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## Impacts of spatial and environmental differentiation on early Palaeozoic marine biodiversity

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1 **Impacts of spatial and environmental differentiation on early Palaeozoic marine**  
2 **biodiversity**

3

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5

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24 **Abstract**

25 The unprecedented diversifications in the fossil record of the early Palaeozoic (541-419 Ma)  
26 increased both  $\alpha$  (within-sample) and  $\gamma$  (global) diversity, generating considerable ecological  
27 complexity. Faunal difference ( $\beta$  diversity), including spatial heterogeneity, is thought to have  
28 played a major role in early Palaeozoic marine diversification, though  $\alpha$  diversity is the major  
29 determinant of  $\gamma$  diversity through the Phanerozoic. Drivers for this Phanerozoic shift from  $\beta$  to  $\alpha$   
30 diversity are not yet resolved. Here, we evaluate the impacts of environmental and faunal  
31 heterogeneity on diversity patterns using a global spatial grid. We present early Palaeozoic  
32 genus-level  $\alpha$ ,  $\beta$  and  $\gamma$  diversity curves of molluscs, brachiopods, trilobites and echinoderms and  
33 compare them with measures of spatial lithological heterogeneity, which is our proxy for  
34 environmental heterogeneity. We find that  $\alpha$  and  $\beta$  diversity are associated with increased  
35 lithological heterogeneity, and that  $\beta$  diversity declines over time while  $\alpha$  increases. We suggest  
36 that the enhanced dispersal of marine taxa from the Middle Ordovician onwards facilitated  
37 increases in  $\alpha$  diversity by encouraging the occupation of narrow niches and increasing the  
38 prevalence of transient species, simultaneously reducing spatial  $\beta$  diversity. This may have  
39 contributed to a shift from  $\beta$  to  $\alpha$  diversity as the major determinant of  $\gamma$  diversity increase over  
40 this critical evolutionary interval.

41

42 **Introduction**

43 The early Palaeozoic fossil record shows tremendous diversification, often conceptualized as two  
44 episodes: the Cambrian Explosion (CE) and the Great Ordovician Biodiversification Event  
45 (GOBE), with contrasting impacts on global diversity and palaeoecology<sup>1-10</sup>. The CE includes  
46 the appearance of most metazoan phyla, and widespread metazoan burrowing, macropredation,

47 motility and reef building<sup>11</sup>. During the GOBE, marine family- and genus-level diversity rose,  
48 increasing ecological tiering, plankton diversity and bioturbation, and changing the composition  
49 of reef communities<sup>2,8</sup>. However, the mechanisms driving early Paleozoic diversity accumulation  
50 remain elusive<sup>12</sup>. To address this issue,  $\alpha$ ,  $\beta$  and  $\gamma$  diversity are widely used to quantify the  
51 contributions of within-sample diversity ( $\alpha$ ) and faunal heterogeneity ( $\beta$ ) to total diversity ( $\gamma$ )  
52 (e.g. <sup>1,13-15</sup>, see review in<sup>16</sup>).

53  
54  $\gamma$  and  $\alpha$  diversity rose during the early Palaeozoic<sup>13,17,18</sup>, but the role of  $\beta$  diversity is less  
55 obvious. Diversity may be measured in spatial or environmental units, including continents,  
56 depositional basins, rock outcrops, palaeoenvironments or formations, which here means rock  
57 units with formally described characteristics which distinguish them from surrounding rocks.  
58 One recent study of within-formation diversity found that during the initial stages of  
59 diversifications, including in the early Palaeozoic,  $\gamma$  diversity is driven by  $\beta$  diversity until it  
60 saturates<sup>14</sup>. Then,  $\alpha$  diversity becomes the main  $\gamma$  diversity driver, as predicted by theoretical  
61 models<sup>19</sup>.

62  
63 While within-formation  $\beta$  diversity focuses on environmental faunal differentiation, geographic  $\beta$   
64 diversity (geodispersity<sup>13,20</sup>) may have played an important role in early Palaeozoic  
65 diversification. During the CE, geodispersity increased in concord with continental  
66 fragmentation<sup>13</sup>, and during the GOBE it may have been affected by dispersal, which facilitated  
67 speciation<sup>21,22</sup> and geographic range expansion<sup>23</sup>.  $\beta$  diversity patterns within and between  
68 palaeocontinents suggest that at regional scales, Ordovician geographic  $\beta$  diversity declined as  
69 taxa dispersed and integrated into communities, increasing  $\alpha$  diversity<sup>24</sup>.

