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da Silva, Erasmo Andrade; de Araujo, Helder Farias Pereira; Aleixo, Alexandre; Antonelli, Alexandre; Fernandes, Alexandre M.

2020-01

Springer Science and Business Media Deutschland GmbH

<http://hdl.handle.net/10138/319643>

da Silva, E A, de Araujo, H F P, Aleixo, A, Antonelli, A & Fernandes, A M 2020, 'The effects of climate change on the distribution of South American antbirds (*Thamnophilus punctatus* complex) as affected by niche divergences and contact zone interactions between species', *Journal of Ornithology*, vol. 161, no. 1, pp. 229-241. <https://doi.org/10.1007/s10336-019-01721-3>

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Please cite the original version.

1 Manuscript submission to Journal of Ornithology

2 Manuscript type: Original research

3

4 **The effects of climate change on the distribution of South American antbirds (*Thamnophilus***
5 ***punctatus* complex) as affected by niche divergences and contact zone interactions between species.**

6

7

8 Erasmo Andrade da Silva¹, Helder Farias Pereira de Araujo², Alexandre Aleixo³, Alexandre Antonelli^{3,4,5},
9 Alexandre M. Fernandes^{1,7}

10 ¹Rural Federal University of Pernambuco – Serra Talhada Campus, Pernambuco, Brazil

11 ²Department of Biological Sciences, CCA/Federal University of Paraíba – Areia Campus, Paraíba, Brazil

12 ³Finnish Museum of Natural History, University of Helsinki, Helsinki, Finland

13 ⁴Department of Biological and Environmental Sciences, University of Gothenburg, Box 461, SE-405 30,
14 Göteborg, Sweden

15 ⁵Gothenburg Global Biodiversity Centre, Box 461, SE-405 30, Göteborg, Sweden.

16 ⁶Royal Botanical Gardens Kew, TW9 3AE Richmond, United Kingdom

17 ⁷Correspondence author email: fernandesornito@gmail.com

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20

21 **Abstract**

22 Several studies have shown that climatic change has been accelerating due to human activities, leading to
23 dramatic effects on biodiversity. Modeling studies describe how species have reacted in the past to
24 climatic change, and this information can help us to understand the degree of biotic susceptibility to
25 current and future climatic change. This work aims to determine the effects of past, current and future
26 climatic changes on the geographic distribution of the species complex *Thamnophilus punctatus*, a bird
27 clade widely distributed across Neotropical dry forests. We also investigate if species that are
28 phylogenetically similar have comparable climatic niches and, consequently, can be expected to respond
29 similarly to climatic change. For this purpose, we calculated similarity, niche overlap, equivalence and
30 genetic distance between all species, modeling their geographic distributions during the Last Glacial
31 Maximum (LGM) as well as under current conditions and future (2050–2080) scenarios. Our results
32 indicate that there are differences in responses to climatic changes from the LGM to the present among
33 the five species of the *T. punctatus* complex and that the niches in the measured dimensions are not
34 conserved among the studied species. We therefore suggest that the adequate environmental space of taxa
35 of a widely distributed lineage can be shaped in distinct way, regardless of how closely related their
36 species are or how much their niches overlap. Competitive exclusion in zones of contact is an important
37 factor determining the geographical range of the species of the *Thamnophilus punctatus* complex,
38 particularly for the very closely related species *T. sticturus*, *T. pelzelni* and *T. ambiguus*.

39

40 **Key words:** Birds, Climate change, Niche conservatism, South America.

41

42 INTRODUCTION

43 The ecological niche is the combined requirements of food, breeding sites, suitable climate
44 parameters and other factors that individuals of a particular species need in order to survive and thrive.
45 However, species are not static and may over time expand, contract, or shift niche. Closely related species
46 may retain the niches of their ancestral species to some degree and tend to occupy similar if not identical
47 niches (Warren et al. 2008). In other words, the abiotic factors and ecological requirements of lineages are
48 frequently conserved, and the species maintain traces of their ancestral niche, a pattern known as niche
49 conservatism (Medeiros et al. 2015; Warren et al. 2008; Wiens et al. 2010). Environmental niches change
50 in space and time due to different climatic conditions (and the resulting change in selection pressure)
51 faced by organisms over time (Broennimann et al. 2012). These climatic conditions can be driven by
52 various geological events and thus these events can shape the various distribution patterns and influence
53 the process of species diversification.

54 The biomes that make up the Neotropical region have undergone several cycles of expansion
55 and contraction of forests (Arruda et al. 2018), driven by climatic fluctuations with periods of warming
56 and cooling. These cycles have had significant impacts on the distribution, migration, speciation,
57 geographic isolation and extinction of organisms (Antonelli et al. 2018; Arruda et al. 2008; Costa et al.
58 2017; Hoorn et al. 2010; Ledru et al. 2009; Zachos et al. 2001). Some biomes in this region have
59 remained more stable over time than others, one such biome being the Amazonian Rainforest (Arruda et
60 al. 2018).

61 Recently, rainforests and South American savannahs have been experiencing significant and
62 increasing human interference that has altered the landscape of several biomes and changed their climate.
63 For example, deforestation and agricultural expansion have threatened the climatic and ecological stability
64 of Amazonia and Cerrado, which may become even more threatened in the future (Nobre et al. 2007;
65 Fernandes 2013). In the Atlantic Forest (but increasingly so also in Amazonia), the main problem
66 currently is the loss of forest area due to biome fragmentation, because this biome coincides with the
67 primary urban development area in Brazil where human exploitation is extensive (Collevatti et al. 2011).
68 Forest fragmentation has also affected the mechanism of transferring moist air from the ocean, reducing
69 rainfall (Makarieva and Gorshkov 2007). Low-level air moves from areas with weak evaporation to areas
70 with more intense evaporation, such as Amazonia, and this mechanism plays an important role in the
71 maintenance of optimal moisture stores in the soil, compensating the gravitational water runoff. In the
72 Brazilian semi-arid region, the main problem is desertification attributed to the historical and
73 unsustainable use of the Caatinga, including the clear-cutting of vegetation and the removal of other
74 resources. In expected climate change scenarios, these areas will have an even drier climate, with reduced
75 rainfall and increasing water shortages as a result of the expected increase in air temperature, increasing
76 the aridity across Caatinga environments (Marengo et al. 2011). According to Marengo et al. (2009),
77 different South American regions may experience different responses to future climate change scenarios:
78 regions such as northeastern Brazil and central-eastern Amazonia may experience further rainfall deficits,
79 whereas warming may lead to excessive rainfall along the northwest coast of Peru–Ecuador.

80 In a scenario of climatic changes triggered by human activity, the ability of many organisms
81 to adapt and survive is reduced and the species that are unable to rapidly adapt or move can suffer drastic
82 population losses or even extinction (Bickford et al. 2010). Within this scenario, some authors suggest
83 that phylogenetically similar species would tend to have similar ecological responses to climatic change,
84 since they will tend to retain some degree of their ancestral niche (e.g. Peterson et al. 1999). Other authors
85 suggest that environmental niche overlap is closely tied to geographic overlap, but not to phylogenetic
86 distances (e.g. Warren et al. 2008) and, consequently, responses to climatic change are independent of the
87 phylogenetic distance between species. Additionally, widely distributed species are more likely to vary
88 their niches and have a wider range of environmental tolerance, both in the known cases of past climatic
89 change and the expected changes in the future (Marengo et al. 2009; Hoorn et al. 2010; Collevatti et al.
90 2011; Marengo et al. 2011; IPCC 2014).

