result in over-estimation of the role of CYP3A4 and CYP2C19 activity; the consequence of  
387 ignoring FMO and CYP2C9, however, should be acceptable in most CYP2C19 genotypes (RMs, NMs, and  
388 IMs).  $K_m$  values for FMO1 and FMO3 are in the millimolar range (about 3 mM) [14], which is far beyond the  
389 concentrations reached *in vivo*. A contribution of CYP2C9 was identified in only one paper [13] with a small  
390  $V_{max}$  value, which was not confirmed in other *in vitro* assays [13,45]. Renal excretion of unchanged voriconazole  
391 is less than 2 %, and primary metabolism by glucuronidation is also negligible [17]. Thus, it is reasonable to  
392 simplify the primary metabolism of voriconazole as depending on CYP3A4 and 2C19 only. Also, the fact that  
393 our model was able to properly describe most published data supports a role of CYP3A4 and CYP2C19 also for  
394 unknown metabolic pathways. Another limitation was that the inhibitory effect of voriconazole N-oxide with  
395 less inhibitory effect and lower plasma concentrations was not taken into account, as well as the other  
396 metabolites. Also, we did not attempt to simultaneously describe the concentration-time profiles of voriconazole  
397 N-oxide and other metabolites (hydroxy-fluoropyrimidine voriconazole and dihydroxy-fluoropyrimidine

398 voriconazole) reported in a few published datasets to limit the complexity of the model and to limit the number  
399 of assumptions required. The third limitation was that during the model development, datasets with low doses,  
400 e.g., 50 mg, were not successfully integrated into the model. When extrapolating the model predictions to low  
401 dosages, the simulation showed some over-prediction of voriconazole concentrations. However, such low doses  
402 are not clinically relevant. Fourth, the uncertainty of  $K_I$  from *in vitro* assays could not be implemented into the  
403 PBPK model due to technical limitations of the software. Although the current model successfully described the  
404 complex metabolism of voriconazole, we suggest to further verify the model by additional clinical studies (e.g.,  
405 studies quantifying the metabolites of voriconazole, i.e., voriconazole N-oxide, hydroxy-fluoropyrimidine  
406 voriconazole and dihydroxy-fluoropyrimidine voriconazole in plasma/urine/feces; and studies in PMs with low  
407 multiple doses; DDI studies between CYP3A4 substrates and voriconazole including quantification of its  
408 metabolites and different routes of administration of both substrates and voriconazole).

**409 5 CONCLUSION**

410 MBI of CYP3A4 by voriconazole is an important pharmacokinetic characteristic of the drug and needs to be  
411 taken into account along with CYP2C19 genotype to predict the exposure of voriconazole properly. By  
412 incorporating these elements, a PBPK model of voriconazole was developed which could accurately capture the  
413 time- and dose-dependent alterations of voriconazole pharmacokinetics as well as DDIs caused by voriconazole  
414 inhibitory effects on CYP3A4. This model could support individual dose optimization of voriconazole as well as  
415 DDI risk management. It will be provided as a public tool in the Open Systems Pharmacology (OSP) repository  
416 (<http://www.open-systems-pharmacology.org/>) to assess the DDI potential of investigational drugs, to support  
417 the design of clinical trials or to expand the model for predictions in special populations.

418

**419 Compliance with Ethical Standards****420 Funding**

421 X.L. obtained financial support provided by the program of China Scholarship Council during the study and  
422 manuscript preparation (No.201406920024). T.I.S. obtained a governmental research grant (#13821) from the  
423 Hospital District of South-West Finland, Finland to support his work.

**424 Conflict of interest**

425 Sebastian Frechen is an employee and potential shareholder of Bayer AG, Leverkusen, Germany. Xia Li,  
426 Daniel Moj, Thorsten Lehr, Max Taubert, Chih-hsuan Hsin, Gerd Mikus, Pertti J. Neuvonen, Klaus T. Olkkola,  
427 Teijo I. Saari, Uwe Fuhr have no conflicts of interest to declare.

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Table 1 Clinical studies without information on CYP2C19 genotype used for voriconazole model development and evaluation