70

71 Here, we assess the impact of spatial and environmental faunal differentiation at global scale. We  
72 adopt a spatial approach to  $\beta$  diversity, which should capture the effects of large-scale processes  
73 such as continental fragmentation, dispersal and integration of taxa between areas, the  
74 development of climatic gradients, and biogeographic change, which are implicated in early  
75 Palaeozoic diversification<sup>13,21,25,26</sup>. We also evaluate the role of faunal differentiation between  
76 lithologies in generating geographic  $\beta$  diversity. This spatial approach complements studies of  
77 diversity dynamics based on palaeoenvironments (e.g. <sup>1</sup>) or on collections from lithological  
78 formations (e.g. <sup>14</sup>).

79

80 We constructed global Cambrian-Silurian genus-level geographic  $\alpha$  and  $\beta$  diversity curves of  
81 echinoderms, trilobites, brachiopods and molluscs, using equal-area hexagonal grids of side 111  
82 km. This time window includes the CE and GOBE, as well as the Hirnantian extinction. We use  
83 additive  $\beta$  diversity partitioning to evaluate the contributions of taxon replacement and loss to  $\beta$   
84 diversity, as a means of evaluating the impact of dispersal. We also test the hypothesis that rising  
85  $\alpha$  diversity during the Cambrian-Silurian is related to the development of more heterogeneous  
86 habitats, and that faunal and lithological  $\beta$  diversity are linked. Consideration of both  
87 environmental and spatial faunal differentiation is essential for resolving the mechanisms driving  
88 early Palaeozoic diversification.

89

## 90 **Results**

91 After rising in the early Cambrian,  $\beta$  diversity estimates show generally high values across most  
92 time bins, only dipping below 0.5 in the Hirnantian (Figure 1b). An initial sharp increase from

93 the Terreneuvian to Cambrian Series 2 is in agreement with previously published work on  
94 geographic  $\beta$  diversity change in the Cambrian, which shows an initial increase followed by  
95 decline, though our time bins do not permit detection of a later Cambrian recovery<sup>13</sup>. In the  
96 Ordovician,  $\beta$  diversity initially increases between the Tremadocian and the Floian, then  
97 gradually declines towards the Katian, though it remains high (above 0.8). This is followed by a  
98 sharp decline to 0.47 in the Hirnantian, coincident with the homogenization of faunas during the  
99 Hirnantian mass extinction interval<sup>27</sup>. In sum, high  $\beta$  diversity developed during the early  
100 Cambrian, and showed a modest increase at the beginning of the Ordovician, before declining in  
101 the Hirnantian and failing to recover fully in the Silurian. The overall pattern is consistent with  
102 published geographic  $\beta$  diversity curves for the Phanerozoic<sup>20</sup>. We find no correlation between  $\beta$   
103 diversity and the mean nearest-neighbour distance between grid cell centres in a time bin  
104 (Kendall's  $\tau = 0.26$ ,  $p = 0.25$ , see Supplementary Information Table 1). Raw  $\beta$  diversity  
105 estimates are somewhat higher than the RAC (Relative Abundance Corrected) estimates, and  
106 show a relatively flattened signal through time (Extended Data Figure 1, see Methods for details  
107 on the RAC method).

108

109 Global  $\gamma$  diversity increases initially, from the Terreneuvian to Cambrian Series 2. After a small  
110 decline in the Miaolingian,  $\gamma$  diversity peaks in the Darriwilian (Figure 1a) and declines steeply  
111 in the Hirnantian, corresponding with the end-Ordovician mass extinction, before recovering  
112 somewhat in the early Silurian.  $\gamma$  diversity correlates moderately with  $\alpha$  diversity over the  
113 Cambrian to Silurian (Kendall's  $\tau = 0.46$ ,  $p = 0.03$ ), and strongly with  $\beta$  diversity (Kendall's  $\tau =$   
114  $0.59$ ,  $p < 0.01$ ).

115

116 Mean  $\alpha$  diversity increases steadily from the Terreneuvian to the Sandbian, with a minimum of  
117 5.6 in the Terreneuvian (Figure 1c). After peaking in the Sandbian,  $\alpha$  diversity declines slightly  
118 after the Hirnantian, before peaking in the Sheinwoodian-Homerian. However, the end of the  
119 Silurian is marked by an  $\alpha$  diversity decline. There is no correlation between  $\alpha$  diversity and  $\beta$   
120 diversity (Kendall's  $\tau = 0.05$ ,  $p = 0.86$ ).

121  
122 Lithological variation within grid cells, represented by the mean number of formations within  
123 grid cells, shows no pronounced increase through time (Figure 2a). We find a moderate positive  
124 correlation between the number of formations within grid cells and  $\alpha$  diversity (Kendall's  $\tau =$   
125 0.43,  $p = 0.04$ ; Figure 3).

126  
127 We also calculated lithological heterogeneity between grid cells. We treated the lithological units  
128 within grid cells as taxa and calculated the raw Sørensen dissimilarity, since this is a presence-  
129 absence measure and we do not expect incomplete sampling to affect the numbers of formations  
130 recorded. While there is no clear trend in lithological  $\beta$  diversity through time and values are  
131 high, we find a moderate positive correlation between taxonomic and lithological  $\beta$  diversity  
132 (Kendall's  $\tau = 0.39$ ,  $p = 0.08$ ).