91 We focus on three general hypotheses related to niche conservatism and niche shifting
92 caused by climatic change: H0 – There is niche conservatism among bird species (i.e., the niches are
93 phylogenetically constrained). An outcome of this is that climatic change will have the same effect on the
94 distribution of closely related species. H1 – A rejection of H0 means that the niches are not conserved
95 (not phylogenetically constrained) and might imply that birds with a large niche overlap will be affected
96 in the same way by climatic changes, independently of their phylogenetic relationships. H2 – A rejection
97 of H0 and H1 means that climatic changes might influence the niche of taxa of a widely distributed
98 lineage, regardless of the phylogenetic relationships or the niche overlap between the species considered.

99 We tested the three hypotheses described above using the *Thamnophilus punctatus* species
100 complex (Thamnophilidae), which has a wide distribution in the Neotropical region. Species belonging to
101 this clade have vocal, morphological and molecular differences with respect to more distant relatives in
102 the genus (Isler et al. 1997; Peters 1951; Zimmer and Isler 2003). This species complex is a suitable
103 model for inferring changes in geographic distributions because it has a wide distribution across the
104 Neotropical region (Fig. 1). Its constituent species occur in various distinct biomes and environments,
105 such as deciduous, semi-deciduous and gallery forests in open biomes, border zones, secondary forests,
106 semi-deciduous forests of the Atlantic Forest domain, and campinas and campinaranas (sandy soil
107 vegetation) of the Amazonian Forest (Isler et al. 1997; Peters 1951; Zimmer and Isler 2003). Thus, the
108 geographic distribution pattern of this bird clade makes it possible to compare species responses to
109 climatic change in the different biomes in which it occurs.

110 The overall aim of this study is to model the past, present and future potential distribution of
111 the *Thamnophilus punctatus* species complex and test the three hypotheses mentioned above in order to
112 identify species-specific responses to climatic change in different South American biomes.

113

114 MATERIALS AND METHODS

115

116 Environmental variables

117 We used a total of 19 variables composed of temperature and precipitation in various
118 combinations, with maximum and minimum extremes, seasonality, annual variation, and daily and
119 monthly averages with a pixel resolution of 30'', about 0.9 km² per pixel. The selection of environmental

120 variables used in the models was determined using the Jackknife test (Phillips and Dudik 2008) to
121 estimate the level of significance of an environmental variable individually in the analysis of species
122 distribution and to select the variables with the highest model gain (Phillips et al. 2006; Phillips and
123 Dudik 2008). The variables used in this study are available through Worldclim (Hijmans et al. 2005) and
124 each one consists of a bioclimatic map (Global Climate Models-GCMs, MIROC).

125 A correlation matrix was generated independently for three scenarios: Last Glacial Maximum
126 (LGM; approximately 20,000 years ago), present (1960–1990), and future (2050–2080), all of them in
127 South America. From this matrix, we excluded highly correlated variables, taking into account values
128 greater than 0.8, resulting in the predictive variables that were used for modeling. Uncorrelated variables
129 were selected in order to generate distribution models via the Maxent algorithm (Phillips et al. 2006). A
130 total of 11 variables were selected: altitude; mean diurnal range, mean of monthly (max temp - min
131 temp); min temperature of coldest month; mean temperature of driest quarter; mean temperature of
132 coldest quarter, precipitation of wettest month; precipitation of driest month; precipitation of driest
133 quarter; precipitation of warmest quarter and precipitation of coldest quarter. For the data treatment, we
134 used the Qgis Program 2.18 and R Studio, and the results were then treated in the Qgis Program 2.18 for
135 further analysis.

136 The occurrence data of the five species of the *T. punctatus* complex were obtained from the
137 Emílio Goeldi Museum (MPEG) of Pará-Brasil, Species Link and the Global Biodiversity Information
138 Facilit (GBIF) (Fig. 1). The software Qgis 2.18 was used to clean and validate the georeferencing data,
139 eliminating repetitions, points outside the distribution of each species, and coordinates in the same grid
140 cell. The occurrence points of each species were combined with data from the environmental databases to
141 generate potential distribution models through Maxent (Phillips et al. 2006).

142

143 Similarity, equivalence and niche overlap

144 Niche overlap is a metric that evaluates whether two environmental niches are superimposed
145 and ranges from 0 (no overlap) to 1 (full overlap). The niche equivalency test assesses if the overlap
146 between niches of two species is less than would be expected if niches were identical. The niche
147 similarity test differs from the equivalency test by addressing whether the environmental niche occupied
148 in one range is more similar to the one occupied in the other range than would be expected by chance
149 (Warren et al. 2008; Broennimann et al. 2012).

150 We compared the performance of the niche variables of different species using univariate
151 analyses of each of the 11 remaining bioclimatic variables in our models, grouped by species pairs. For
152 this we used the Kruskal-Wallis test (Hollander and Wolfe 1973) and subsequently the Dunn test (Dunn
153 1964) where density graphs are generated through the 5.4 sm package (Bowman and Azzalini 2014).

154 Multivariate comparisons between the disjunct populations of the two species were also
155 performed. In these comparisons, niche overlap was quantified using Principal Component Analysis
156 (PCA), following the approach proposed by Broennimann et al. (2012), using ecospat functions
157 (Broennimann et al. 2016) in R. We used the first two axes of the PCA calibrated for the entire climatic
158 space of the study area, which includes all occurrences of disjunct populations (Broennimann et al. 2012).

159 The climatic space delimited by the axes was defined for 100 x 100 cells, with the overlap estimated
160 using Schoener's D metric (1970) as revised by Warren et al. (2008).

161 Subsequently, we performed the niche equivalence test, which determines if the niche
162 overlap is constant when randomly relocated in the distribution of disjunct populations. Lastly, we
163 evaluated niche similarity, which addresses whether the environmental niche occupied by a population at
164 one interval is more similar to the other than would be found at random. This test was based on 100
165 replicates, where, if the observed overlap includes up to 95% of the simulated values, the taxon is
166 considered to occupy similar niches in both of the analyzed distributions. In order words, the niches of the
167 two compared species are more similar than would be expected at random (Warren et al. 2008;
168 Broennimann et al. 2012).

169

170 Geographic distribution modeling

171 There are a number of methods available that can be used to model species distributions.
172 Among these, the Maxent algorithm in the R platform has been shown to be a reliable methodology for
173 predicting distribution scenarios (Phillips et al. 2006; Phillips 2008; Anderson and Raza 2010; Guisan et
174 al. 2017) and was the one we chose to use.