Dose [mg]	Route	n	Male [%]	Age [years]	Weight [kg]	Use of dataset	Pred AUC [mg*h/L]	Obs AUC [mg*h/L]	Pred/Obs AUC	Pred C <sub>max</sub> [mg/L]	Obs C <sub>max</sub> [mg/L]	Pred/Obs C <sub>max</sub>	Ref
3/kg,QD D1	iv(1h)	9	100	24 (20-31)	72 (60-87)	d/a	7.90	5.22	1.51	2.45	2.14	1.14	[36]
3/kg,BID D3-11.5 (3/kg,QD D1)	iv(1h)	9	100	24 (20-31)	72 (60-87)	d/a	16.7	16.5	1.01	3.54	3.62	0.98	[36]
6/kg, BID D1	iv(1h)	9	100	28 (19-41)	73 (66-80)	d/a	16.2	13.2	1.23	5.12	4.70	1.09	[36]
3/kg,BID D2-9.5 (6/kg, BID D1)	iv(1h)	9	100	28 (19-41)	73 (66-80)	d/a	15.2	13.3	1.14	3.39	3.06	1.11	[36]
3/kg,BID D2-7 (6/kg BID D1)	iv(1h)	14	100	26.5±1.48*	78.7±1.93*	d/a	17.3	13.9	1.24	3.64	3.00	1.21	[6]
200,BID D8-13.5 (6/kg, BID D1, 3/kg,BID D2-7)	po(-)	14	100	26.5±1.48*	78.7±1.93*	d/a	13.7	9.77	1.40	2.17	1.89	1.15	[6]
4/kg,BID D2-7 (6/kg BID D1)	iv(1h)	7	100	24.7±2.37*	73.2±2.12*	d/a	34.4	29.5	1.17	5.82	5.40	1.08	[6]
300,BID D8-13.5 (6/kg BID D1, 4/kg,BID D2-7)	po(-)	7	100	24.7±2.37*	73.2±2.12*	d/a	20.6	30.9	0.67	2.95	4.84	0.61	[6]
5/kg,BID D2-7 (6/kg BID D1)	iv(1h)	14	100	26.5±1.48*	78.7±1.93*	d/a	44.5	43.4	1.03	7.46	7.18	1.04	[6]
400,BID D8-13.5 (6/kg BID D1, 5/kg,BID D2-7)	po(-)	14	100	26.5±1.48*	78.7±1.93*	d/a	31.8	37.6	0.85	4.48	5.27	0.85	[6]
100,SIG	iv(4h)	20	95	32 (23-52)	80.8±11.8*	e/a	3.25	2.63 <sup>a</sup>	1.24	0.51	0.48	1.06	[15]
400,SIG	iv(2h)	20	95	32 (23-52)	80.8±11.8*	e/a	16.5	21.1 <sup>a</sup>	0.78	3.14	3.73	0.84	[15]
400,SIG	iv(4h)	20	95	32 (23-52)	80.8±11.8*	e/a	16.1	18.8 <sup>a</sup>	0.86	2.23	2.67	0.84	[15]
400, SIG	iv(6h)	20	95	32 (23-52)	80.8±11.8*	e/a	15.9	17.6 <sup>a</sup>	0.90	1.81	1.83	0.99	[15]
200,SIG	iv(1.5)	52	100	26.9±4.9*	70.7±7.8*	e/a	7.53	8.13 <sup>a,♦</sup>	0.93	1.91	2.14 <sup>♦</sup>	0.89	[46]
1.5/kg,QD D1	po(-)	11	100	27 (20-45)	73 (60-90)	e/a	2.67	0.88	<b>3.03</b>	0.62	0.364	1.70	[47]
1.5/kg,TID D3-11.5 (1.5/kg,QD D1)	po(-)	11	100	27 (20-45)	73 (60-90)	e/a	6.48	3.79	1.71	1.34	1.11	1.21	[47]
2/kg,QD D1	po(-)	8	100	26 (20-36)	74 (66-89)	e/a	4.07	1.18	<b>3.45</b>	0.85	0.485	1.75	[47]
2/kg,BID D3-11.5 (2/kg,QD D1)	po(-)	8	100	26 (20-36)	74 (66-89)	e/a	9.52	4.30	<b>2.21</b>	1.61	1.01	1.59	[47]