133  
134 Nestedness is very low in the Cambrian and Ordovician, with almost all  $\beta$  diversity reflecting  
135 turnover (Figure 2b). However, in the Silurian, nestedness increases somewhat, accounting for  
136 up to 16 % of geographic  $\beta$  diversity.

137

138 While the number of grid cells containing sufficient data for analysis varies between time bins,  
139 there is no statistically significant correlation between  $\alpha$ ,  $\beta$  or  $\gamma$  diversity and the number of grid  
140 cells (Kendall's  $\tau = 0.10$ ,  $p = 0.67$ ; Kendall's  $\tau = 0.18$ ,  $p = 0.44$ ; Kendall's  $\tau = 0.33$ ,  $p = 0.16$ ).

141 We infer that the number of samples is not a major influence on the diversity patterns recovered.

142

### 143 **Discussion**

144 Our results confirm that geographic  $\beta$  diversity is an important component of  $\gamma$  diversity increase  
145 over the Cambrian – Ordovician. This is consistent with published data<sup>13</sup>, which suggest that the  
146 CE occurred under conditions of continental fragmentation and, in the early Cambrian, involved  
147 animals with low dispersal abilities, leading to a global assemblage of relatively low-diversity  
148 but highly spatially differentiated communities. We also find that incomplete sampling flattens  
149 raw geographic  $\beta$  diversity fluctuations throughout the Cambrian-Silurian, making null models  
150 and correction methods valuable for  $\beta$  diversity studies over this interval (See Extended Data  
151 Figure 1).

152

153 The results of our  $\gamma$  diversity calculations are consistent with previous curves at stage level<sup>28</sup>.

154 The Middle Ordovician peak in  $\gamma$  diversity appears decoupled from  $\beta$  diversity, and instead rises  
155 with  $\alpha$  diversity (Figure 1). After the Floian, Ordovician increases in  $\alpha$  and  $\gamma$  diversity were not  
156 matched by any corresponding increase in  $\beta$  diversity, and as the GOBE proceeded in the  
157 Darriwilian-Katian,  $\beta$  diversity began to decline, suggesting faunal homogenisation. In the  
158 Silurian,  $\alpha$  diversity continues to increase while geographic  $\beta$  diversity declines, suggesting that  
159 enhanced  $\alpha$  diversity may be related to the expansion of taxa into new areas.

160

161 The recovery of  $\gamma$  diversity after the Hirnantian involves an initial increase in  $\beta$  diversity, after  
162 which  $\alpha$  diversity continues to rise as  $\beta$  diversity declines. This may correspond to the high-  
163 competition scenario of Hautmann (2014)<sup>19</sup>, in which increases in  $\beta$  diversity during  
164 diversifications are transient, and cease when habitat contraction cannot continue. We find a  
165 strong correlation between  $\gamma$  and  $\beta$  diversity over the whole time interval, but the curves suggest  
166 a decoupling of  $\gamma$  and  $\beta$  diversity in the Middle-Late Ordovician and Silurian. This is consistent  
167 with the conclusions of Hofmann et al. (2019)<sup>14</sup>, who found that within formations,  $\beta$  diversity is  
168 only a major driver of  $\gamma$  diversity in the early stages of diversifications, particularly in the early  
169 Palaeozoic. A similar pattern may apply to diversity patterns over spatially defined areas.

170

171 The decline in  $\beta$  diversity during the Floian-Katian, in the main pulse of the GOBE, suggests that  
172 the geographic range expansion of Ordovician marine invertebrates may have begun a gradual,  
173 global faunal homogenization, a process both predicted and measured in the early  
174 Palaeozoic<sup>23,29,30</sup>. The slight increase in nestedness reported here, particularly over the  
175 Ordovician and Silurian, suggests that over this interval, assemblages within grid cells are  
176 increasingly structured by patterns of colonisation followed by taxon loss<sup>31</sup>. The RAC  $\beta$  diversity  
177 curve also emphasizes the profound impact of the Hirnantian mass extinction interval on  
178 geographic  $\beta$  diversity, which almost halved over this time. Global faunal homogenization during  
179 the Hirnantian has been documented in studies of between-formation  $\beta$  diversity (e.g.<sup>27</sup>), but its  
180 impact appears to have been more severe than previously recognized. Furthermore, we find that  
181 the Hirnantian extinctions had a proportionally greater impact on geographic  $\beta$  diversity than on  
182 mean  $\alpha$  diversity, emphasising their geographically heterogeneous effects.