175 A total of 15 climate suitability maps were used, one model for each of the five species of the
176 *T. punctatus* complex in past, present and future conditions. We took into account three different climatic
177 scenarios, and generated five models with the bioclimatic variables from the Last Glacial Maximum
178 (approximately 20,000 years ago), five models using values of baseline (current) bioclimatic variables
179 (WorldClim 1960–1990) and five models with the bioclimatic variables from the estimated future
180 conditions (2050–2080) available in Worldclim (Hijmans et al., 2005). As each map was made from a
181 total of 10 replicates, each model reflects the mean value of replicates for each species.

182 We calculated the variation of climatically suitable areas between the climatic scenarios for
183 each species using binary maps with and without the climatic suitability obtained by Maxent (values of
184 minimum probability of representing an adequate habitat). As such, six different values for prediction
185 thresholds from the present day distribution model were compared with the known current distribution: 1-
186 Minimum training presence logistic threshold, 10 percentile training presence logistic threshold, 2- Equal
187 training sensitivity and specificity logistic threshold, 3- Equal test sensitivity and specificity logistic
188 threshold, 4- Balance training omission, 5- Predicted area and threshold value logistic threshold, and
189 finally 6- Equate entropy of threshold and original distributions logistic threshold. The threshold that
190 matched best with the known current distribution was then used to produce binary species distribution
191 maps under each chronological scenario.

192

193 DNA extraction, amplification and sequencing

194 We sampled 41 individuals covering almost the entire distribution of the *T. punctatus* species
195 complex. All tissues sequenced were derived from voucher specimens deposited in the ornithological
196 collections of the Museu Paraense Emílio Goeldi (MPEG) in Brazil and the Louisiana Museum of Natural
197 History (LSU) in the USA. The following taxa were sampled: *Thamnophilus punctatus*, *Thamnophilus*

198 *stictocephalus*, *Thamnophilus pelzelni*, *Thamnophilus sticturus* and *Thamnophilus ambiguus*
199 (Supplementary material S1).

200 DNA was extracted and sequenced at the Department of Biological and Environmental
201 Sciences, University of Gothenburg, Sweden. We used the DNeasy kit (Qiagen Inc.) following the
202 manufacturer's protocol and published DNA primers (Sorenson et al. 1999) to amplify and sequence one
203 mitochondrial gene (NADAH subunit 2 [ND2]) following standard PCR protocols.

204 Genetic distances (P- distance) between all pairs of species in the complex were estimated
205 using the MEGA6 software package (Table 2). We used Mantel test to investigate the relationships
206 among the matrices of genetic distance, niche overlapping and differences among the variations within
207 the given scenarios (LGM/present, and present/future). This test was performed with the vegan package
208 in R (Oksanen et al. 2019).

209

210 RESULTS

211 Geographic distribution modeling

212 Fifteen climate suitability maps were generated with AUC (Area Under the Curve) training
213 values > 0.9, under each of the past, current and future climate scenarios, with a standard deviation of
214 0.003. These results indicate that the models had a clearly improved performance over that of a random
215 prediction. Therefore, they reflect a biologically more realistic scenario of climatic suitability for each
216 species, with higher precision and better discrimination of suitability. The primary output of most models
217 is a raster representing the probability of species occurrence. For most applications, it is often necessary
218 to select a threshold of probability to classify each pixel into two categories, 'suitable' or 'present', and
219 'unsuitable' or 'absent' (Bean et. al 2012). All generated distribution models were adjusted to minimum
220 probability of occurrence, generating 15 binary maps with both absence and presence of climatic
221 suitability for each studied species in the three scenarios. The Equate entropy threshold and original
222 distributions logistic threshold allowed a distribution scenario similar to the known current distribution of
223 each species, with only a few areas that extend beyond this distribution. These additions could be
224 climatically suitable areas that the species do not currently occupy, not necessarily errors in the model
225 (Fig. 2).

226 Comparisons between the modeled distributions suggest that *T. ambiguus* and *T.*
227 *stictocephalus* underwent a contraction of their distribution from the LGM to that of the present day but
228 may expand this in the future. *Thamnophilus punctatus* has expanded its distribution through time, but
229 this is expected to shrink in the future. The distribution of *T. pelzelni* retracts and that of *T. sticturus*
230 expands from the past through the current into the estimated future climate scenarios (Fig. 2).

231

232 Overlap, equivalence, niche similarity and genetic divergence.

233 The multivariate analysis based on the climatic niche indicated that the PCA explains 77.3%
234 of the total variation, when combining the PC1 (49.1%) and PC2 (28.2%) axes (Fig. 3). When
235 overlapping the correlation circle with climatic projections of ecological niche, the bioclimatic variables
236 that best explain the density of occurrence of each species are: *T. ambiguus*: alt, bio17, bio18, bio13 and
237 bio14 (variables of precipitation); *T. pelzelni*: bio2, bio13, bio11, bio9 and bio6; *T. punctatus*: bio6,

238 bio11, bio13, bio9 and bio18; *T. stictocephalus*: bio6, bio13, bio9, bio11 and bio6 and *T. sticturus*: bio2,
239 bio18 e bio13 (Fig. 3) It is mostly precipitation driving the distributions of *T. ambiguus*, *T. punctatus* and
240 *T. sticturus*, and temperature driving the distributions of *T. pelzelni* and *T. stictocephalus*.

241 The species of the *Thamnophilus punctatus* complex presented, in general, low values for
242 climatic niche overlap, and the hypothesis of niche equivalence between all species was rejected. Niche
243 similarity values varied between approximately 12% and 76%, with the highest values observed between
244 *T. ambiguus* and *T. stictocephalus* and between *T. ambiguus* and *T. pelzelni*, with 76% and 65% similarity
245 respectively.

246 Genetic analyses indicated a high degree of genetic distance (p-distance) between species of
247 the *T. punctatus* complex, but within expectations for closely related species (Table 2). The Mantel test
248 indicated that there were not significative correlations between: 1) SchoenerD x Genetic Distance (p-
249 distance) $r = -0.5826$, $p > 0.05$; 2) Schoener D x LGM/Present $r = 0.5531$, $p > 0.05$; 3) Schoener D x
250 Present/Future $r = 0.1729$, $p > 0.05$; 4) Genetic distance x LGM/Present $r = -0.322$, $p > 0.05$; 5) and
251 Genetic Distance (p-distance) x Present/Future $r = 0.2654$, $p > 0.05$.

252

253 DISCUSSION

254

255 Our study indicates that niche conservatism is unlikely to be a factor in the response to
256 climatic change as the most closely related species did not have identical climatic niches and these
257 species pairs did not respond identically in the modeled climate change scenarios. H0 was therefore
258 rejected as a viable hypothesis. Furthermore, species with a large climatic niche overlap did not respond
259 to the climatic change scenarios in the same way either, which meant that the first alternative hypothesis
260 (H1) was also rejected. Instead, our analysis suggests that climatic changes determine variations in
261 climatic suitability where each species occurs, due to each species being dependent on several region-
262 specific variables, regardless of genetic proximity or degree of niche overlap.