2/kg,QD D1	po(-)	8	100	31 (21-44)	74 (64-87)	e/a	3.46	1.44	<b>2.40</b>	0.82	0.646	1.27	[47]
2/kg,TID D3-11.5 (2/kg,QD D1)	po(-)	8	100	31 (21-44)	74 (64-87)	e/a	9.23	9.04	1.02	1.88	2.18	0.86	[47]
3/kg,QD D1	po(-)	8	100	25 (18-30)	73 (61-87)	e/a	5.65	3.15	1.79	1.22	1.19	1.03	[47]
3/kg,BID D3-11.5 (3/kg,QD D1)	po(-)	8	100	25 (18-30)	73 (61-87)	e/a	15.4	11.2	1.38	2.50	2.36	1.06	[47]
4/kg,QD D1	po(-)	8	100	25 (20-37)	74 (66-94)	e/a	7.67	5.90	1.30	1.35	1.57	0.86	[47]
4/kg,QD D3-11.5 (4/kg,QD D1)	po(-)	8	100	25 (20-37)	74 (66-94)	e/a	14.3	13.2	1.08	1.98	2.07	0.96	[47]
200,BID D1-6.5	po(-)	9	100	22 (19-25)	74 (67-91)	d/a	14.4	12.9	1.12	2.40	2.24	1.07	[37]
200,BID D1	po(cap)	6	100	29 (23-36)	74 (67-82)	d/a	4.58	3.14	1.46	1.23	0.96	1.28	[38]
200,BID D2-6.5 (200,BID D1)	po(cap)	6	100	29 (23-36)	74 (67-82)	d/a	12.0	12.5 <sup>a</sup>	0.96	2.20	2.04	1.08	[38]
400,QD D1	po(-)	18	100	26 (20-40)	75 (66-92)	e/a	9.22	9.31	0.99	1.92	2.31	0.83	[48]
200,BID D2-9.5 (400,QD D1)	po(-)	18	100	26 (20-40)	75 (66-92)	e/a	12.5	11.2	1.12	2.23	2.08	1.07	[48]
200,BID D2-4 (400 BID D1)	po(-)	12	-	18-50	>40	e/a	12.4	15.2 <sup>a,♦</sup>	0.82	2.23	2.60 <sup>♦</sup>	0.86	[49]
200,BID D22-24 (400 BID D21)	po(-)	12	-	18-50	>40	e/a	12.0	13.6 <sup>a,♦</sup>	0.88	2.21	2.50 <sup>♦</sup>	0.88	[49]
200,BID D2-2.5 (400 BID D1)	po(tab)	13	100	31 (19-52)	78 (62-88)	e/a	13.0	26.5 <sup>a,♦</sup>	<b>0.49</b>	2.24	3.60 <sup>♦</sup>	0.62	[50]
200,BID D2-2.5 (400 BID D1)	po(tab)	16	100	40 (26-54)	80 (65-95)	e/a	13.1	26.8 <sup>a,♦</sup>	<b>0.49</b>	2.24	3.36 <sup>♦</sup>	0.67	[50]
200,BID D1-6.5	po(tab)	10	100	25 (20-30)	73 (62-85)	d/a	13.1	10.5	1.25	2.32	1.87	1.24	[51]
200,BID D1-6.5	po(-)	12	100	29 (21-39)	75 (67-82)	d/a	12.1	13.6	0.89	2.19	2.25	0.97	[52]
200,BID D1-6.5	po(-)	11	100	29 (20-42)	77 (61-91)	d/a	12.0	9.42	1.27	2.16	2.00	1.08	[53]
200,BID D2-3.5 (400 BID D1)	po(-)	14	0	35 (19-51)	74 (52-87)	e/a	13.5	17.6 <sup>a</sup>	0.77	2.32	2.80	0.83	[54]
200,BID D2-2.5 (400 BID D1)	po(tab)	16	100	34 (20-48)	79 (59-92)	e/a	13.0	26.3 <sup>a,♦</sup>	<b>0.49</b>	2.22	3.06 <sup>♦</sup>	0.73	[55]
200,BID D2-3.5 (400 BID D1)	po(-)	16	0	26 (19-36)	-	e/a	18.5	14.9 <sup>♦</sup>	1.24	2.91	2.64 <sup>♦</sup>	1.10	[56]
200,BID D2-3.5 (400 BID D1)	po(-)	16	100	30 (20-42)	-	e/a	12.6	24.0 <sup>♦</sup>	0.53	2.10	2.74 <sup>♦</sup>	0.77	[57]

200,BID D2-6.5 (400 BID D1)	po(tab)	20	50	28 (20-43)	-	e/a	12.9	11.2	1.15	2.33	2.37	0.98	[58]
200,BID D2-7.5 (400 BID D1)	po(-)	14	100	29 (18-45)	-	e/a	14.6	14.7 <sup>a,♦</sup>	0.99	2.47	2.87 <sup>♦</sup>	0.86	[59]
200,BID D2-3.5 (400 BID D1)	po(-)	18	100	28 (20-40)	-	e/a	13.2	29.9 <sup>b,♦</sup>	<b>0.44</b>	2.25	3.96 <sup>♦</sup>	0.57	[60]
							GMFE(range)	1.39(0.44-3.45)		1.20(0.57-1.75)			
							Pred/Obs within 2-fold	36/44		44/44			

AUC values are AUC<sub>τ</sub> if not specified otherwise, <sup>a</sup>: AUC<sub>obs</sub>, <sup>b</sup>: AUC at steady-state; Observed aggregate values are reported as geometric mean if not specified otherwise, <sup>♦</sup>: arithmetic mean; <sup>\*</sup>: standard error; /kg: per kg of body weight; D: day of treatment according to the numbering in the reference; SIG: single dose, QD: once daily, BID: twice daily, TID: three times daily; iv: intravenously, po: orally; e: datasets for model evaluation, d: dataset for model development; i: individual datasets; a: aggregate datasets; tab: tablet, cap: capsule; Obs: observed aggregate value from literature, Pred: predicted value based on the model; GMFE: geometric mean fold error; -: not available. The ratios of predicted versus observed AUC and C<sub>max</sub> outside 0.5- to 2.0-fold limits were printed in bold.