183

184 The positive correlation between lithological and taxonomic  $\beta$  diversity likely illustrates the role  
185 played by habitat differentiation in  $\beta$  diversity; pairs of grids containing occurrences from  
186 different lithological formations are more taxonomically distinct, and pairs containing  
187 occurrences from the same formation are more taxonomically similar, though there is no clear  
188 trend in lithological  $\beta$  diversity over time. While lithological formations often comprise multiple  
189 distinct habitats<sup>14</sup>, we have chosen to average the habitats recorded in a single lithological  
190 formation and compare the number of formations occurring within grid cells. The positive  
191 correlation between  $\alpha$  diversity and the number of formations in a grid cell suggests that the  
192 more formations occur within a grid, the higher its  $\alpha$  diversity is likely to be. Although this  
193 heterogeneity has both spatial and temporal components, the same effects are also present in the  
194 diversity data, so we treat them as comparable. We suggest that in addition to community  
195 differentiation between habitats, increased spatial environmental heterogeneity may have been  
196 linked to increased  $\alpha$  diversity over the Cambrian-Silurian.

197  
198  $\alpha$  diversity is highest during the Silurian, but this is not solely due to the *in situ* origination of  
199 new taxa, since  $\beta$  diversity declined at this time, and  $\gamma$  diversity peaked during the Middle  
200 Ordovician. Here, we will first address the implications of the decline in  $\beta$  diversity, and then go  
201 on to discuss the implications of the disjunct between  $\alpha$  and  $\beta$  diversity for the mechanisms  
202 driving  $\alpha$  diversity.

203  
204 At the large spatial scales used in this study,  $\beta$  diversity patterns are affected by large-scale  
205 physical features such geographic barriers and the development of a latitudinal diversity  
206 gradient<sup>26,32-34</sup>, and our results suggest that they are also linked to changes in the spatial

207 distribution of environments and in the ease of dispersal. The gradual decline in  $\beta$  diversity from  
208 the Middle Ordovician to the Silurian implies enhanced dispersal of genera between grid cells.  
209 Geographic and environmental range expansion of taxa is expected as taxa age, and has been  
210 documented in the Ordovician rock record<sup>23</sup>. This dispersal may have been enhanced from the  
211 Ordovician onwards after the stepwise event known as the Ordovician Plankton Revolution<sup>3</sup>, a  
212 diversification of planktic organisms from the late Cambrian onwards. In particular, both  
213 molecular clock estimates and evidence from molluscan protoconchs suggest a Cambrian-  
214 Silurian increase in the diversity of larval phases capable of feeding (planktotrophy), particularly  
215 in the late Cambrian-early Ordovician<sup>35,36</sup>. Planktotrophic larvae can typically disperse for longer  
216 than non-planktotrophic larvae and can be produced in larger numbers, since they do not require  
217 an energetically expensive yolk as a food source<sup>37</sup>. The dispersal of planktic larvae over longer  
218 distances provides a possible evolutionary mechanism for early Palaeozoic  $\beta$  diversity decline.  
219  
220 Enhanced dispersal is also consistent with the slight increase in nestedness in the late Ordovician  
221 and Silurian, which suggests that assemblages are increasingly constructed by the dispersal of  
222 taxa into new areas followed by taxon loss<sup>31</sup>, rather than the isolation which leads to taxon  
223 replacement (turnover). A quantitative examination of the role of dispersal in Ordovician genus  
224 range expansion is outside the scope of this study, because of the general lack of preservation of  
225 motile larval stages. However, widespread dispersal of brachiopod and trilobite taxa has been  
226 documented through the Middle and Late Ordovician, and has been implicated as a driver for  
227 speciation<sup>22,38</sup>.  
228  
229 Dispersal could also explain the rise in  $\alpha$  diversity at a time when  $\beta$  was declining. One

230 mechanism for increasingly close spatial packing of taxa is the rise of environmental spatial  
231 heterogeneity at increasingly small scales, for example through the expansion of complex  
232 environments such as reefs. This creates ever-smaller environmental niches for species to  
233 occupy, increasing niche packing and consequently  $\alpha$  diversity<sup>1,39</sup>. According to this model, taxa  
234 cannot persist in environments where they are outcompeted in all niches; the more specialised  
235 the niche, the less likely it is that an individual will find its niche when colonising a new area.  
236 Enhanced dispersal abilities may have allowed genera to disperse over large distances and  
237 sustain high mortality in search of patchy habitats which fit increasingly narrow environmental  
238 preferences<sup>37</sup>. This would facilitate the development of  $\alpha$  diversity in areas with enhanced  
239 environmental heterogeneity by allowing animals to adopt narrower niches and coexist at higher  
240 diversities, a prediction which may be supported by the positive correlation between  $\alpha$  diversity  
241 and the number of formations within grids.