263 Our results are qualitatively similar to those of McCormack et al. (2009), who examined
264 whether allopatrically distributed species of *Aphelocoma* jays can occupy similar climatic niches. They
265 found that these species show a low correlation with climatic variables, such as temperature and
266 precipitation, and show a stronger correlation with vegetation type instead. The expansion and retraction
267 dynamics of modeled distributions for *Aphelocoma* jays follow historical events of biome landscape
268 changes over time, as influenced by climatic changes rather than phylogenetic proximity or niche
269 equivalence. Thus, other ecological and evolutionary processes should also be taken into account as
270 determinants of species distributions, such as speciation, extinction, dispersal and vegetation (Kozak and
271 Wiens 2010; McCormack et al. 2009).

272 An alternative explanation for the rejection of our hypotheses related to niche conservatism
273 and niche shifting caused by climatic change is that the species of the *T. punctatus* complex are all quite
274 closely related and replace each other geographically, probably reflecting speciation by geographical
275 vicariance during the course of the Pleistocene and competitive exclusion in zones of contact. This is
276 particularly relevant for the very closely related *T. sticturus*, *T. pelzelni* and *T. ambiguus* (Brumfield and
277 Edwards 2007), which may have been split by climatic change effects during Pleistocene oscillations and

278 kept their current distribution by exclusion in zones of contact. This species-rich family initially
279 diversified in tropical rainforest biomes, but the *Thamnophilini* tribe shifted to more open savannah
280 habitats along the periphery of the Amazon Basin, beginning in southern Brazil (Bravo 2012; Belmonte-
281 Lopes 2013). This would probably have required some flexibility in its thermal tolerance, as inhabitants
282 of this region would have had to endure periods of cold weather caused by southern polar winter winds,
283 notably in the Pleistocene epoch (Servant et al. 1993). There is a good deal of empirical evidence to
284 suggest that species differ greatly in their ability to tolerate cold: most species prefer hotter temperatures
285 but differ greatly in their abilities to tolerate cold, which may be a specialized condition that allows some
286 groups to take advantage of uncontested food resources that exist (at least for part of the year) at high
287 latitudes (Araujo et al. 2013). This may also be relevant for *Thamnophilus* species, given their
288 biogeographic history. Cold-tolerant species can fairly easily settle or return to warmer climates, but the
289 opposite movement may impose strong selection pressure on populations and is therefore rare (Khaliq et
290 al. 2015). Our interpretation is that this group of *Thamnophilus* antshrikes is thermally flexible (Smith et
291 al. 2012, Araújo et al. 2013; Khaliq et al. 2015; Stager et al. 2015), but the distributions of the individual
292 species are constrained by the biogeographic history, with isolation of populations in different parts of a
293 broad ancestral range. These patterns may presently be maintained by competitive interactions (exclusion)
294 in places where these closely related species coincide or by geographic barriers such as rivers.

295

296 Geographic distribution modeling

297 The models we generated under each climate scenario indicate that there have been
298 differences in responses to climatic changes from the LGM to the present among the five species of the *T.*
299 *punctatus* complex. These results could potentially be generalized for the avifauna that occurs in similar
300 environments, and, if so, they may indicate ecosystem responses to climate change. Thus, further studies
301 could focus on other species complexes that are widely distributed in open areas, in order to determine if
302 climatic changes have had similar effects on species occurring in the same environments and regions and
303 may also do so under ongoing and future climatic changes. Below we discuss the changes modelled for
304 each species individually, highlighting the peculiarities and similarities among them, taking into account
305 the results from previous studies.

306

307 *Thamnophilus punctatus*

308 The models generated for *T. punctatus* indicate suitable areas for their occurrence both in the
309 northern and southern regions of Amazonia, which does not corroborate their known current distribution.
310 One hypothesis to explain this mismatch with the current geographical distribution of *T. punctatus* is the
311 presence of an ecological substitute (*T. stictocephalus*) that may compete with *T. punctatus* (Urbina-
312 Cardona and Loyola 2008). The large Amazonian rivers could isolate these two species, preventing them
313 from exploring new environments. This, in essence, is a possible case of historical contingency affecting
314 the distribution, rather than a current limit due entirely to lack of suitable habitat.

315 Considering that *T. punctatus* is currently found in more open areas of dry forests such as the
316 whitesand “campinas” and boundary forests of Amazonia (Peters 1951; Zimmer and Isler 2003),
317 expansion and contraction would be expected in the LGM and the present, respectively, due to the

318 climatic variations of these periods (Ab'Saber 1977; Prado and Gibbs 1993; Hoorn et al. 2010). However,
319 our models indicated a stability of the size of the climatically suitable areas for this species. For the
320 future, these areas are expected to be somewhat reduced, but overall stability will remain. According to
321 Arruda et al. (2018), with the contraction of the Amazon forest in the past, substitution for other types of
322 vegetation occurred in preserved areas. This means that even with no loss of forest area, more sandy
323 environments of the Amazon river beds were colonized by tree species specialized in occupying these
324 types of arid environments. Such evidence indicates that the Amazon maintained climatically adequate
325 areas for *T. punctatus* between the two scenarios, past and present.

326

327 *Thamnophilus stictocephalus*

328 The models for *T. stictocephalus* predict a significant reduction in the size of climatically
329 adequate areas from the past to the present. The expansion of savannahs during cool periods in the past
330 (Arruda et al. 2018; Häggi et al. 2017) may have been an important factor in *T. stictocephalus* having
331 broader suitability areas in southern regions of the Amazon. The model for the current scenario predicts
332 suitable areas where the species is not known to occur, and a growing suitability area in future scenarios.

333 The current distribution of *T. stictocephalus* (Fig. 1) suggests past climatic changes where
334 savannah areas expanded into southern Amazonia. Although this would indicate a favorable scenario for
335 its future expansion under natural conditions, current forest fragmentation due to the advance of the
336 agricultural frontier in southern Amazonia, especially in the Brazilian states of Pará and Rondônia
337 (Fernandes 2013), may limit their actual spread into environmentally suitable areas.

338

339 *Thamnophilus sticturus*

340 The expansion and contraction of biome vegetation has historically been concentrated in
341 areas of vegetation transition, in addition to the expansion of the Atlantic Forest through the southeastern
342 Brazilian route (Arruda et al. 2018; Costa et al. 1997). The distribution of *T. sticturus* may be restricted
343 to its current distribution as a result of this. The existence of areas predicted by the model to be
344 climatically suitable for this species that occur outside the current distribution of this species (i.e. where
345 no records of occurrence exist), might be explained by competitive exclusion or the effect of unmeasured
346 eco-environmental variables that do constitute part of the species' niche but were not accounted for in this
347 study (Raxworthy et al. 2003; Sobreron and Peterson 2005; Pearson et al. 2007; Urbinas-Cardona and
348 Loyola 2008; Warren et al. 2008). They may also relate to factors that limit dispersal, such as
349 geographical barriers, as in the example of the Paraguay River that may explain the separation between *T.*
350 *Pelzelni* and *T. sticturus* (Grohnert and Piacentini 2018). Climate models predict intense climatic changes
351 in the distribution region of *T. sticturus*, with extreme rainy and dry periods, combined with intense
352 ongoing exploitation of the Pantanal as well as the southern and eastern Amazonian regions for
353 agriculture and energy generation (Marengo et al. 2006). Even so, our simulations indicate an increase in
354 areas with a suitable climate for this species.