**Table 2 Clinical studies with information on CYP2C19 genotype used for voriconazole model development and evaluation**

CYP2C19 genotype	Dose [mg]	Route	n	Male [%]	Age [years]	Weight [kg]	Use of dataset	Pred AUC [mg*h/L]	Obs AUC [mg*h/L]	Pred/Obs AUC	Pred C <sub>max</sub> [mg/L]	Obs C <sub>max</sub> [mg/L]	Pred/Obs C <sub>max</sub>	Ref.
RM(*1/*17, *17/*17)	50,SIG	iv(2h)	8	63	30 (24-53)	71 (55-96)	e/i	1.66	1.02	1.63	0.39	0.320	1.22	[24]
	50,SIG	po(tab)	8	63	30 (24-53)	71 (55-96)	e/i	1.08	0.40	<b>2.70</b>	0.27	0.167	1.62	[24]
	400,SIG	iv(2h)	7	71	30 (24-53)	73 (58-96)	e/i	17.5	16.5	1.06	3.49	3.29	1.06	[24]
	400,SIG	po(tab)	7	71	30 (24-53)	73 (58-96)	e/i	9.37	15.3	0.61	1.6	3.21	0.50	[24]
	400,SIG	iv(2h)	6	67	25 (23-28)	75 (61-93)	e/i	17.4	18.8	0.93	3.56	4.05	0.88	[18]
	400,SIG	po(tab)	6	67	25 (23-28)	75 (61-93)	d/i	10.3	13.6	0.76	1.66	2.90	0.57	[18]
	200,SIG	po(tab)	4	100	21±2*	-	e/a	6.07	3.39	1.79	1.22	1.15	1.06	[61]
	400,SIG	po(cap)	3	0	29 (24-37)	69 (64-74)	e/i	13.9	15.9	0.87	1.83	2.97	0.62	[62]
	400,SIG	po(tab)	5	100	26 (24-31)	80 (71-87)	e/i	11.2	11.6	0.97	1.79	2.22	0.81	[63]
400,SIG	po(cap)	8	100	27 (24-37)	-	e/a	12.0 <sup>a</sup>	13.3 <sup>a</sup>	0.90	1.69	2.16	0.78	[20]	
									GMFE(range)	1.36(0.61-2.70)		1.37(0.50-1.62)		
NM(*1/*1)	50,SIG	iv(2h)	4	100	35 (24-46)	77 (65-86)	e/i	1.69	1.24	1.36	0.38	0.345	1.10	[24]
	50,SIG	po(tab)	3	100	35 (24-46)	77 (65-86)	e/i	1.12	0.53	<b>2.11</b>	0.27	0.167	1.62	[24]
	400,SIG	iv(2h)	4	100	35 (24-46)	77 (65-86)	e/i	18.1	21.4	0.85	3.33	3.61	0.92	[24]
	400,SIG	po(tab)	3	100	35 (24-46)	77 (65-86)	e/i	11.2	13.6	0.82	1.79	2.21	0.81	[24]
	200,SIG	iv(1h)	6	100	26.7±2.9*	71.2±4.3*	e/a	9.03 <sup>a</sup>	6.51 <sup>a</sup>	1.39	2.48	2.74	0.91	[19]
	200,QD D1	po(-)	6	100	26.7±2.9*	71.2±4.3*	e/a	6.16 <sup>b</sup>	4.64 <sup>b</sup>	1.33	1.24	2.32	0.53	[19]
	200,BID D2-7 (200,QD D1)	po(-)	6	100	26.7±2.9*	71.2±4.3*	e/a	16.4 <sup>b</sup>	19.3 <sup>b</sup>	0.85	2.41	3.21	0.75	[19]
	400,SIG	iv(2h)	2	50	31 (24-38)	76 (69-83)	e/i	19.9	18.8	1.06	3.28	4.05	0.81	[18]
	400,SIG	po(tab)	2	50	31 (24-38)	76 (69-83)	d/i	13.4	13.6	0.99	1.87	2.90	0.64	[18]
	200,SIG	po(tab)	7	100	22±1.5*	59.4±6.2*	e/a	6.04	5.16 <sup>♥</sup>	1.17	1.41	1.45 <sup>♥</sup>	0.97	[64]
	200,SIG	po(tab)	8	100	21±2*	-	e/a	6.97	6.18	1.13	1.46	1.65	0.88	[61]
	200,BID D2-2.5 (400,BID D1)	po(-)	24	83	27 (18-45)	69 (49-103)	e/a	13.9 <sup>b</sup>	12.9 <sup>b,♦</sup>	1.08	2.32	3.01 <sup>♦</sup>	0.77	[65]