242

243 However, easy dispersal also allows the development of a metacommunity from which  
244 populations can be replenished after local extinction. This allows ‘transient’ taxa to persist and  
245 contribute to  $\alpha$  diversity over long timescales, despite lacking a competitive advantage in any  
246 particular niche<sup>40-42</sup>. Where dispersal is easy, increased niche packing and an increase in the  
247 prevalence of transient genera leave the same spatial diversity patterns (increased  $\alpha$  diversity and  
248 reduced  $\beta$  diversity), and are not mutually exclusive processes, so we cannot directly quantify  
249 their contributions in this case. However, the niche packing interpretation implies the ongoing  
250 origination of new taxa with increasingly specialised habitat preferences, and the extinction of  
251 pre-existing taxa, and so should be accompanied by high origination and extinction rates.  
252 Instead, origination and extinction rates fell rapidly during the Late Cambrian-Early

253 Ordovician<sup>43</sup>, suggesting that niche packing was not a dominant driver of increasing  $\alpha$  diversity  
254 after this time. Instead, we tentatively interpret the Ordovician-Silurian disjunct between  $\beta$  and  $\alpha$   
255 diversity as a consequence of a major increase in transient species within grid cells, facilitated by  
256 the increasing ease of dispersal after the evolution of planktotrophic larvae.

257

258 In sum, our results suggest a combination of mechanisms for the reported early Palaeozoic shift  
259 from rising  $\gamma$  diversity driven by  $\beta$  diversity, to  $\alpha$  diversity<sup>14</sup>. As expected,  $\gamma$  diversity correlates  
260 positively with both  $\alpha$  and  $\beta$  diversity over the Cambrian-Silurian, though the influence of  $\beta$   
261 diversity may have declined after the Ordovician, when  $\gamma$  and  $\alpha$  both rise while  $\beta$  falls.

262 Environmental heterogeneity and faunal differentiation between environments appears to be an  
263 important driver of both  $\alpha$  and  $\beta$  diversity, illustrated by positive correlations with the number of  
264 formations within grids and lithological  $\beta$  diversity, respectively. Our data are also consistent  
265 with an important role for dispersal in driving both  $\alpha$  and  $\beta$  diversity patterns, with increased  
266 dispersal from the late Cambrian leading to declining  $\beta$  diversity and enhanced  $\alpha$  diversity. This  
267 combination of mechanisms may have altered global diversity dynamics and ecosystem  
268 complexity by allowing taxa to adopt narrower niches, and, from the early Ordovician onwards,  
269 allowing taxa with no competitive advantage to persist more easily. The result was a shift in the  
270 mechanisms driving global diversification, from the maintenance of geographic  $\beta$  diversity  
271 through origination of young taxa with low dispersal abilities, to enhanced  $\alpha$  diversity driven by  
272 environmental and faunal differentiation at smaller spatial scales, geographic range expansion,  
273 and an increase in the prevalence of transient genera.

274

275 Ongoing work is required to evaluate the relative impacts of palaeogeographic,

276 palaeoenvironmental and evolutionary drivers on global diversity.  $\alpha$  and  $\beta$  diversity estimates at  
277 varying scales and using a variety of approaches (spatial, environmental, and using indices which  
278 focus on different aspects of faunal difference), can complement each other to clarify possible  
279 drivers for global diversification in the early Palaeozoic. Furthermore, more studies of the  
280 sedimentology and geochemistry of early Palaeozoic environments, and their interaction with  
281 niche occupation in early Palaeozoic communities, are needed to unpick the smaller-scale  
282 mechanisms driving community differentiation, niche packing, and taxon persistence over this  
283 critical time interval.

284

## 285 **Methods**

286 We calculated  $\alpha$ ,  $\beta$  and  $\gamma$  diversity for all time bins which contained at least four grid cells  
287 containing ten fossil occurrences or more, of which at least two had to reach the coverage for  
288 standardization (set to 0.4). We set the minimum number of occurrences to ten to reduce the  
289 chance that null models would be built based on data which represented the abundance  
290 distribution of their original populations poorly. Along with diversity measures, we also used  
291 additive  $\beta$  diversity partitioning to assess the contributions of nestedness and turnover to  $\beta$   
292 diversity, and calculated the mean nearest-neighbour great-circle distances between grid cell  
293 centres using the R package *icosa*<sup>44</sup>.

294

295 We based our analysis on fossil occurrences of brachiopods, echinoderms, molluscs and  
296 trilobites from the Paleobiology Database (PaleoBioDB), identified to genus level (downloaded  
297 18<sup>th</sup> January 2019, [www.paleobiodb.org](http://www.paleobiodb.org)). Additional data were input to the database before this  
298 study, and the PaleoBioDB is the most comprehensive fossil occurrence dataset available.