355

356 *Thamnophilus pelzelni*

357 The distribution modeling for *T. pelzelni* shows a small decrease in areas of climatic
358 suitability, indicating stability between the LGM and the present. According to Arruda et al. (2018), the
359 Caatinga is a stable climatic domain in South America when the climatic change between the LGM and
360 the present is considered. The expansion and contraction dynamics in this area have been restricted to its
361 transition zones, although this still deserves more detailed studies. Since the distribution of *T. pelzelni* is
362 associated with a potentially stable area in this period, distribution stability would be predicted for the
363 species. As the climate changes, *T. pelzelni* will have a significant reduction in potentially appropriate
364 areas of occurrence, but the species will not be further threatened, because it will be able to occupy some
365 new areas in the future, counterbalancing the loss in other areas (Fig. 2, Table 2). In this scenario, future
366 extinctions at regional levels may occur (Grant and Bowen 1998), impacting the genetic diversity of the
367 species.

368

369 *Thamnophilus ambiguus*

370 Climatic variation between the LGM and the present did not identify climatically favorable
371 areas for the expansion of the distribution of *T. ambiguus*. Several studies point to a decrease in the size
372 of the Atlantic Forest (where *T. ambiguus* maintains populations) between the LGM and the present,
373 especially along the coast due to the inland advance of the ocean front, followed by the replacement of the
374 forest by the underwater vegetation present today (Carnaval et al. 2009; Costa et al. 2017; Jaramillo and
375 Cárdenas 2013). However, in future scenarios expansion is expected (Fig. 2, Table 2). This expansion
376 may be brought on by Atlantic Forest fragmentation due to increasing temperatures and changes of other
377 variables, as well as by anthropic action in this region (Collevatti et al. 2011), which could result in the
378 expansion of the bordering forest areas and secondary forests that are the preferred habitat for this
379 species.

380

381 Niche overlap

382 The niche overlap analyses presented here suggest that divergence of populations separated
383 by past dispersal barriers (such as the lower Amazon river or past climatic change), later reinforced by
384 competitive exclusion where expanding populations come into contact, might be an important factor in
385 the diversification of the species in the *Thamnophilus punctatus* complex. Similar results have been
386 previously suggested for other species using primarily climatic variables (Warren et al. 2008). This
387 further supports the importance of climate change, potentially during Pleistocene oscillations, and
388 competitive exclusion in zones of contact in determining current geographical range, particularly for the
389 very closely related species *T. sticturus*, *T. pelzelni* and *T. ambiguus*.

390 The highest degree of niche overlap found was between *T. pelzelni* and *T. sticturus*, with
391 approximately 33% overlap. These two species are associated with savannah and dry forest environments.
392 *T. sticturus* is however distributed across a larger range of habitats, such as transition areas between
393 Cerrado, Chaco, Amazonian Forest and Pantanal (Isler et al. 1997; Peters 1951; Zimmer and Isler 2003).

394 When comparing the genetic analyses, the niche overlap and the area variations between the
395 scenarios, we found no correlation between the different variables. Although these species share a small

396 portion of their niches, they are also subject to different climatic conditions due to differences in their
397 geographic distributions (Warren et al. 2008; Broennimann et al. 2012).

398 *T. pelzelni* and *T. sticturus* presented a decrease and an increase respectively in the area of
399 their climatic suitability among the modeled scenarios. These divergences were also observed for other
400 species of the complex, for example, *T. punctatus* and *T. stictocephalus*. Therefore, in a global warming
401 scenario, phylogenetically close species may have different distribution responses, especially in the event
402 that they are widely distributed across a wide range of environmental conditions (Broennimann et al.
403 2012).

404

405 Conclusions

406 In summary, our results suggest that climate niche divergence was important for isolation and
407 speciation within the *T. punctatus* complex, potentially during Pleistocene oscillations. The exclusive
408 niches and geographical distributions determine variations in the climatic suitability for species
409 occurrence, due to each species being dependent on several region-specific conditions. The current
410 distributions of sister species can also be associated with competitive exclusion in zones of contact and
411 rivers creating barriers in the Amazon. However, the fragmentation of the Amazon Forest due to the
412 advancing of agriculture in southern Amazonia in the Brazilian states of Pará and Rondônia (Fearnside
413 2018) and the advance and development of cities along the coast of Brazil (Urbina-Cardona and Loyola
414 2008) may limit the spread of species to areas suitable for occupation.

415 According to Häggi et al. (2017), one of the factors that contributed to Amazonian biodiversity is
416 that it had stable climatic conditions. Therefore, many species become highly threatened in a climate
417 change scenario driven by the fragmentation of large forest areas (Bickford et al. 2010; Fernandes 2013;
418 IPCC, 2014; Marengo et al. 2009). This should be most evident for species occupying environments of
419 intense anthropogenic exploration, such as *T. ambiguus* in the Atlantic Forest. Although the distribution
420 of *T. pelzelni* is associated with a potentially stable area during climatic change, the dry forest habitats
421 have also become highly threatened due to intense and historical human explorations. Therefore, the
422 predictions presented here show which species are most susceptible to climatic variations but point to the
423 need for associations with environments and historical biogeography. Additional work should be
424 undertaken to test the effects of climatic changes on other species, in order to provide a more complete
425 picture of the effects on different biomes and species. Taken together, this line of research will provide
426 crucial information to better identify environments that deserve immediate attention for conservation.

427

428 **Acknowledgments**

429 We thank the curators and curatorial assistants of the bird collection of the Museu Paraense
430 Emílio Goeldi and the Louisiana State University Museum of Natural Science for allowing us to study
431 and sequence skins and tissues under their care. During data collection and analysis, Erasmo Andrade da
432 Silva was supported by a master`s fellowship from *Fundação do Amparo a Ciência e Tecnologia do*
433 *estado de Pernambuco* (FACEPE,IBPG-1346-2.04-15). A.A. is supported by grants from the Swedish
434 Research Council (B0569601), the Swedish Foundation for Strategic Research, the Biodiversity and