	200,BID D2-3.5 (400,BID D1)	po(-)	8	100	29 (22-43)	70 (56-77)	e/a	17.9 <sup>c</sup>	31.0 <sup>c*</sup>	0.58	2.75	4.02 <sup>♦</sup>	0.68	[31]	
	400,SIG	po(tab)	4	100	25 (22-31)	78 (70-88)	e/i	11.5	16.9	0.68	1.69	3.11	0.54	[63]	
	400,SIG	po(cap)	5	100	28 (25-31)	78 (71-85)	e/i	12.0	15.9	0.75	1.69	2.97	0.57	[62]	
	400,SIG	po(cap)	9	100	27 (22-31)	-	e/a	9.82 <sup>a</sup>	16.4 <sup>a</sup>	0.60	1.59	3.10	0.51	[20]	
										GMFE(range)	1.31 (0.58-2.11)				1.38(0.51-1.62)
IM	50,SIG	iv(2h)	4	75	30 (25-34)	71 (56-78)	e/i	1.86	1.13	1.65	0.42	0.32	1.31	[24]	
(*1/*2,*1/*3 ,*2/*17, *2/*2/*17)	50,SIG	po(tab)	4	75	30 (25-34)	71 (56-78)	e/i	1.29	0.58	<b>2.22</b>	0.31	0.22	1.41	[24]	
	400,SIG	iv(2h)	4	75	30 (25-34)	71 (56-78)	e/i	22.8	25.0	0.91	3.70	3.82	0.97	[24]	
	400,SIG	po(tab)	4	75	30 (25-34)	71 (56-78)	e/i	14.2	23.2	0.61	2.14	3.32	0.64	[24]	
	200,SIG	iv(1h)	6	100	24.7±2.7*	74.2±7.3*	e/a	9.96 <sup>a</sup>	10.1 <sup>a</sup>	0.99	2.45	3.36	0.73	[19]	
	200,QD D1	po(-)	6	100	24.7±2.7*	74.2±7.3*	e/a	7.07 <sup>b</sup>	7.02 <sup>b</sup>	1.01	1.22	1.81	0.67	[19]	
	200,BID D2-7 (200,QD D1)	po(-)	6	100	24.7±2.7*	74.2±7.3*	e/a	29.7	42.4 <sup>b</sup>	0.70	3.50	5.78	0.61	[19]	
	400,SIG	iv(2h)	8	63	26 (24-32)	76 (65-103)	e/i	22.9	37.4	0.61	3.53	4.33	0.82	[18]	
	400,SIG	po(tab)	8	63	26 (24-32)	76 (65-103)	d/i	14.9	30.9	<b>0.48</b>	1.89	3.28	0.58	[18]	
	400,SIG	po(tab)	5	100	27 (26-31)	80 (68-93)	e/i	12.8	22.2	0.58	1.79	3.15	0.57	[63]	
	400,SIG	po(cap)	8	78	26 (22-33)	76 (62-84)	e/i	15.6	20.7	0.75	1.83	2.85	0.64	[62]	
	400,SIG	po(cap)	14	100	26 (22-33)	-	e/a	13.2 <sup>a</sup>	25.7 <sup>a</sup>	0.51	1.77	2.84	0.62	[20]	
										GMFE(range)	1.51(0.48-2.22)				1.46(0.57-1.41)
PM(*2/*2, *2/*3,*3/*3)	50,BID D2-2.5 (100,BID D1)	po	8	100	29 (24-45)	76 (68-102)	e/a	5.07 <sup>b</sup>	6.00 <sup>b*</sup>	0.85	0.72	0.760 <sup>♦</sup>	0.95	[65]	
	200,SIG	iv(1h)	6	100	27.3±3.6*	68.9±3.5*	e/a	14.3 <sup>a</sup>	20.5 <sup>a</sup>	0.70	2.71	2.92	0.93	[19]	
	200,QD D1	po(-)	6	100	27.3±3.6*	68.9±3.5*	e/a	9.23 <sup>b</sup>	9.25 <sup>b</sup>	1.00	1.35	2.41	0.56	[19]	
	200,BID D2-7 (200,QD D1)	po	6	100	27.3±3.6*	68.9±3.5*	e/a	122 <sup>b</sup>	58.7 <sup>b</sup>	<b>2.08</b>	12.1	7.21	1.68	[19]	
	400,SIG	iv(2h)	4	50	30 (20-37)	69 (58-79)	d/i	38.8	44.4	0.87	3.94	4.30	0.92	[18]	
	400,SIG	po(tab)	4	50	30 (20-37)	69 (58-79)	d/i	25.2	41.6	0.61	2.08	3.91	0.53	[18]	
	400,SIG	po(tab)	4	33	29 (19-37)	67 (47-85)	e/i	30.2	42.4	0.71	2.19	3.24	0.68	[62]	