309 Lagerstätten were removed, to minimize the impact of varying preservation quality between time  
300 bins. All PaleoBioDB collections are linked to paleogeographic latitudinal and longitudinal  
301 coordinates based on GPlates (<https://www.gplates.org/>) rotations<sup>45</sup>. We used the R package  
302 icos<sup>44</sup> to assign fossil occurrences to equal-area hexagonal grid cells of side 111 km, and treated  
303 each grid cell as a single sample. Consequently, samples for  $\alpha$  diversity calculation are composed  
304 of fossils from an undifferentiated mix of depositional environments, and are also time-averaged  
305 within time bins (see Supplementary Information Tables 2 and 3 for time bin boundaries). Grids  
306 of side 222 km and 55 km gave similar  $\beta$  diversity results (See Extended Data Figure 2).

307

308 The time bins are based on chronostratigraphic stages from the International Chronostratigraphic  
309 Chart (v2018/08)<sup>46</sup>. The current PaleoBioDB chronostratigraphic binning scheme is less precise  
310 and based on Gradstein et al. (2012)<sup>47</sup>, which caused some data loss during binning (such as the  
311 data from Cambrian Stage 4 and the three Furongian stages). All PaleoBioDB occurrences that  
312 have absolute time and/or chronostratigraphic stage assignments that fit within our time bin  
313 scheme were taken into consideration for our analysis. However, time bin duration can influence  
314  $\alpha$ ,  $\beta$  and  $\gamma$  diversity patterns, because the temporal turnover of species can artificially inflate  
315 diversity in longer time bins<sup>20</sup>. Cambrian to Silurian stages in the PaleoBioDB binning scheme  
316 range in duration from 11 Myr in the Terreneuvian, to 1.4 Myr in the Hirnantian. Mean time bin  
317 durations in the Cambrian and Ordovician are similar to each other (5.8 and 5.9 Myr,  
318 respectively), while Silurian time bins are shorter (3.1 Myr). To ensure that diversity  
319 measurements were comparable between time periods, we amalgamated the shortest Silurian  
320 time bins with their neighbouring bins (revised mean Silurian time bin length = 6.2 Myr),  
321 reducing our number of time bins to 16 but limiting the impact of time bin length on results. This

322 leaves the Silurian divided into four time bins: Rhuddanian-Aeronian, Telychian, Sheinwoodian-  
323 Homerician and Gorstian-Ludfordian-Pridoli. Neither  $\alpha$ ,  $\beta$  nor  $\gamma$  diversity shows a significant  
324 correlation with revised time bin length (See Extended Data Table 1).

325  
326 Coverage standardisation was used to reduce the impact of sampling effort and exposed rock  
327 volume on the results<sup>48</sup>. This effect is especially pronounced in  $\alpha$  and  $\gamma$  diversity, but there is no  
328 correlation between mean coverage and  $\beta$  diversity, when no coverage standardisation is applied  
329 (Kendall's  $\tau = 0.08$ ,  $p = 0.77$ ). However, because the relationship between  $\alpha$  and  $\beta$  is of interest,  
330 we chose to coverage-standardise  $\beta$  diversity for comparability with  $\alpha$  diversity. Coverage  
331 standardisation for  $\gamma$  diversity estimates was done over whole time bins, rather than standardising  
332 each grid cell separately. The standardisation coverage was set to 0.4 because this permitted  $\beta$   
333 diversity calculations for most of the time bins which met the minimum number of grid cells;  
334 sensitivity analyses demonstrate that a standardization coverage of 0.2 or 0.5 does not  
335 significantly change the results (See Extended Data Figure 3). Time bins were pre-screened in an  
336 attempt to ensure that there would be enough data in the time bin for simulated datasets to pass  
337 coverage standardization. When coverage for standardization is set to 0.5, several additional time  
338 bins do not contain enough data for analysis. The minimum number of grid cells for time bins to  
339 be analysed was arbitrarily set to 4, to remove data from time bins containing very few grid cells  
340 which would have low spatial coverage.

341  
342 'β diversity' may refer to any one of over twenty mathematical treatments of faunal difference,  
343 with distinct sensitivities, biases and data types, and there are also conceptual differences in the  
344 definition of  $\beta$  diversity between studies<sup>49–53</sup>. For example,  $\beta$ -diversity can be measured across