435 Ecosystems in a Changing Climate (BECC) programme, and a Wallenberg Academy Fellowship. Support
436 to A.M.F's research was provided by FACEPE (APQ-0641-2.04/18).
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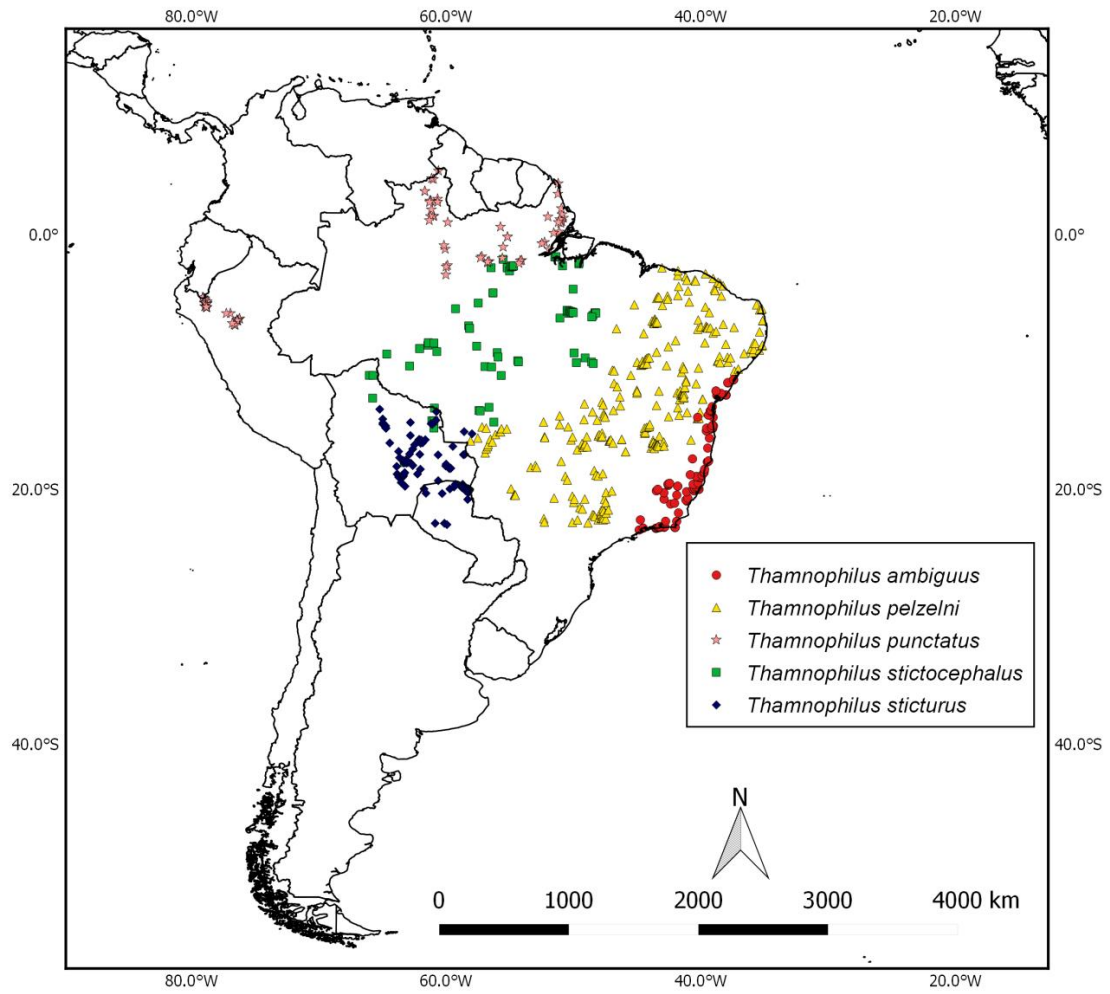
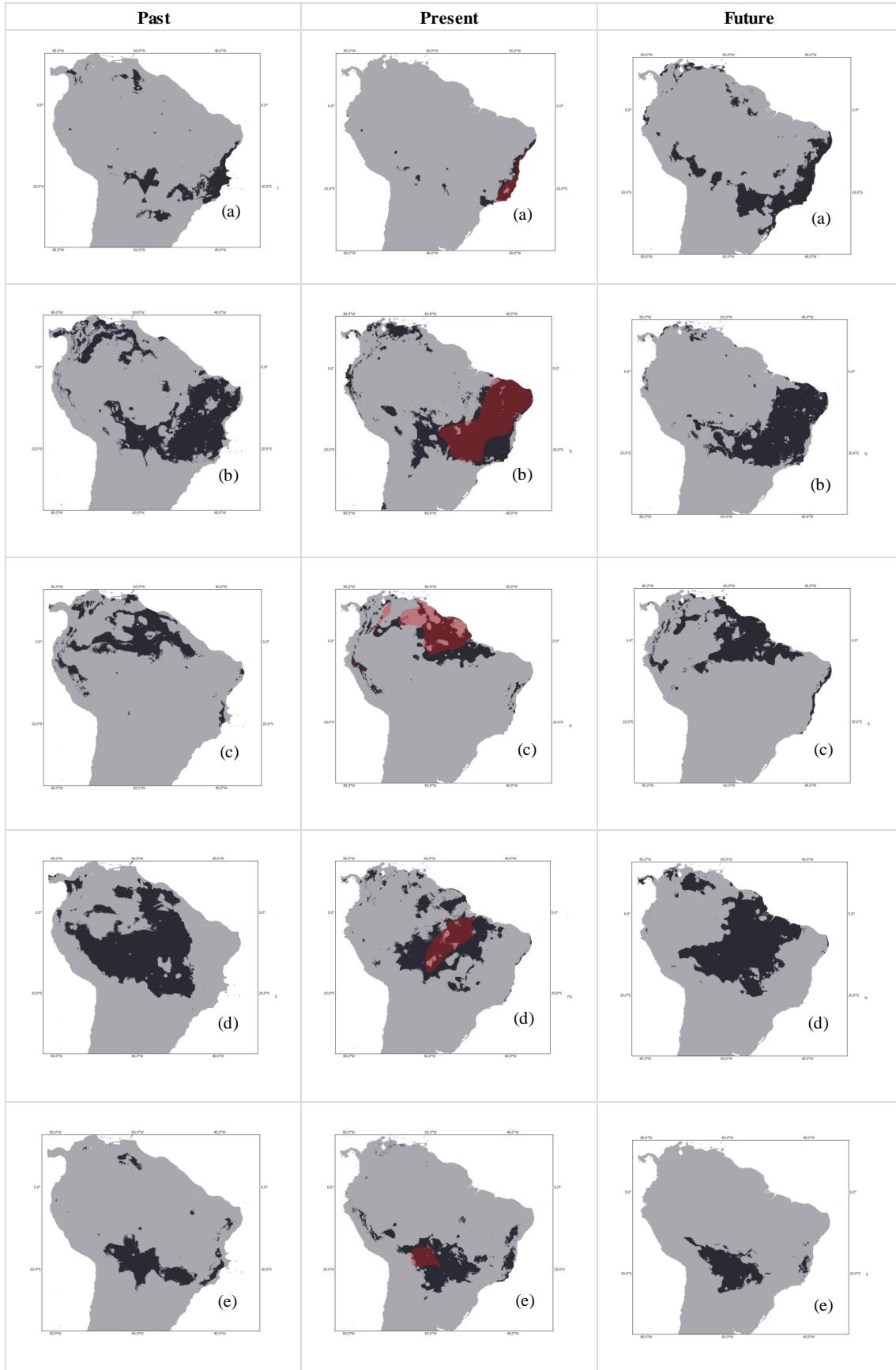
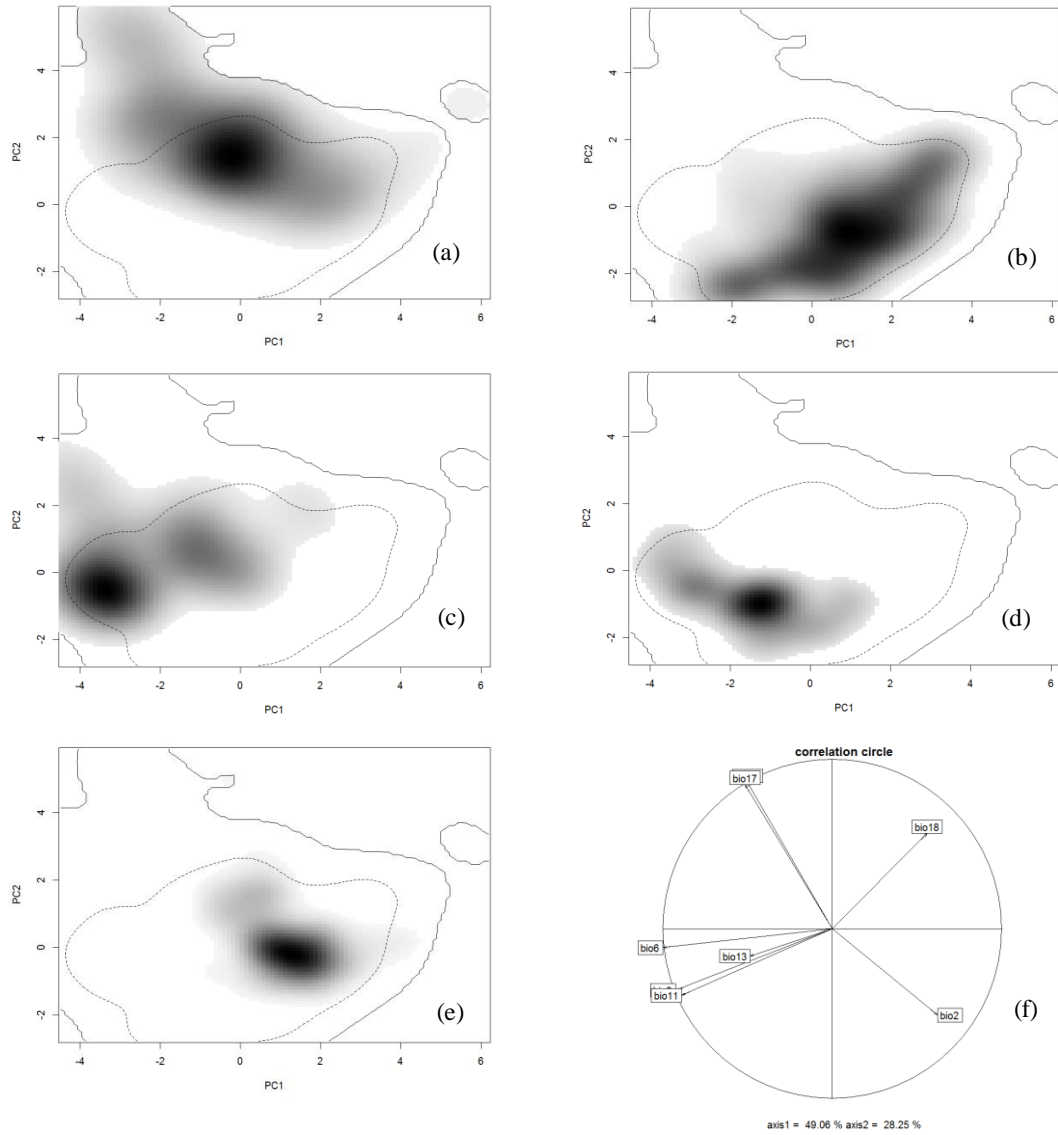