200,SIG	po(tab)	7	100	21.6±2.2*	58.4±8.1*	e/a	11.7	17.2 <sup>♥</sup>	0.68	1.7	1.36 <sup>♥</sup>	1.25	[64]
200,SIG	po(tab)	8	100	21±2*	-	e/a	11.3	16.3	0.69	1.63	1.89	0.86	[61]
200,BID D2-3.5 (400,BID D1)	po(-)	8	100	29 (22-43)	70 (56-77)	e/a	79.9 <sup>c</sup>	77.1 <sup>c,♦</sup>	1.04	8.76	10.9 <sup>♦</sup>	0.80	[31]
400,SIG	po(cap)	4	100	31 (19-37)	-	e	25.0 <sup>a</sup>	45.7 <sup>a</sup>	0.55	2.26	3.13	0.72	[20]
									GMFE(range)	1.39(0.55-2.08)		1.34(0.53-1.68)	
									GMFE(range)	1.39(0.48-2.70)		1.39(0.50-1.68)	
									Pred/Obs within 2-fold	44/49		49/49	

AUC values are AUC<sub>obs</sub> if not specified otherwise, <sup>a</sup>: AUC<sub>0-∞</sub>, <sup>b</sup>: AUC<sub>τ</sub>, <sup>c</sup>: AUC<sub>12</sub>. Observed aggregate values are reported as arithmetic mean if not specified otherwise, <sup>♦</sup>: geometric mean, <sup>♥</sup>: median; \*: standard deviation; D: day of treatment according to the numbering in the reference; SIG: single dose, QD: once a day, BID: twice daily; iv: intravenously, po: orally; e: datasets for model evaluation, d: dataset for model development; i: individual datasets; a: aggregate datasets; Obs: observed aggregate value from literature, Pred: predicted value based on the model; tab: tablet, cap: capsule, GMFE: geometric mean fold error; RM: rapid metabolizers, NM: normal metabolizers, IM: intermediate metabolizers, PM: poor metabolizers; -: not available. The ratios of predicted versus observed AUC and C<sub>max</sub> outside 0.5- to 2.0-fold limits were printed in bold.

**Table 3 DDI study dosing regimens, populations, predicted and observed AUC and C<sub>max</sub> ratios**

Perpetrator [mg]	Victim	n	Male [%]	Age [years]	Weight [kg]	Use of dataset	Pred AUC ratio with/without VCZ (90% CI)	Obs AUC ratio with/without VCZ (90% CI)	Pred AUC ratio / Obs AUC ratio	Pred C <sub>max</sub> ratio with/without VCZ (90% CI)	Obs C <sub>max</sub> ratio with/without VCZ (90% CI)	Pred C <sub>max</sub> ratio / Obs C <sub>max</sub> ratio	Ref.
voriconazole	alfentanil												
400 BID D1,200 BID D2,po	0.02mg/kg,iv	12	58	19-31	65-105	e/a	3.41(1.69-5.28)	3.97 (3.39-4.66) <sup>a</sup>	0.86	-	-	-	[61]
voriconazole	midazolam												
400 BID D1,200 BID D2,po	0.05mg/kg,iv	10	100	19-26	65-100	e/i	3.95 (1.96-6.41)	3.61 (3.20-4.08) <sup>b</sup>	1.09	-	-	-	[17]
400 BID D1,200 BID D2,po	7.5mg,po	10	100	19-26	65-100	e/i	7.51 (2.83-12.0)	9.85 (8.23-11.8) <sup>b</sup>	0.76	2.44(1.90-3.44)	3.56 (2.85-4.44) <sup>b</sup>	0.69	[17]

<sup>a</sup>: AUC<sub>0-10</sub>, <sup>b</sup>: AUC<sub>0-∞</sub>; Observed aggregated values are reported as geometric mean if not specified otherwise; D: day of treatment according to the numbering in the reference; BID: twice daily; e: datasets for model evaluation, d: dataset for model development; i: individual datasets; a: aggregate datasets; iv: intravenously, po: orally; Obs: observed aggregated value from literature; Pred: predicted value based on the model; CI: confidence interval; -: not available.