345 depositional environments<sup>1,38,54</sup>, between or within lithostratigraphic units<sup>14,27</sup>, or across pre-  
346 defined sampling areas<sup>13,20</sup>. Here, we estimated global geographic  $\beta$  diversity<sup>13</sup> by calculating  
347 pairwise Sørensen dissimilarities between equal-area grid cells using the R package *vegan*<sup>55</sup>.  
348  
349  $\beta$  diversity can be subdivided into turnover and nestedness components<sup>31</sup>. Nestedness reflects the  
350 extent to which less diverse assemblages are subsets of more diverse ones, while turnover  
351 reflects the extent to which taxa are replaced when assemblages are compared<sup>31</sup>. Because  
352 nestedness and turnover result from differing processes (taxon loss and taxon replacement,  
353 respectively), partitioning  $\beta$  diversity into turnover and nestedness components can reveal  
354 underlying drivers of assemblage difference, which are not obvious from a single  $\beta$  diversity  
355 measure<sup>56</sup>. The Sørensen dissimilarity incorporates both turnover and nestedness components of  
356  $\beta$  diversity; the nestedness component is calculated by subtracting the Simpson  
357 dissimilarity<sup>51,57,58</sup>, which only measures turnover, from the Sørensen dissimilarity<sup>31,51,59</sup>. While  
358 the R package *betapart* is designed for performing this procedure, we implemented it using  
359 *RACB.Diversity()*<sup>60</sup>, which has an option to calculate the Simpson dissimilarity as re-expressed  
360 by Lennon et al. (2001)<sup>57</sup>. Presence-absence diversity indices may be excessively influenced by  
361 the presence of rare taxa, so we also calculated the abundance-based RAC Bray-Curtis  
362 dissimilarity<sup>51,61</sup>, which was not significantly different from the Sørensen dissimilarity curve,  
363 demonstrating that rare taxa are not a major influence on our results (See Extended Data Figure  
364 4). The  $\beta$  diversity between two communities ranges from 0 to 1, where a value of 0 indicates  
365 that the assemblages are identical, while a value of 1 indicates that the assemblages contain no  
366 shared taxa.  
367

368 Many suggested drivers for early Palaeozoic diversifications involve an increased supply of  
369 resources such as oxygen, nutrients, or chemical energy<sup>10,62</sup>, which may increase the abundance  
370 of organisms<sup>63</sup>. Abundance influences diversity patterns because abundant taxa are more likely  
371 to be preserved and sampled than rare ones<sup>64</sup>, and with incomplete sampling, abundance  
372 distributions can alter apparent  $\beta$  diversity<sup>60</sup>. Because of this, we used the Relative Abundance  
373 Corrected (RAC)  $\beta$  diversity between grid cells within time bins as a  $\beta$  diversity measure,  
374 because it corrects for spuriously high  $\beta$  diversities caused by incomplete sampling, and because  
375 it reduces the impact of changing abundance distributions on  $\beta$  diversity<sup>60</sup>. Raw  $\beta$  diversity  
376 values recovered are considerably higher than the RAC values, which suggests that incomplete  
377 sampling may increase apparent  $\beta$  diversity values in the early Palaeozoic (See Extended Data  
378 Figure 1). We used 100 replicates for simulations within RACB.Diversity(), and coverage-  
379 standardised to Good's  $u = 0.4$ , to reduce the impact of uneven sampling on diversity estimates  
380 and to ensure that  $\alpha$  and  $\beta$  diversity estimates are based on the same data.

381

382 Coverage-standardised  $\alpha$  (within grid cell) and  $\gamma$  diversities were calculated using the function  
383 estimatedD() in the R package iNEXT<sup>65</sup>, which calculates taxonomic diversity with a pre-defined  
384 level of sample coverage (set to 0.4, for comparability with  $\beta$  diversity analyses). We excluded  
385 extrapolations with suspected large biases from the means for each time bin. We used the mean  
386 within-grid  $\alpha$  diversity as a proxy for spatial niche packing<sup>1,17</sup>, based on the principle that two  
387 taxa cannot coexist if they occupy the same ecological niche (Gause's principle of competitive  
388 exclusion<sup>66</sup>), and we extend this principle to the genus level.

389

390 The effects of sampling may be especially hard to interpret for  $\beta$  diversity. The effect of exposed

391 sedimentary rock volume on global diversity curves is well documented, though may have a  
392 ‘common cause’ with diversification<sup>67–69</sup>. Similarly, we might expect declining  $\beta$  diversity values  
393 in time bins with increasingly closely spaced samples. Therefore, we checked for correlations  
394 between  $\beta$  diversity and the number of grids in a time bin, and also between  $\beta$  diversity and the  
395 mean nearest-neighbour great circle distance between grid cell centres in a time bin (See  
396 Extended Data Table 1).

397

398 The heterogeneity of environments within grid cells was evaluated using the mean number of  
399 formations within grid cells, which we calculated after removing grid cells containing fewer than  
400 ten fossil occurrences.

401

402 We checked for autocorrelation in all variables before calculating correlations between them  
403 using the `acf()` function in R<sup>70</sup>. No variable showed autocorrelation (See Extended Data Figure  
404 5), so we have not detrended data before checking for correlations. We used Kendall’s  $\tau$  as a  
405 measure of correlation, and we set the critical value for significance at 0.1, given the relatively  
406 small sample size ( $n = 13$ , once time bins with insufficient data are filtered out).