Figure 1: Species occurrence records of the five species of the *Thamnophilus punctatus* complex.

591 **Figure 2:** Predicted distribution maps for the past, current and future distributions of the *Thamnophilus*
 592 *punctatus* complex. (a)- *T. ambiguus*, (b)-*T. pelzelni*, (c)- *T. punctatus*, (d)- *T. stictocephalus*, (e)- *T.*
 593 *sticturus*. LGM, Last Glacial Maximum. Parts of the modelled range that correspond to the actual range
 594 are illustrated in red.



596 **Figure 3:** Environmental variables correlated to the ecological niche of the *Thamnophilus punctatus*
 597 complex at the limits of PCA. Shading represents the occurrence density of each species, analyzed
 598 separately. The solid and dotted contour lines show 100% and 50%, respectively, of the available
 599 environmental space. (a)- *T. ambiguus*; (b)- *T. pelzelni*; (c)- *T. punctatus*; (d)- *T. stictocephalus*; (e)- *T.*
 600 *sticturus*; (f)- Correlation circle.



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Table 1: Fragmentation of area between the Last Glacial Maximum (LGM), present and future from the potential distribution maps of the *Thamnophilus punctatus* complex based on the distribution models.

Species	Area of LGM (km ²)	Present Area (km ²)	Future Area (km ²)	LGM/Present Variation (km ²)	Present/Future Variation (km ²)	LGM/Present Fragmentation (% Variation)	Present/future Fragmentation (% Variation)
<i>Thamnophilus ambiguus</i>	598,341	288,215	1,247,199	-310,126	+958,984	51.83	332.73
<i>Thamnophilus pelzelni</i>	2,363,950	2,131,183	1,157,940	-232,767	-973,243	9.84	45.66
<i>Thamnophilus punctatus</i>	1,000,194	1,067,250	972,664	+67,056	-94,586	6.28	8.86
<i>Thamnophilus stictocephalus</i>	3,038,385	1,325,835	2,152,030	-1,988,382	+826,195	65.44	62.31
<i>Thamnophilus sticturus</i>	682,879	1,050,003	1,207,384	+367,124	+157,381	34.96	14.99

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Table 2: Overlap values (SchoenerD), equivalence, niche similarity and genetic distance among the five species of the *Thamnophilus punctatus* complex. The niche overlap measures intersection levels between ranges of the environmental space occupied by the two populations or species; niche equivalence indicates whether niche overlap is constant by randomly relocating the occurrence of both populations between their two ranges; and niche similarity measures to what extent the niche of one population or species can predict the occurrence of the other. Genetic distance measures the degree of genetic divergence between one species and another.

Combination	Schoener D	Niche Equivalence	Niche Similarity	Genetic Distance
<i>T. ambiguus</i> Vs. <i>T. pelzelni</i>	0.131817	1	0.653465347	0.0379
<i>T. ambiguus</i> Vs. <i>T. punctatus</i>	0.224464	1	0.405940594	0.0698
<i>T. ambiguus</i> Vs. <i>T. stictocephalus</i>	0.012273	1	0.762376238	0.0651
<i>T. ambiguus</i> Vs. <i>T. sticturus</i>	0.149502	1	0.376237624	0.0430
<i>T. pelzelni</i> Vs. <i>T. punctatus</i>	0.142882	1	0.475247525	0.0568
<i>T. pelzelni</i> Vs. <i>T. stictocephalus</i>	0.247345	1	0.207920792	0.0594
<i>T. pelzelni</i> Vs. <i>T. sticturus</i>	0.333783	1	0.138613861	0.0232
<i>T. punctatus</i> Vs. <i>T. stictocephalus</i>	0.310135	1	0.128712871	0.0324
<i>T. punctatus</i> Vs. <i>T. sticturus</i>	0.090668	1	0.544554455	0.0585
<i>T. stictocephalus</i> Vs. <i>T. sticturus</i>	0.054553	1	0.534653465	0.0589

The effects of climate change on the distribution of South American antbirds (*Thamnophilus punctatus* complex) as affected by niche divergences and contact zone interactions between species.