**Table 4 IC<sub>50</sub>, IC<sub>50</sub> shift, K<sub>i</sub> assay results (point estimates with 95% confidence intervals)**

Enzyme	Inhibitor	IC <sub>50</sub>	K <sub>i</sub>	IC <sub>50</sub>		IC <sub>50</sub> Shift
				Without NADPH	With NADPH	
		$\mu M$	$\mu M$	$\mu M$		-fold difference
CYP3A4 (midazolam)	VRZ	6.04(3.41-10.7)	0.470(0.344-0.636)	48.7(18.5-128)	3.00(0.465-19.3)	16
	VRZ N-oxide	3.52(2.08-5.95)	0.894(0.650-1.22)	32.3(21.1-49.4)	5.24(0.814-33.7)	6
CYP2C19 (mephenytoin)	VRZ	17.1(11.7-25.0)	1.08(0.815-1.43)	47.6(8.47-267)	24.1(17.6-33.0)	2
	VRZ N-oxide	119(49.0-289)	9.00(6.94-11.7)	145(71.6-295)	44.0(26.8-72.4)	3
CYP2C19 (omeprazole)	VRZ	5.29(3.98-7.02)	1.26(0.839-1.82)	17.9(11.9-27.1)	5.46(1.10-27.0)	3
	VRZ N-oxide	40.4(5.78-282)	7.43(5.58-9.80)	121(72.0-202)	21.0(12.6-34.8)	6

The inactivity pre-incubations time was 30 min and the secondary activity incubation time was 10 min. VRZ: voriconazole. K<sub>i</sub>: inhibitor constant, IC<sub>50</sub>: half maximal inhibitory concentration of inhibitor.

**Table 5 Mechanism-based inactivation K<sub>I</sub>/k<sub>inact</sub> assay conditions and results (point estimates with 95% confidence intervals)**

Enzyme	Substrate	voriconazole concentrations	Duration of pre-incubation	Incubation time	K <sub>I</sub>	k <sub>inact</sub>	k <sub>inact</sub> /K <sub>I</sub>
		$\mu M$	min	min	$\mu M$	min <sup>-1</sup>	ml/min/ $\mu mol$
CYP3A4	midazolam	0,4,12,40,120,400	0,1,3,6,12,18,24,30	10	9.33 (2.56-34.0)	0.0428 (0.0171-0.107)	0.00459

K<sub>I</sub>: the inhibitor concentration when reaching half of k<sub>inact</sub>, k<sub>inact</sub>: maximum time-dependent inactivation rate constant.

**Table 6 Physicochemical and pharmacokinetic parameters of the voriconazole PBPK model**

Parameter	Units	Value used in voriconazole model	Source of values	Description
MW	g/mol	349.3	349.3	Molecular weight
fu	%	42 [1,24,62,63]	0.42[1,24,62,63]	Fraction unbound
logP		1.8 [24,63]	1.75[64],1.65*,1.8[24,63] 2.56[62]	Lipophilicity
pKa		1.60(base) [65]	1.60[65], 1.76[24,62,63],12.71(acidic)*, 2.27(basic)*	Acid dissociation constant
Solubility (pH)	mg/mL	3.2(1.0)[65], 2.7(1.2)[66], 0.1(7.0)*	0.2[63],0.0978*,3.2(1.0)[65],2.7(1.2)[66]	Solubility
Specific intestinal permeability	cm/s	$2.71 \times 10^{-4}$	Optimized, $2.81 \times 10^{-5}$ [24]	Normalized to surface area
Partition coefficients		Poulin and Theil [24,62]	Poulin and Theil [24,62]	Organ-plasma partition coefficients
Cellular permeabilities		PK-Sim standard	-	Permeation across cell membranes
CYP3A4 $K_m$	$\mu\text{mol/L}$	15 [24]	15[24],11[24], $16 \pm 10$ [67], $11 \pm 3$ [67], 235[8], $834.7 \pm 182.2$ [63]	Michaelis-Menten constant of CYP3A4 #
CYP3A4 $k_{cat}$	$\text{min}^{-1}$	2.12	Optimized, 0.31[24], 0.1[24], $32.2 \pm 28.4$ [63], $0.05 \pm 0.01$ [67], $0.10 \pm 0.01$ [67], 0.14[8]	CYP3A4 catalytic rate constant#
CYP2C19 $K_m$	$\mu\text{mol/L}$	3.5 [24]	3.5[24], $9.3 \pm 3.6$ [63], $14 \pm 6$ [67], 3.5[8]	Michaelis-Menten constant of CYP2C19#
CYP2C19 $k_{cat}$	$\text{min}^{-1}$	1.19 [24]	1.19[24], $40 \pm 13.9$ [63], $0.22 \pm 0.02$ [67], 0.39[8]	CYP2C19 catalytic rate constant#
GFR fraction		1	-	Fraction of filtered drug reaching the urine
CYP3A4 $K_i$	$\mu\text{mol/L}$	9.33	<i>in vitro</i> result from this study	Voriconazole inhibition constant on CYP3A4
CYP3A4 $k_{inact}$	$\text{min}^{-1}$	0.015	Optimized from <i>in vitro</i> results from this study (0.04)	Voriconazole inactivation rate constant on CYP3A4
$D_{T,50}$ for tablet	min	30	Optimized	Dissolution time (50% dissolved) for Weibull function
Shape factor for tablet		1.29	Optimized	Dissolution shape parameter for Weibull function