407

#### 408 **Code Availability**

409 The complete code and relevant results are recorded in R-code and can be downloaded at  
410 [zenodo.org](https://zenodo.org/doi/10.5281/zenodo.3463219) (DOI: 10.5281/zenodo.3463219).

411

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418 Biodiversification Event”.

419

#### 420 **Author Contributions**

421 AP and BK devised this research, agreed on analytical techniques, and wrote the paper together.  
422 AP calculated  $\beta$  diversity and checked correlations. BK downloaded and formatted data from the  
423 Paleobiology Database, calculated  $\alpha$  and  $\gamma$  diversity, and drew the figures.

424

#### 425 **Data Availability**

426 All data used in this work can be downloaded from the Paleobiology Database  
427 (<https://paleobiodb.org/#/>). URLs for retrieving the data used to generate these results are  
428 available in the accompanying code (see ‘Code Availability’).

429

#### 430 **Competing Interests**

431 The authors declare no competing interests.

432

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595

596

## 597 **Figure Captions**

598 **Figure 1. Gamma, beta, and alpha diversity curves from 540-419 Ma**

599 The following abbreviations are used for stratigraphic names: Terr = Terreneuvian, Se2 =  
600 Cambrian Series 2, Mia = Miaolingian, Fu = Furongian, Tr = Tremadocian, Fl = Floian, Dp =  
601 Dapingian, Dw = Darriwilian, Sb = Sandbian, Ka = Katian, Hi = Hirnantian, Rh = Rhuddanian,  
602 Ae = Aeronian, Tl = Telychian, Sh = Sheinwoodian, Ho = Homerian, Go= Gorstian, Lu =  
603 Ludfordian, Pr = Pridoli, Lo = Lochkovian.

604 **a.** Global ( $\gamma$ ) diversity, showing a peak during the Darriwilian, during the main pulse of the  
605 GOBE.

606 **b.** Geographic  $\beta$  diversity measured using the RAC Sørensen dissimilarity.

607 **c.** Mean  $\alpha$  diversity.

608 In all figures, 95% confidence intervals are shown in orange. All metrics are coverage-  
609 standardised to Good's  $u = 0.4$ . The Cambrian Explosion (CE) extends from approximately 530-  
610 520 Ma<sup>11</sup>, while the Great Ordovician Biodiversification Event (GOBE) extends throughout the  
611 Ordovician<sup>8</sup>.

612

613 **Figure 2. Heterogeneity of lithological units within grids, and nestedness from 540-419 Ma**

614 The following abbreviations are used for stratigraphic names: Terr = Terreneuvian, Se2 =  
615 Cambrian Series 2, Mia = Miaolingian, Fu = Furongian, Tr = Tremadocian, Fl = Floian, Dp =  
616 Dapingian, Dw = Darriwilian, Sb = Sandbian, Ka = Katian, Hi = Hirnantian, Rh = Rhuddanian,  
617 Ae = Aeronian, Tl = Telychian, Sh = Sheinwoodian, Ho = Homerian, Go= Gorstian, Lu =  
618 Ludfordian, Pr = Pridoli, Lo = Lochkovian.

619 **a.** Mean number of formation within grids, used as a measure of environmental heterogeneity.

620 **b.** Nestedness, calculated by subtracting the RAC Simpson dissimilarity from the RAC Sørensen  
621 dissimilarity.

622

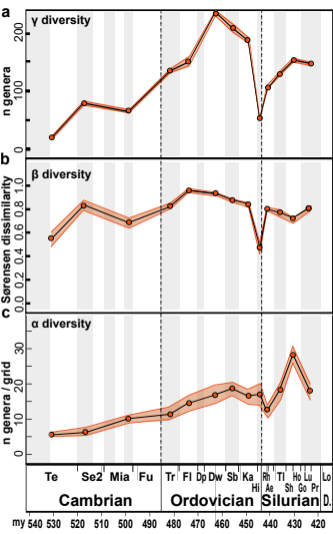
623 **Figure 3. Relationship between within-grid alpha diversity and within-grid number of**

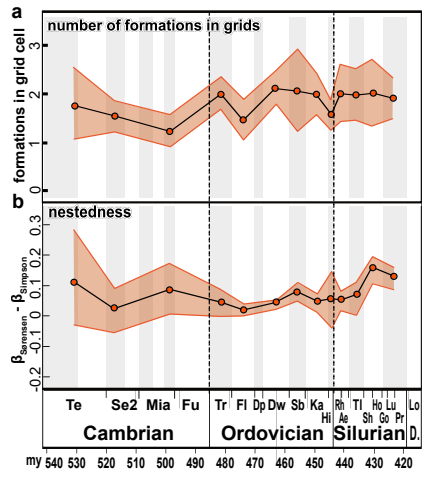
624 **formations.**

625 Kendall's  $\tau = 0.53$ ,  $p = 0.01$

626

627





Relationship between within-grid alpha diversity and number of formations