Erasmio Andrade da Silva¹, Helder Farias Pereira de Araujo², Alexandre Aleixo³, Alexandre Antonelli^{4,5,6}, Alexandre M. Fernandes^{1,7}

¹Rural Federal University of Pernambuco – Serra Talhada Campus, Pernambuco, Brazil

²Department of Biological Sciences, CCA/Federal University of Paraíba – Areia Campus, Paraíba, Brazil

³Finnish Museum of Natural History, University of Helsinki. Helsinki, Finland

⁴Department of Biological and Environmental Sciences, University of Gothenburg, Box 461, SE-405 30, Göteborg, Sweden.

⁵Gothenburg Global Biodiversity Centre, Box 461, SE-405 30, Göteborg, Sweden.

⁶Royal Botanical Gardens Kew, TW9 3AE Richmond, United Kingdom

⁷Correspondence author email: fernandesornito@gmail.com

Table S1 Collection locality, voucher number, institutions of origin, and GenBank accession numbers for *Thamnophilus punctatus* species complex samples used in this study. Institution acronyms: Museu Paraense Emílio Goeldi (MPEG) and Louisiana Museum of Natural History (LSUMZ).

Taxon	Institution	Voucher	Locality	GenBank
<i>Thamnophilus stictocephalus</i>	MPEG	57616	BR, AM, Manicoré, Rodovia do Estanho, Fazenda Copeares	
<i>Thamnophilus stictocephalus</i>	MPEG	57617	BR, AM, Manicoré, Rodovia do Estanho, Fazenda Copeares	
<i>Thamnophilus punctatus</i>	MPEG	56530	BR, RR, Mun. Alto Alegre - Faz. Paraense	
<i>Thamnophilus punctatus</i>	MPEG	56355	BR, RR, Caracaraí, PARNA Viruá - Posto Aliança - Marg. E. Rio Branco	
<i>Thamnophilus punctatus</i>	MPEG	56531	BR, RR, Alto Alegre - Faz. Paraense	
<i>Thamnophilus punctatus</i>	MPEG	55711	BR, PA, Rio Xingu, Senador José Porfírio	
<i>Thamnophilus punctatus</i>	MPEG	61013	BR, PA, Monte Alegre, Parque Estadual Monte Alegre, Ilha Grande	
<i>Thamnophilus stictocephalus</i>	MPEG	61834	BR, PA, Portel, Flona do Caxiuanã	
<i>Thamnophilus punctatus</i>	MPEG	64678	BR, PA, Flota de Faro, ca 70 km NW de Faro	
<i>Thamnophilus punctatus</i>	MPEG	65136	BR, PA, Itaituba, Flona Amanã, Pista de Pouso Maranhão	
<i>Thamnophilus punctatus</i>	MPEG	65411	BR, PA, Alenquer, ESEC Grão-Pará	
<i>Thamnophilus stictocephalus</i>	MPEG	61833	BR, PA, Portel, Flona do Caxiuanã, Plot PPBIO	
<i>Thamnophilus punctatus</i>	MPEG	66658	BR, PA, Óbidos, ESEC Grão-Pará	
<i>Thamnophilus pelzelni</i>	MPEG	68035	BR, MA, São João dos Patos- Jatobá dos Noletos- Mancha Verde	
<i>Thamnophilus pelzelni</i>	MPEG	68044	BR, PI, José de Freitas- Eco Resort Nazareth	
<i>Thamnophilus pelzelni</i>	MPEG	68039	BR, PI, Piracuruca- Parque Nacional de Sete Cidades	
<i>Thamnophilus pelzelni</i>	MPEG	68032	BR, PI, Castelo do Piauí- Faz. Bonito	
<i>Thamnophilus pelzelni</i>	MPEG	68026	BR, PI, Guadalupe- Faz. Maharish-Cerrado dos Indianos	
<i>Thamnophilus pelzelni</i>	MPEG	68018	BR, PI, Uruçuí- Vale do Rio Pratinha	
<i>Thamnophilus stictocephalus</i>	MPEG	67142	BR, PA, Ourilandia do Norte, Serra da Onça	
<i>Thamnophilus stictocephalus</i>	MPEG	67141	BR, PA, Ourilandia do Norte, Serra da Onça	
<i>Thamnophilus punctatus</i>	MPEG	69942	BR, PA, Faro, Vila Maracanã, Rio Xingu	
<i>Thamnophilus stictocephalus</i>	MPEG	71075	BR, RO, Machadinho D'Oeste, margem esquerda Rio Jiparaná	
<i>Thamnophilus pelzelni</i>	MPEG	70725	BR, BA, Ilhéus, Ecoparque de UMA	
<i>Thamnophilus pelzelni</i>	MPEG	L_170	BR, MT, Fazenda Invernada, Chapada dos Guimarães	
<i>Thamnophilus</i>	MPEG	L_487	BR, MT, Fazenda Baía de Pedra, Cáceres	

<i>sticturus</i>				
Taxon	Institution	Voucher	Locality	GenBank
<i>Thamnophilus sticturus</i>	MPEG	L_514	BR, MT, Fazenda Baía de Pedra, Cáceres	
<i>Thamnophilus pelzelni</i>	MPEG	L_540	BR, MT, Sítio Marimbondo, Chapada dos Guimarães	
<i>Thamnophilus pelzelni</i>	MPEG	L_552	BR, MT, Estrada para Água Fria, Chapada dos Guimarães	
<i>Thamnophilus stictocephalus</i>	MPEG	74151	BR, PA, Santarém, RESEX Tapajós/Arapiuns, Capixauã	
<i>Thamnophilus stictocephalus</i>	MPEG	74205	BR, PA, Santarém, RESEX Tapajós/Arapiuns, Capixauã	
<i>Thamnophilus stictocephalus</i>	MPEG	74206	BR, PA, Santarém, RESEX Tapajós/Arapiuns, Capixauã	
<i>Thamnophilus punctatus</i>	LSUMZ	32638	PE, Cajamarca Department, Las Juntas, junction of Rios Tabaconas and Chinchipe	
<i>Thamnophilus punctatus</i>	LSUMZ	32916	PE, Cajamarca Department, Las Juntas, junction of Rios Tabaconas and Chinchipe	
<i>Thamnophilus punctatus</i>	LSUMZ	48289	Guyana	
<i>Thamnophilus punctatus</i>	LSUMZ	44235	PE, San Martín Department	
<i>Thamnophilus punctatus</i>	LSUMZ	44566	PE, San Martín Department	
<i>Thamnophilus punctatus</i>	LSUMZ	44567	PE, San Martín Department	
<i>Thamnophilus stictocephalus</i>	LSUMZ	14412	BO, Santa Cruz Department	
<i>Thamnophilus stictocephalus</i>	LSUMZ	14416	BO, Santa Cruz Department	
<i>Thamnophilus punctatus</i>	LSUMZ	65765	SR, Sipaliwini District	