\* drug bank; all three reported solubility values were used for interpolation; # values apply for global voriconazole metabolism via this enzyme irrespective of the metabolic pathway; Specific intestinal permeability  $2.71 \times 10^{-4}$  cm/s were optimized; CYP: cytochrome P450; CYP3A4  $k_{cat}$   $2.12 \text{ min}^{-1}$  were optimized; GFR: glomerular filtration rate; -: not available.

## Figure legends

### Figure 1 Metabolic pathway for voriconazole

\*Indirect evidence from different CYP2C19 genotype groups [18].

### Figure 2 Workflow of voriconazole PBPK model development and evaluation

The PK profiles used to select the distribution model were also utilized to optimize  $V_{max}$  and  $k_{inact}$  for CYP3A4. There were 21 PK datasets for model development and 72 for model evaluation in total. ADME: absorption, distribution, metabolism, elimination; PK: pharmacokinetics; MBI: mechanism-based inactivation; PMs: poor metabolizers; DDIs: drug-drug interactions.

### Figure 3 Prediction performance of voriconazole PBPK model on aggregate plasma concentrations for multiple doses

Observed aggregate data reported in the literature are shown as dot, triangle, square, cross, or crossed square [6,36–38,47–60]. Population simulation medians are shown as lines; the shaded areas illustrate the 68% population prediction intervals. Details of dosing regimens, study populations, predicted versus observed pharmacokinetic parameters are summarized in **Table 1**. iv: intravenously, po: oral; D: day; QD: once daily, BID: twice daily, TID: three times daily; Plasma conc: voriconazole plasma concentration.

### Figure 4 Prediction performance of voriconazole PBPK model on individual plasma concentration in different CYP2C19 genotype groups for a single dose

Observed individual data reported in the literature are shown as dots [18,24,62,63]. Population simulation medians are shown as lines; the shaded areas illustrate the 95% population prediction intervals. Details of dosing regimens, study populations, predicted versus observed PK parameters are summarized in **Table 2**. iv, intravenously, po: oral; Plasma conc: voriconazole plasma concentration; RM: rapid metabolizers, NM: normal metabolizers, IM: intermediate metabolizers, PM: poor metabolizers; Rengel: Rengelshausen.

### Figure 5 Goodness of fit plot of the PBPK model of voriconazole

Predicted versus observed aggregate AUC (a),  $C_{max}$  (b) and  $C_{trough}$  (c) of the voriconazole from all clinical studies. The identity line and 0.5- to 2.0-fold acceptance limits are shown as solid and dashed lines, respectively. Different colors represent different clinical trials.

### Figure 6 Effect of therapeutic multiple oral dosages of voriconazole on hepatic and small intestinal CYP3A activity

Predicted change of relative hepatic (green line) and small intestinal (red line) CYP3A activity over time after therapeutic multiple oral dosages of voriconazole. The blue line represents voriconazole plasma concentration. Arrows indicate dosing events of a standard therapeutic dosing schedule for oral voriconazole.

### Figure 7 Prediction performance of voriconazole PBPK model in DDI with CYP3A4 probe substrates

The voriconazole model integrated with the models of CYP3A4 probe substrates predicted inhibitory effects of voriconazole on CYP3A4 *in vivo*. Population predictions of a) alfentanil or b, c) midazolam plasma concentration-time profiles, with and without voriconazole treatment were compared to observed data shown as

green triangles (control) or red dots (VCZ co-administration) or symbols  $\pm$  SD [23,66]. Population simulation median are shown as green lines (control) or red lines (VCZ co-administration); the shaded areas illustrate the respective a) 68% and b, c) 95% population prediction intervals. iv: intravenously; po: oral. Details of dosing regimens, study populations, predicted and observed DDI AUC ratios and  $C_{\max}$  ratios are summarized in **Table 3**.

**Figure 8 Probability of target attainment for therapeutic and toxic trough concentrations in different CYP2C19 genotype groups for chronic dosing**

Red and green lines represent the probability of therapeutic target attainment based on trough plasma concentration above 1 mg/L and above 2 mg/L, respectively. Blue and purple lines show probability of toxicity target attainment based on trough plasma concentration above 5 mg/L and above 6 mg/L, respectively. IM, intermediate metabolizers; NM, normal metabolizers; RM, rapid metabolizers